

COMS BC3262: Introduction to Cryptography

# Lecture 20: Identity Schemes and Schnorr Signatures

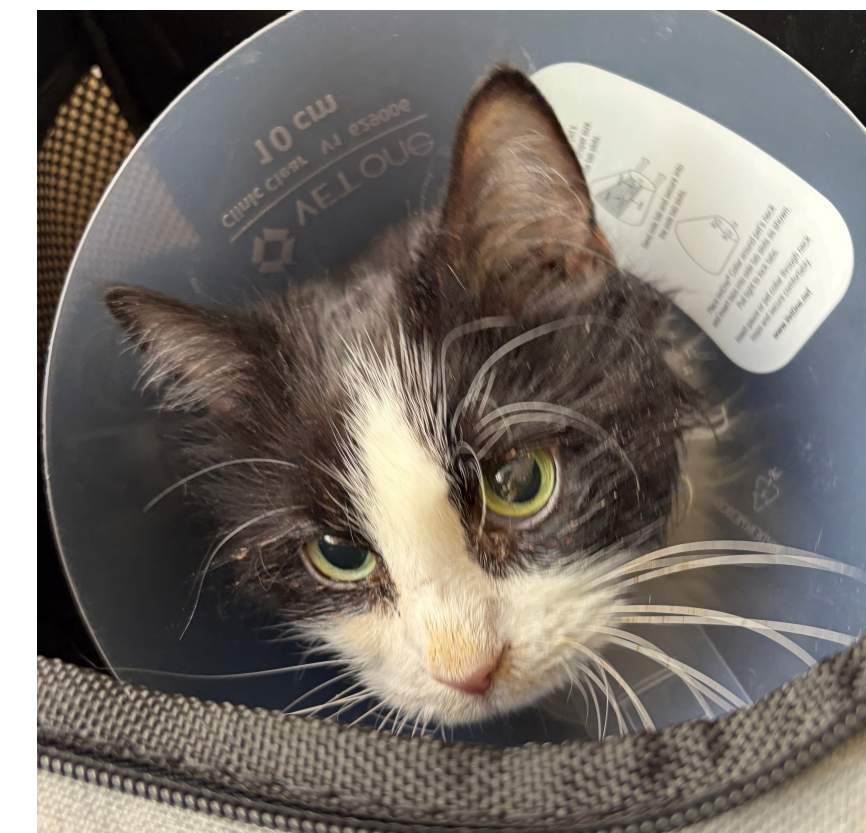
BARNARD COLLEGE OF COLUMBIA UNIVERSITY

# Logistics

- **Extra credit opportunity:** Attend Olive's talk [Thursday, April 16 at 12pm](#) in [Milstein 402](#)
- Olive Franzese-McLaughlin will be giving a talk titled "Cryptographically Verified AI/ML Audits"
- No explicit point value, but if you're near a cutoff I'll use this to determine if you get bumped up
- HW 4 due on Thursday
- HW 5 releases on Wednesday

# Upcoming office hours

- My office hours this week will be on Wednesday 3pm-5pm
  - Subject to Gertrude not having anymore emergencies
- I will not have office hours next week while I am traveling
- Mark and Tony should be having their office hours as usual
- See EdStem for any updates



Gertrude's surgery went well!

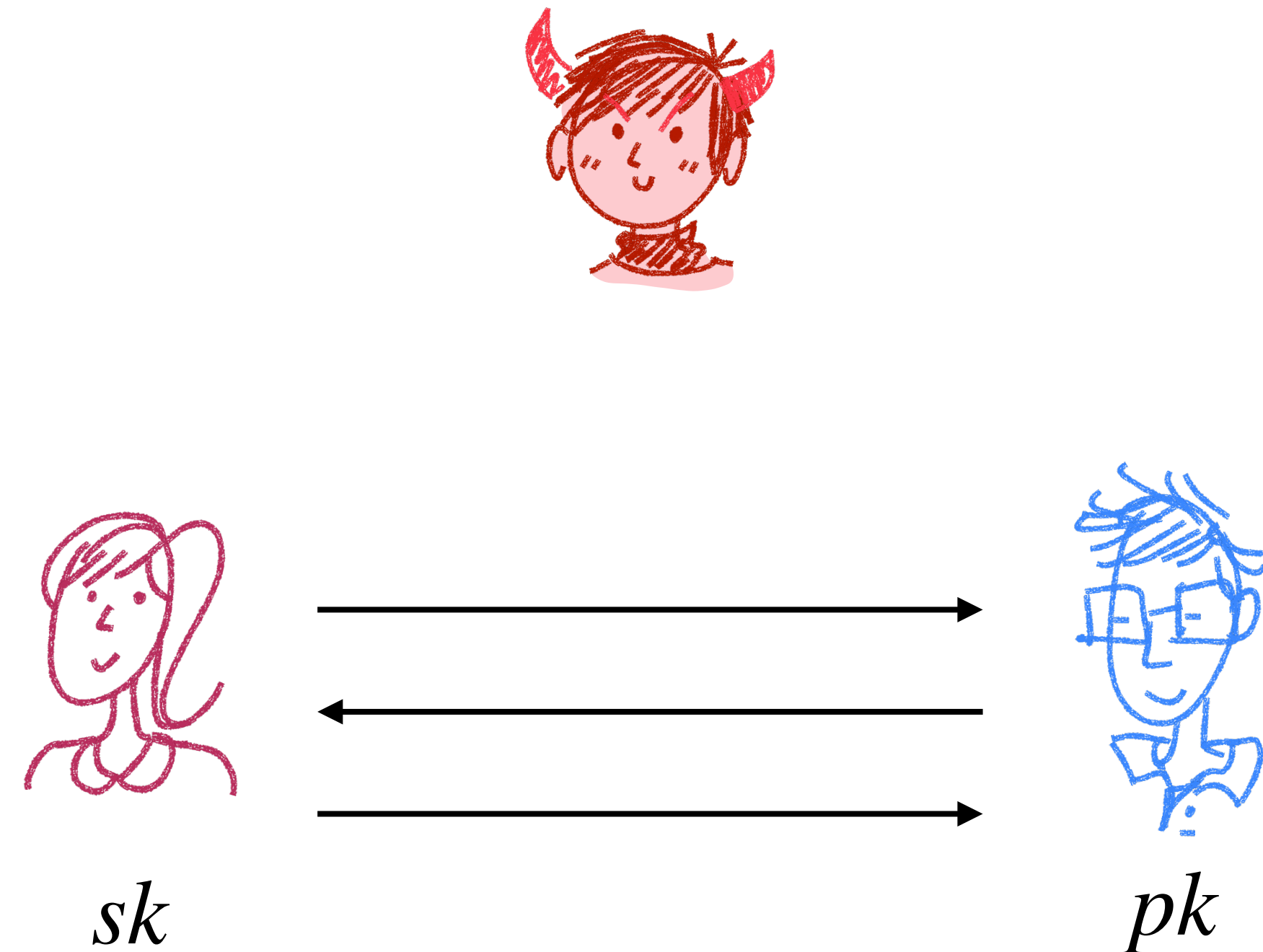
# DL-Based Signatures

- 1986: El Gamal proposed the first DL-based signatures
- 1989: Schnorr proposed a more efficient scheme
  - Security based on DL in the random oracle model
  - Schnorr patented it... and no one used it (patent expired in 2008)
- 1991: NIST proposed DSA (Digital Signature Algorithm)
  - Based on El Gamal and Schnorr, but sufficiently different
  - ECDSA: elliptic curve variant and is very popular
- 2011: EdDSA signatures
  - Essentially Schnorr's signatures on a specific family of elliptic curves called Edward's curves

# Identification Schemes

# Identification Scheme

- Alice wants to prove to Bob she knows the  $sk$  associated with  $pk$
- If Eve observes the communication (possibly many times), she can't later impersonate Alice
  - Eve should not be able to convince Bob she knows  $sk$
  - Bob can't later impersonate Alice either



# Schnorr's Identification Scheme

Suppose Alice and Bob have  $\mathbb{G}, q, g, y = g^x$ , where  $\mathbb{G} = \langle g \rangle$  is a cyclic group of prime order  $q$ , and Alice wants to convince Bob she knows  $x$

$$sk = x$$



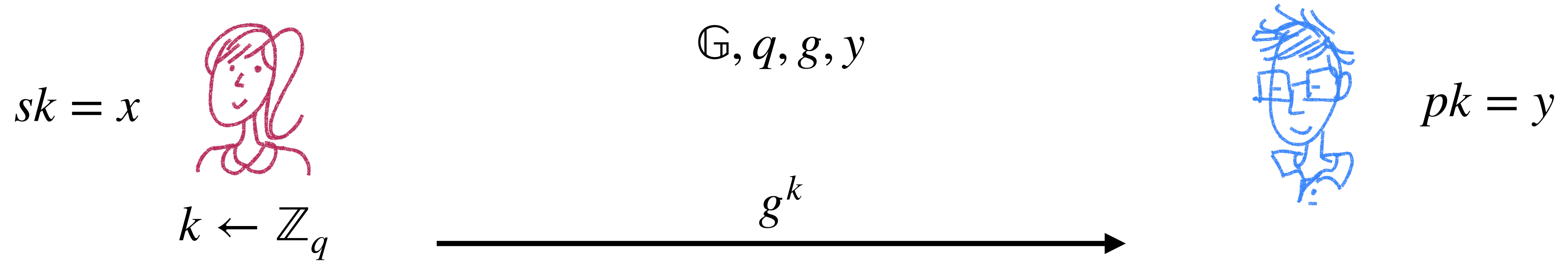
$$\mathbb{G}, q, g, y$$



$$pk = y$$

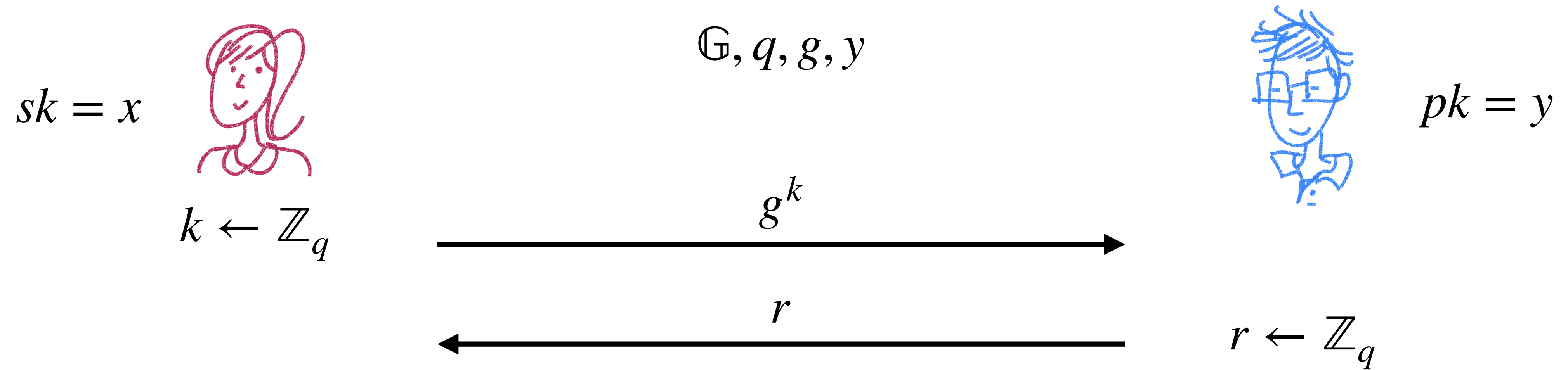
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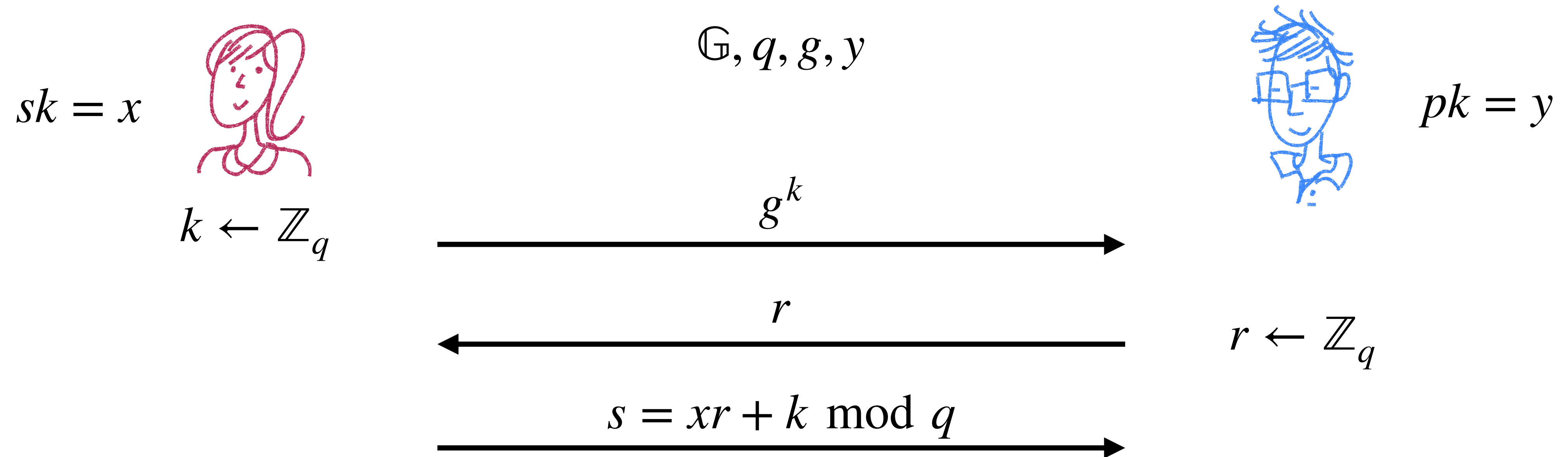
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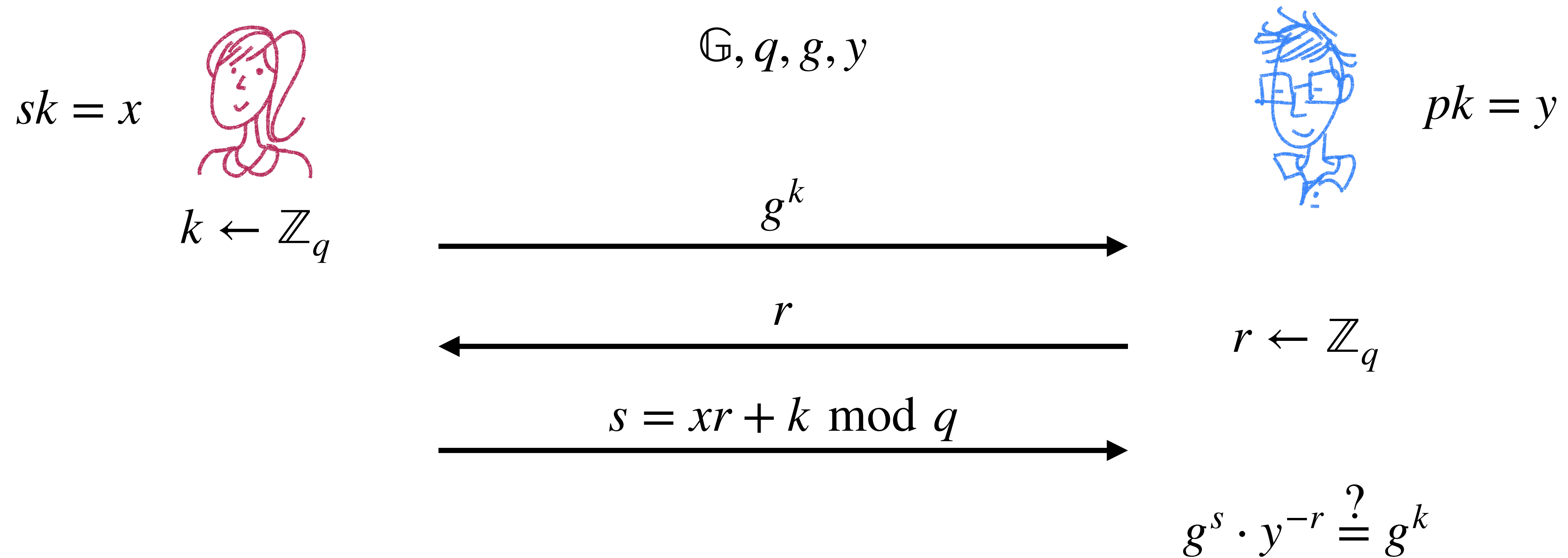
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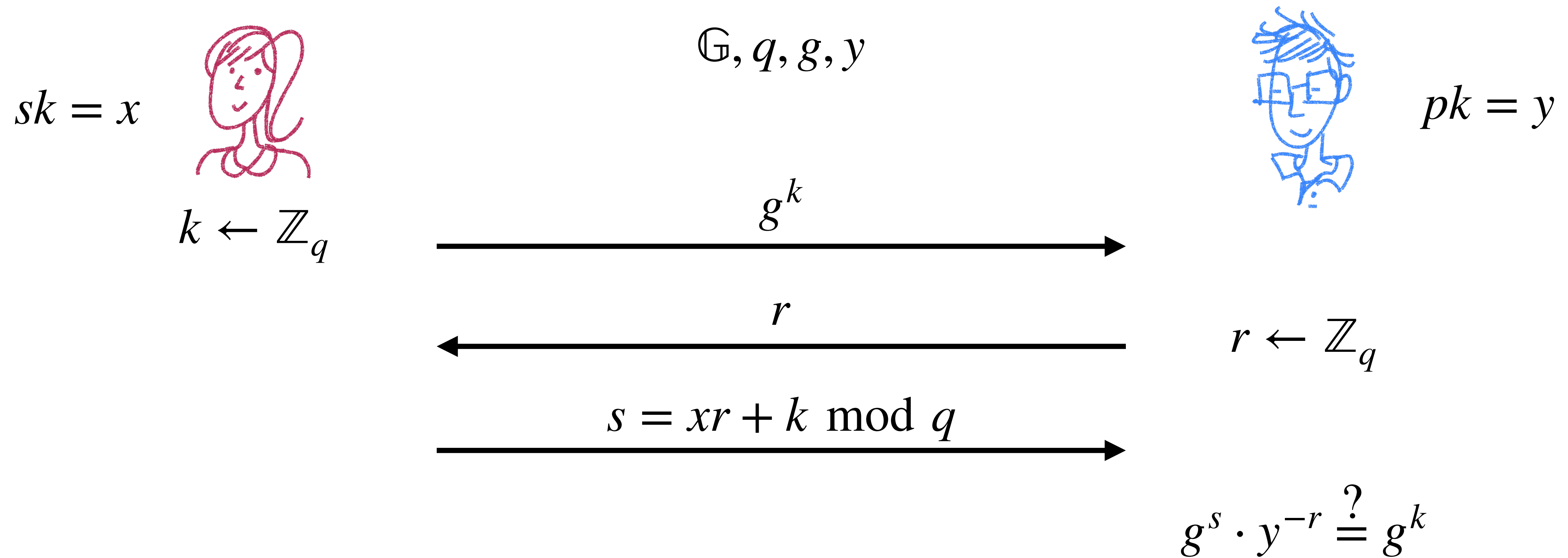
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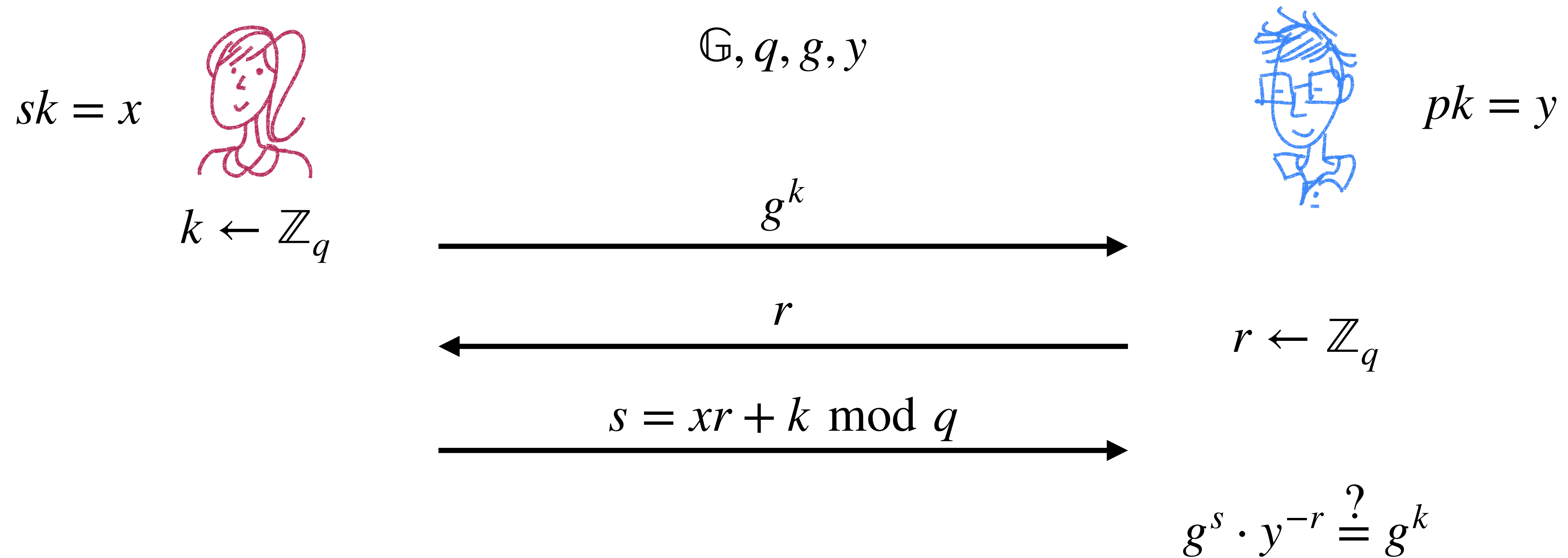
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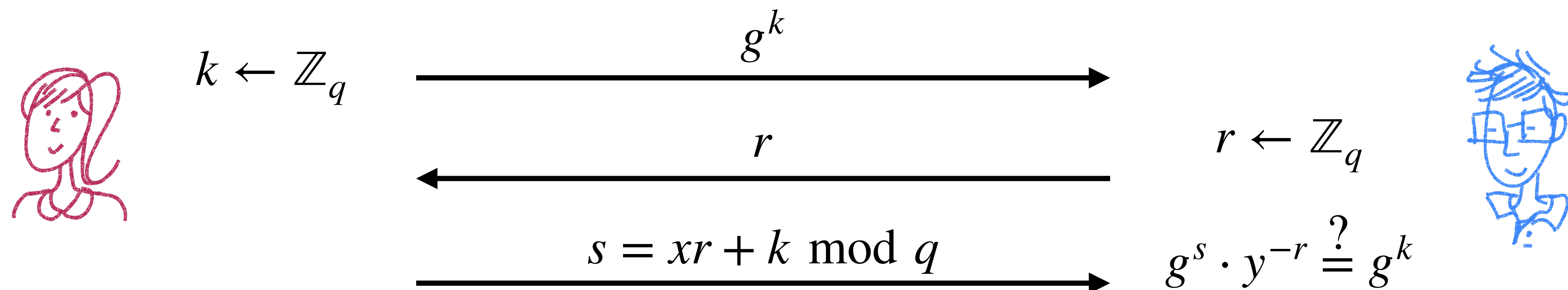


**Correctness:**  $g^s \cdot y^{-r} = g^{xr+k} \cdot (g^x)^{-r} = g^k$

**Security?**

# Security of Schnorr's Identification Scheme

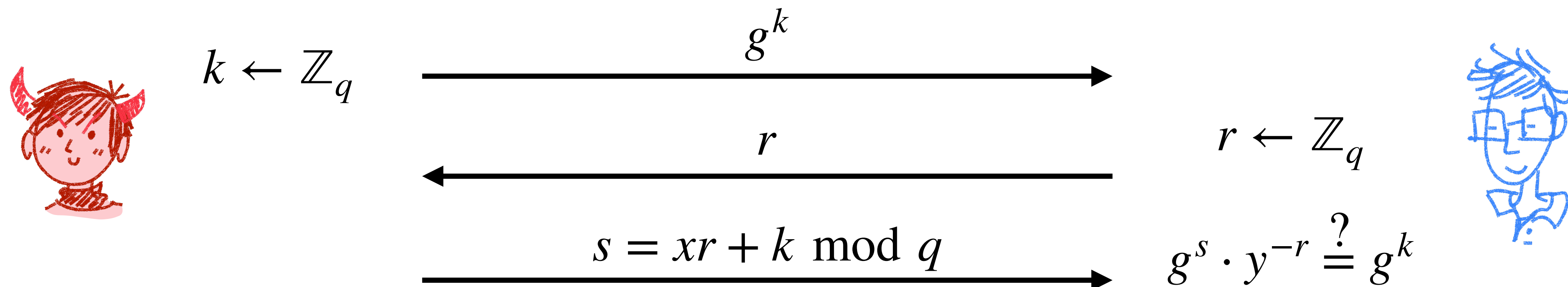
Intuitively, if an adversary could answer any challenge  $r$  (without knowledge of  $x$ ) *after* sending  $g^k$ , then the adversary could solve for the discrete log of  $y$



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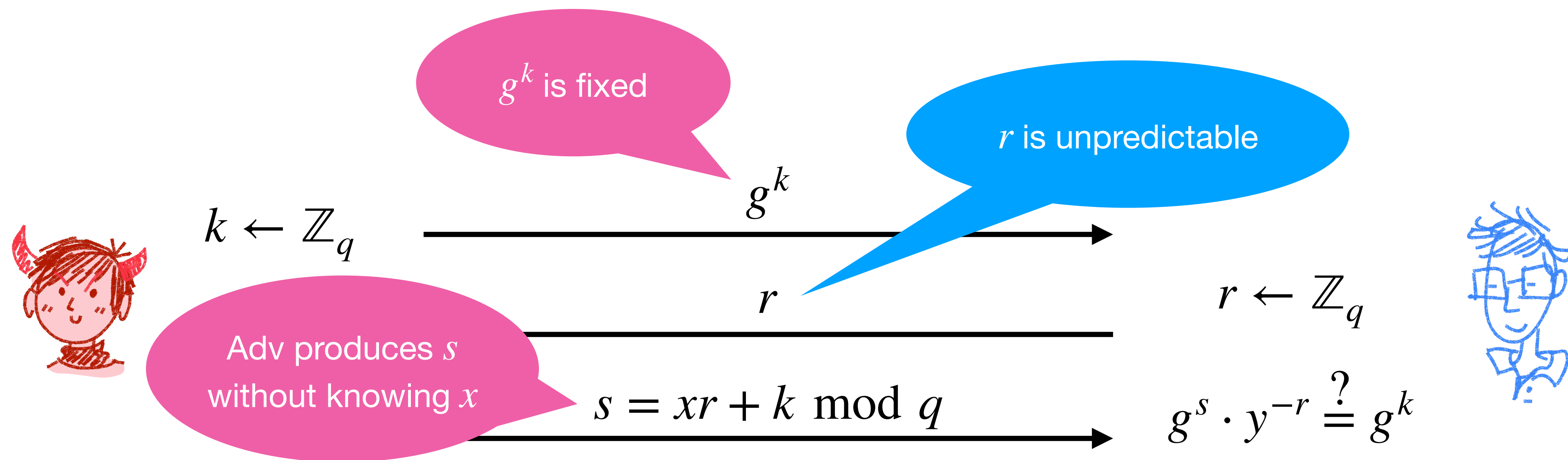
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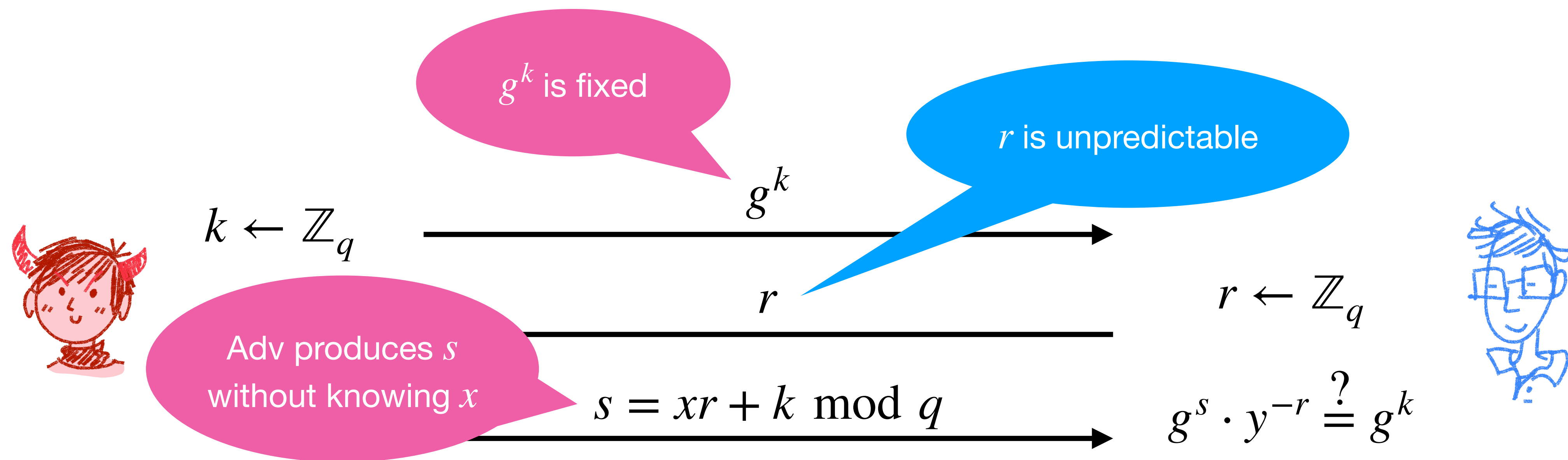


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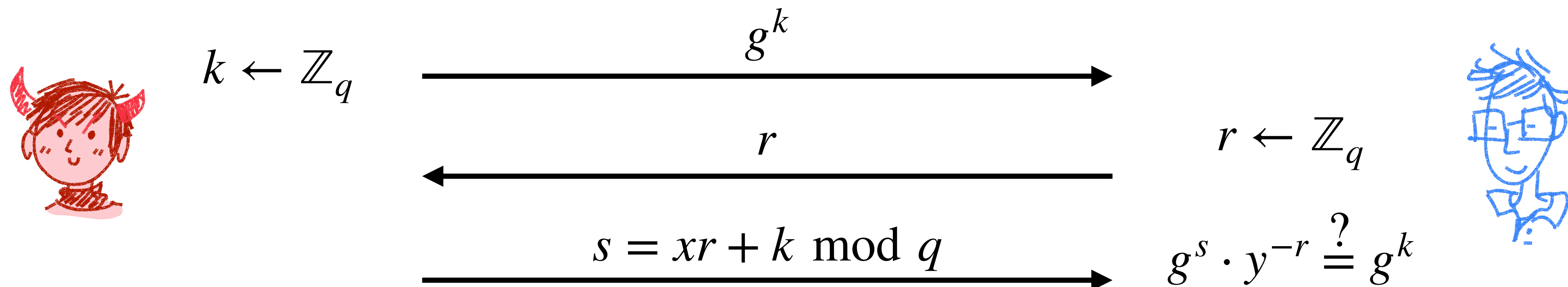


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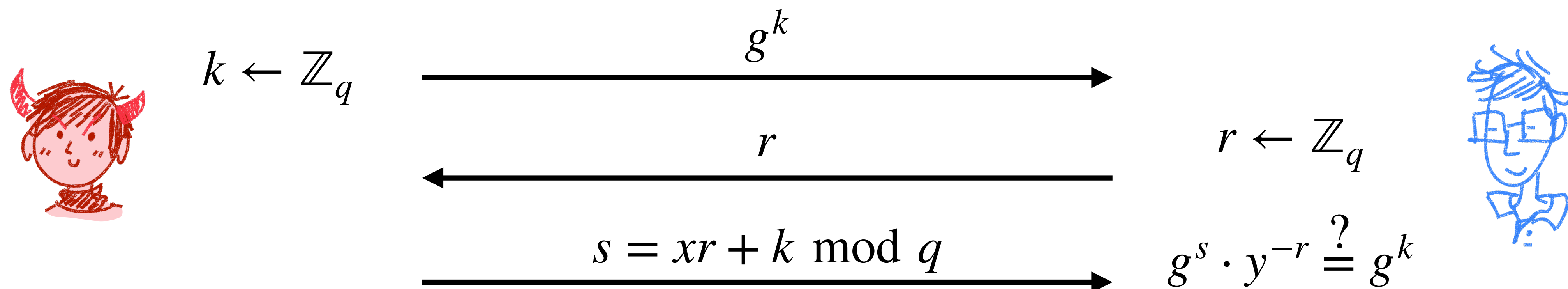


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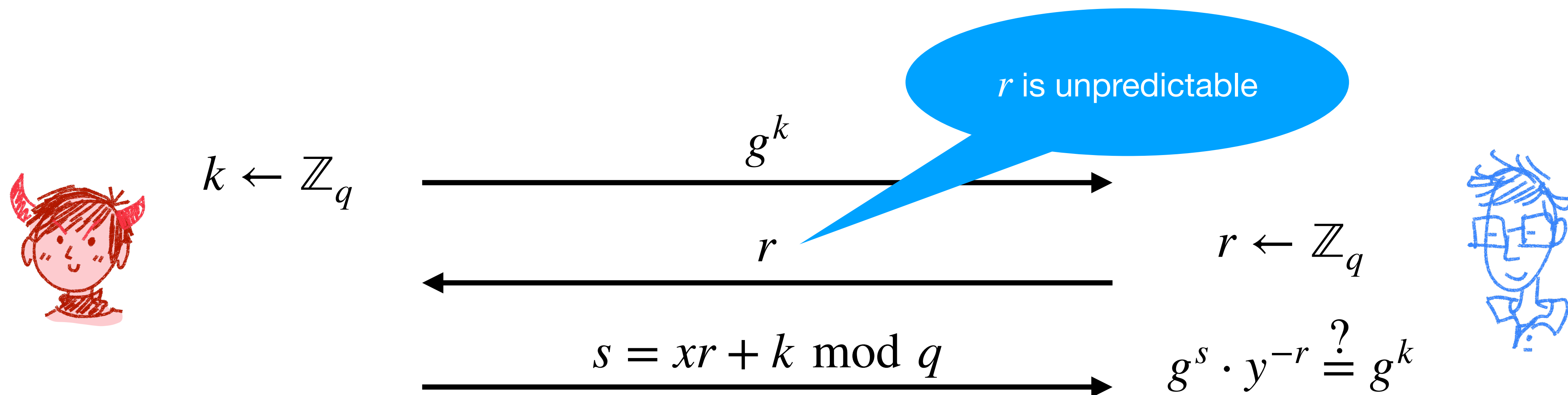
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- For Bob to accept,  $s = xr + k \pmod q$  and  $s' = xr' + k \pmod q$
- Together Eve can compute  $x = (s - s') \cdot (r - r')^{-1} \pmod q$



# Security of Schnorr's Identification Scheme

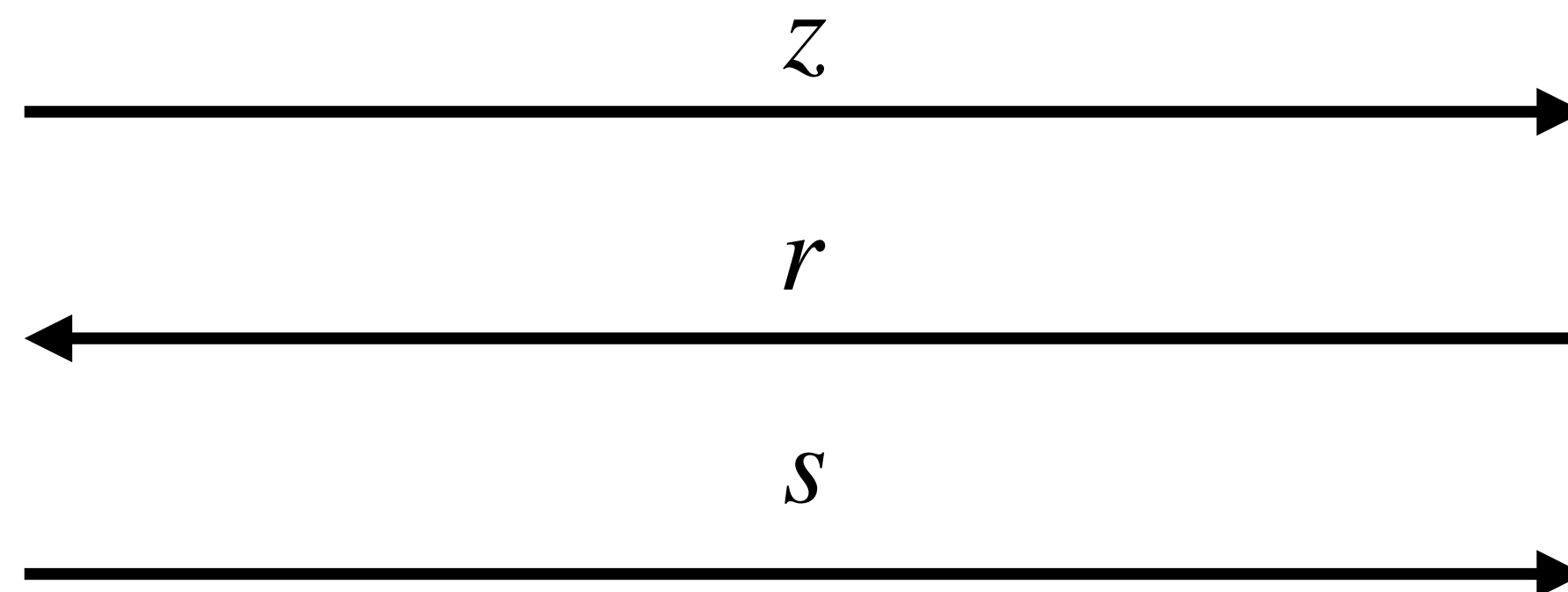
What if  $r$  is known in advance *before* the adversary sends  $g^k$ ?



# Security of Schnorr's Identification Scheme

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Given  $r$ , how might an adversary choose  $z$  so she knows a  $s$  that Bob will accept?



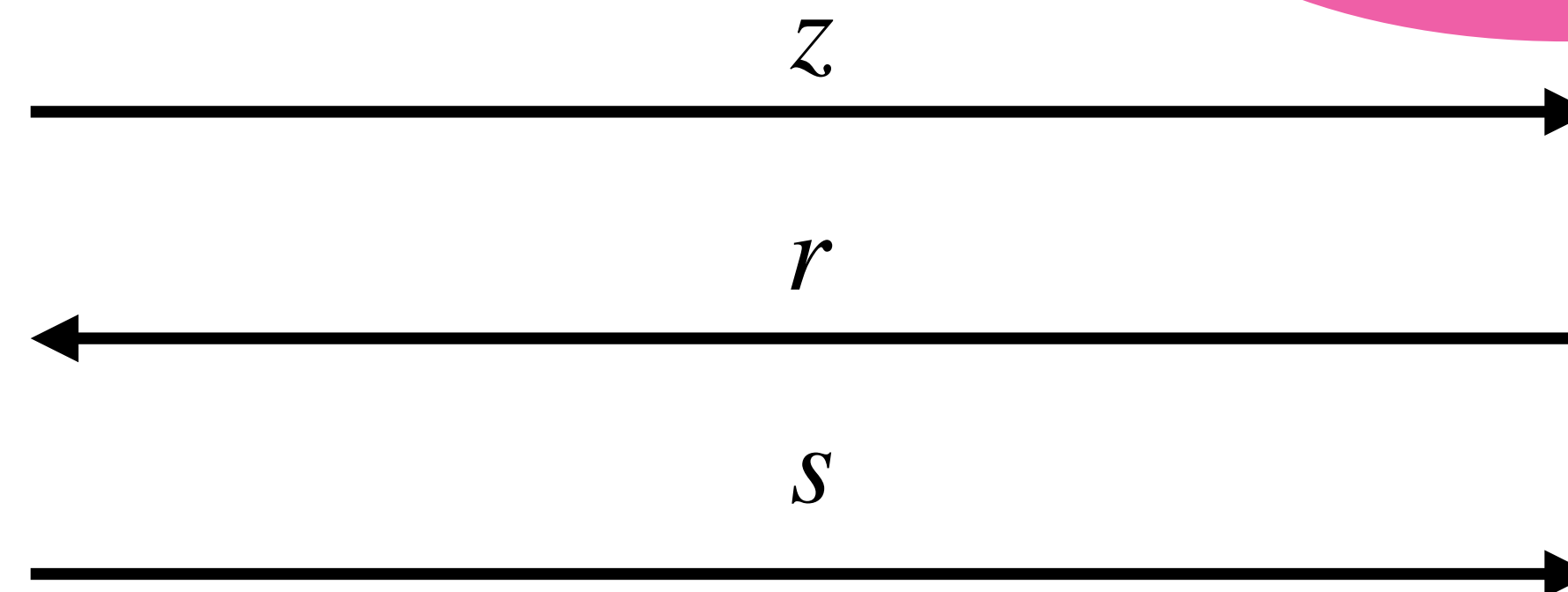
$$g^s \cdot y^{-r} \stackrel{?}{=} z$$



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Work backwards!

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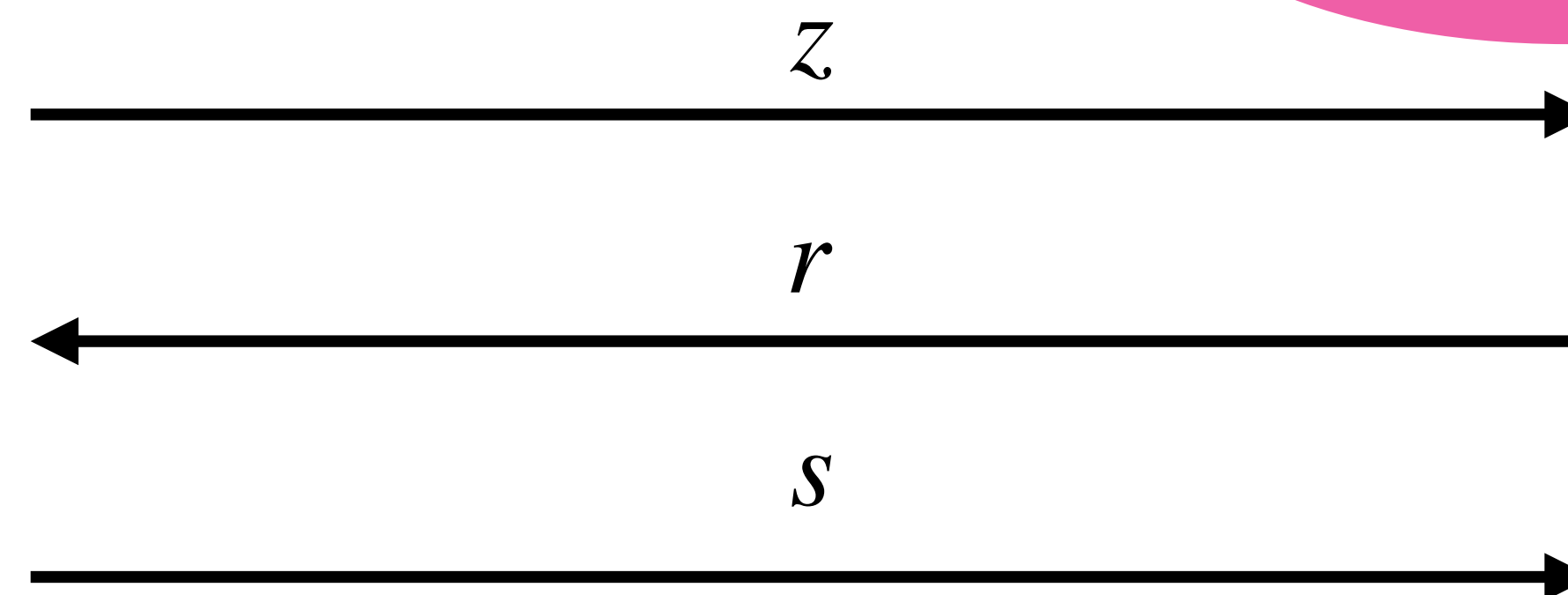


# Security of Schnorr's Identification Scheme

What if  $r$  is known in advance *before* the adversary sends  $g^k$ ?

Given  $r$ , how might an adversary choose  $z$  so she knows a  $s$  that Bob will accept?

- Adversary samples  $s \leftarrow \mathbb{Z}_q$  and computes  $z = g^s \cdot y^{-r}$ 
  - Eve does not need to know the discrete log of  $z$  to convince Bob



Work backwards!

$$g^s \cdot y^{-r} \stackrel{?}{=} z$$

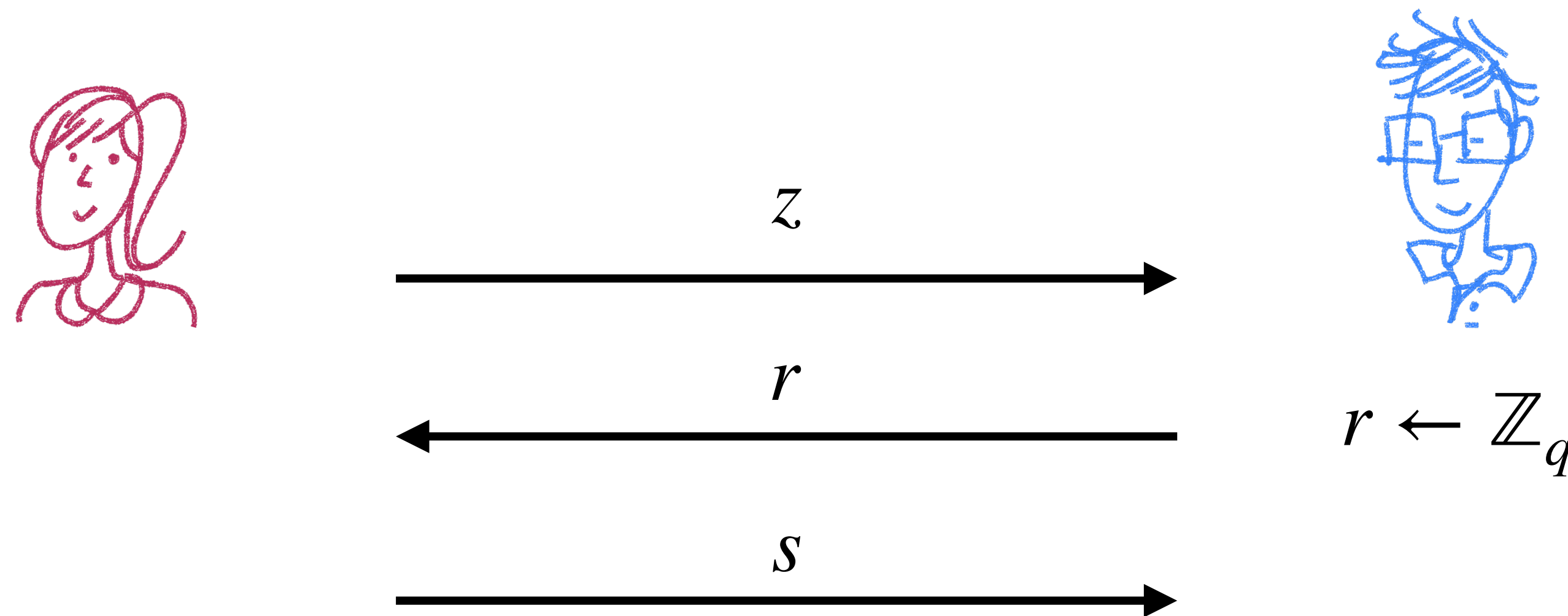


From identification schemes to  
signatures

# Fiat-Shamir Transform

Given a three message identification scheme where  $r$  is random, do we really need Bob to choose  $r$ ?

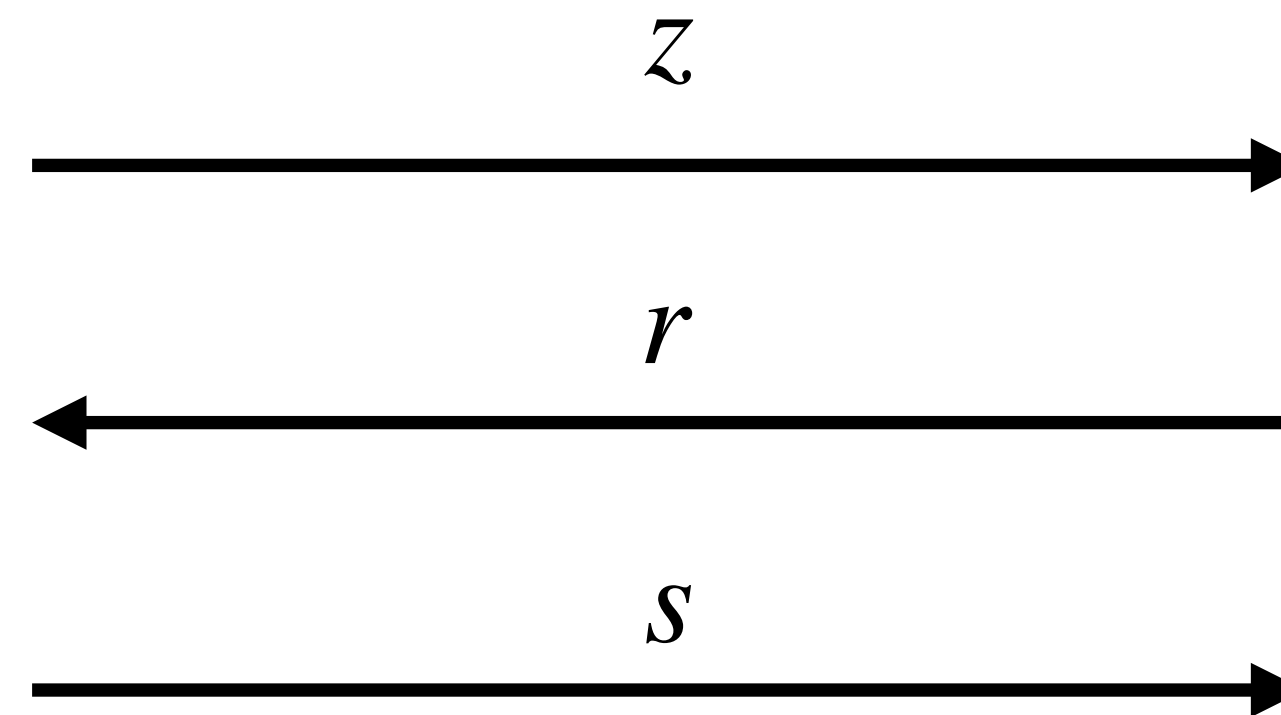
- Is there some way we can make this non-interactive?



# Fiat-Shamir Transform

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- What if we compute the challenge as  $r = H(z)$ ?

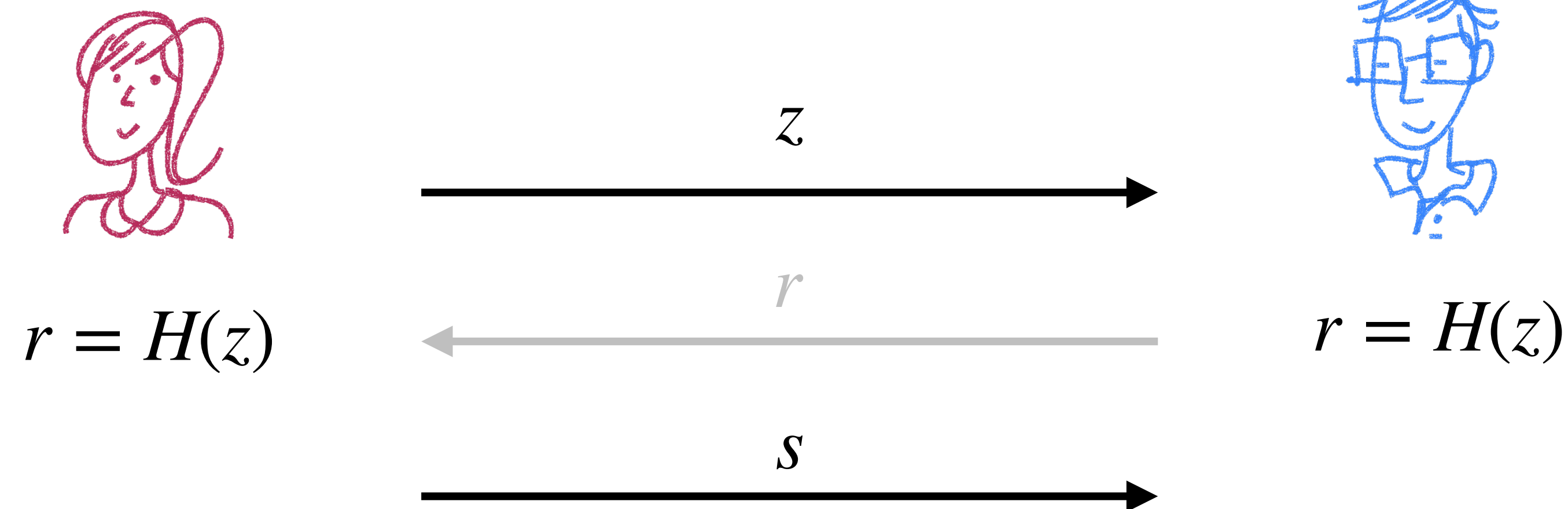


$$r = H(z)$$

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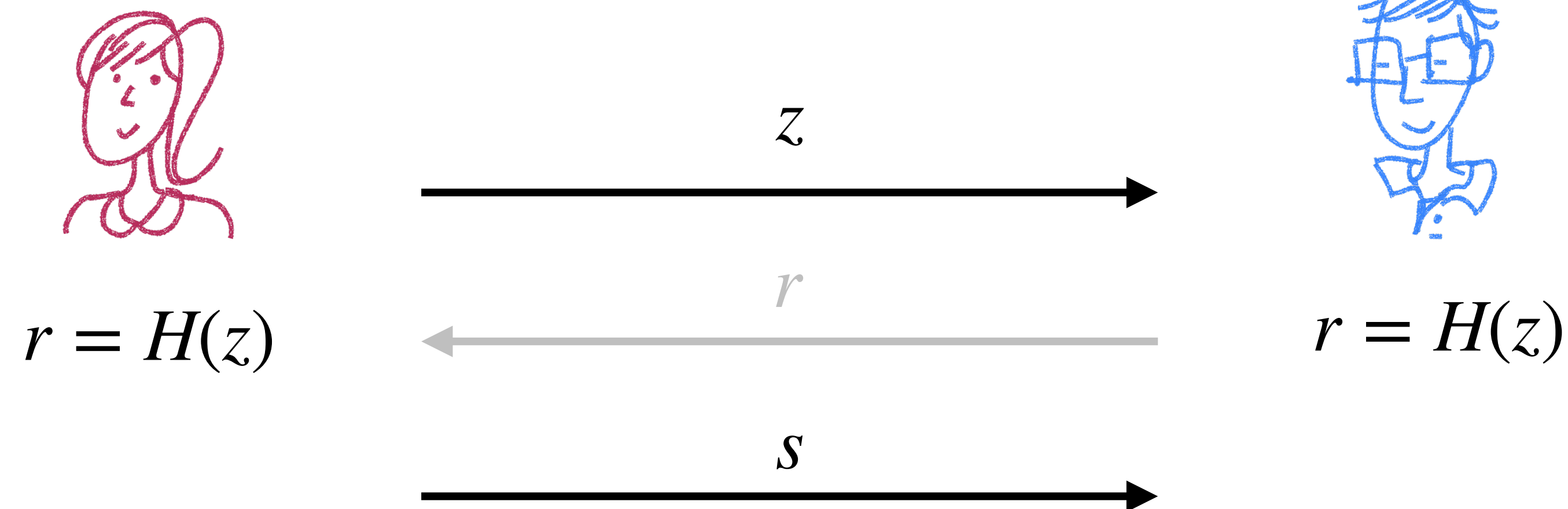
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# Fiat-Shamir Transform

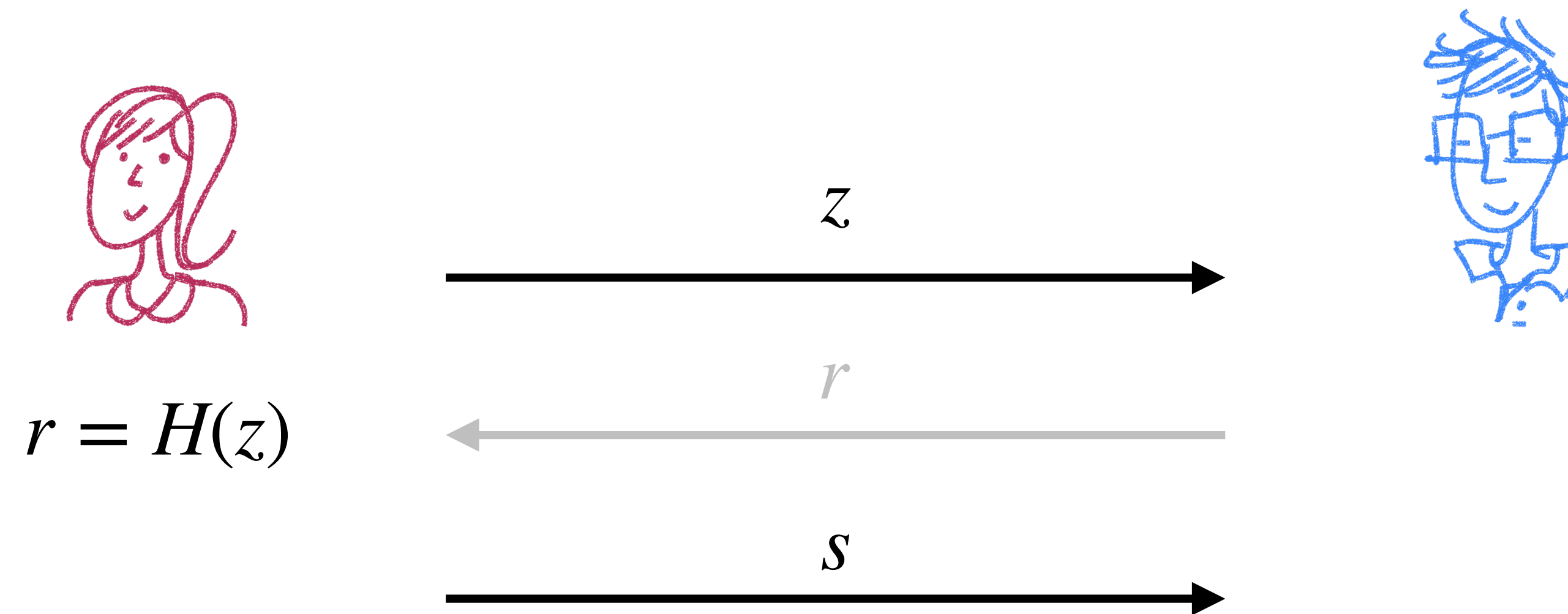
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- Is there some way we can make this non-interactive?
- What if we compute the challenge as  $r = H(z)$ ?
- Alice can generate  $r$  on her own!
  - Non-interactive in the random oracle model



# Fiat-Shamir Transform

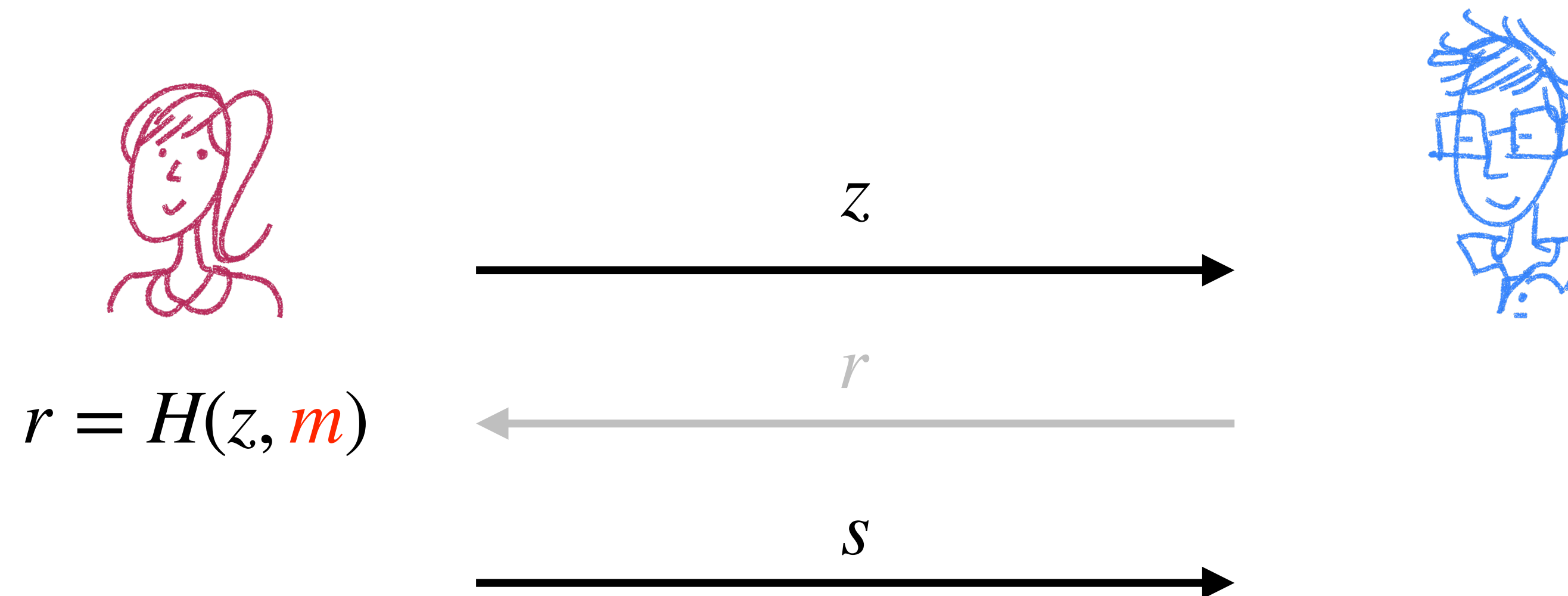
Can we turn this into a signature scheme?



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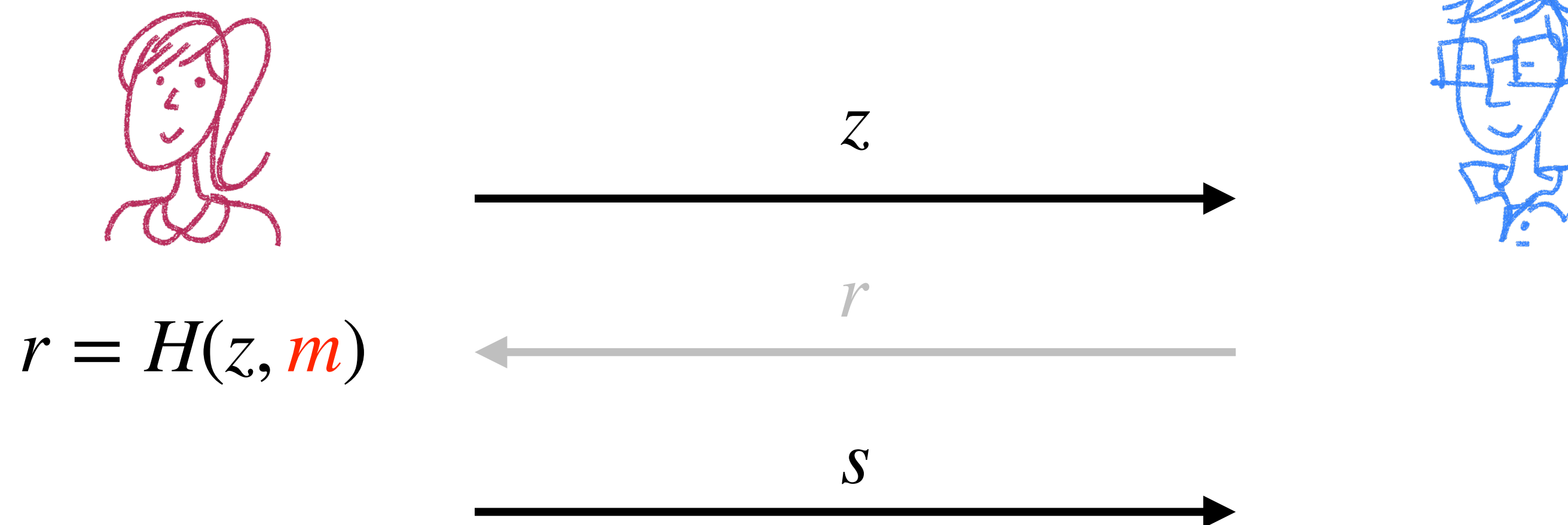
- Derive  $r$  from both  $z$  and the message  $m$



# Fiat-Shamir Transform

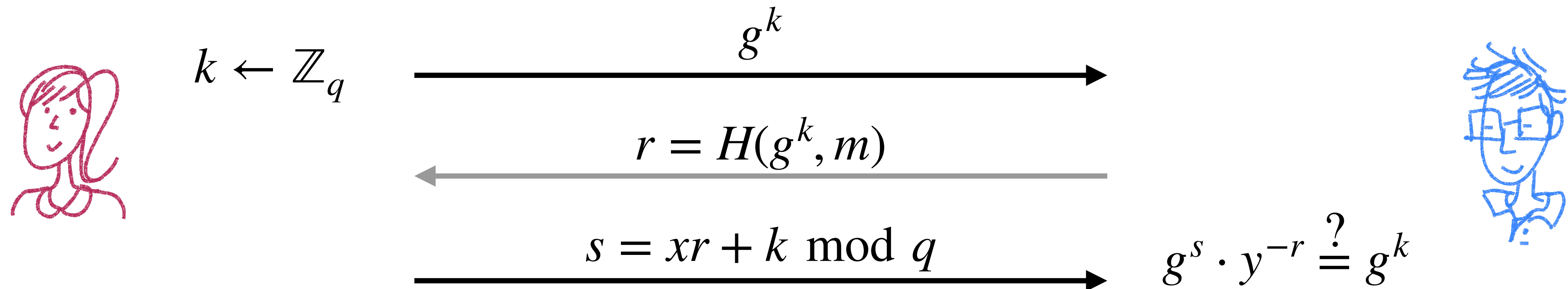
Can we turn this into a signature scheme?

- Derive  $r$  from both  $z$  and the message  $m$
- The signature consists of  $(z, s)$
- To verify given  $(m, (z, s))$ , check that Bob would've accepted the identification scheme with  $r = H(z, m)$



# Schnorr Signature Scheme

**Idea:** Apply the Fiat-Shamir transform (with the message) to Schnorr's identification scheme.



# Schnorr Signature Scheme

**Idea:** Apply the Fiat-Shamir transform (with the message) to Schnorr's identification scheme.

$g^k$  and  $s$  will be the signature on  $m$



$$k \leftarrow \mathbb{Z}_q$$

$$g^k$$

$$r = H(g^k, m)$$

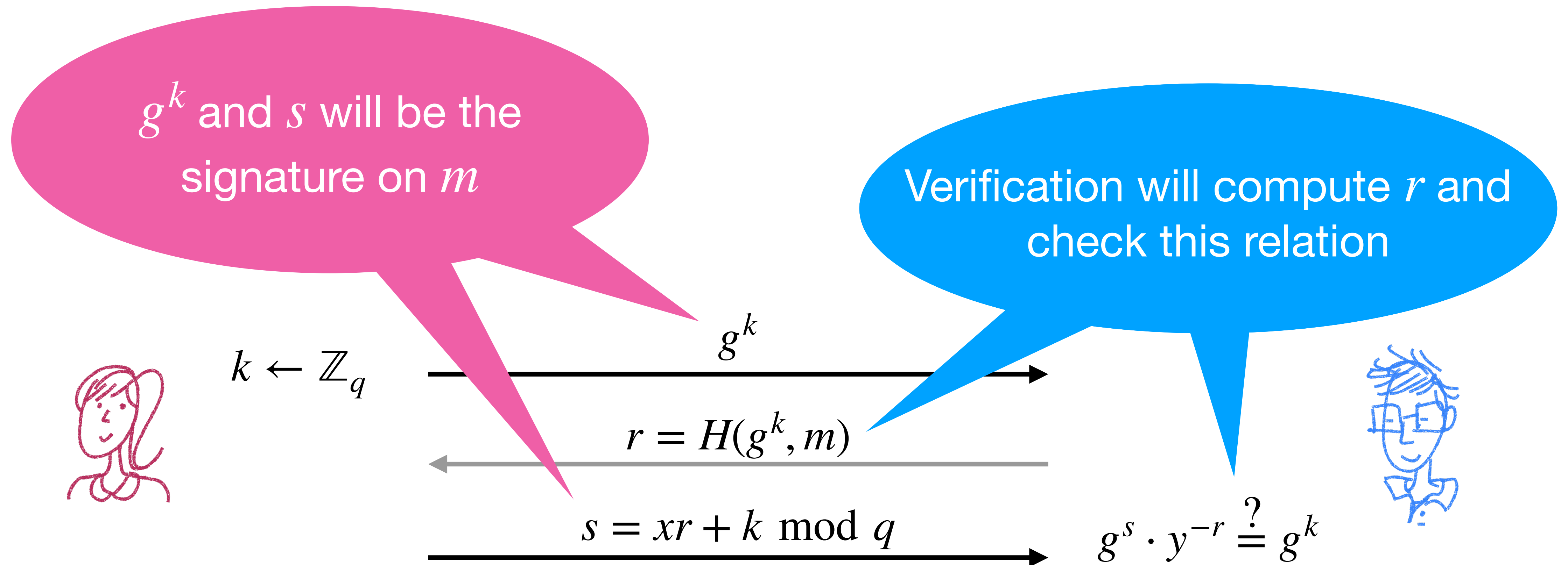
$$s = xr + k \pmod{q}$$

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# Schnorr Signature Scheme

**Idea:** Apply the Fiat-Shamir transform (with the message) to Schnorr's identification scheme.



# Schnorr Signature Scheme

Let  $\mathcal{G}$  be a PPT algorithm that on input  $1^n$  outputs  $(\mathbb{G}, q, g)$  where  $\mathbb{G}$  is a cyclic group of order  $q$  that is generated by  $g$  and  $q$  is an  $n$ -bit prime. Let  $H : \{0,1\}^* \rightarrow \mathbb{Z}_q$ .

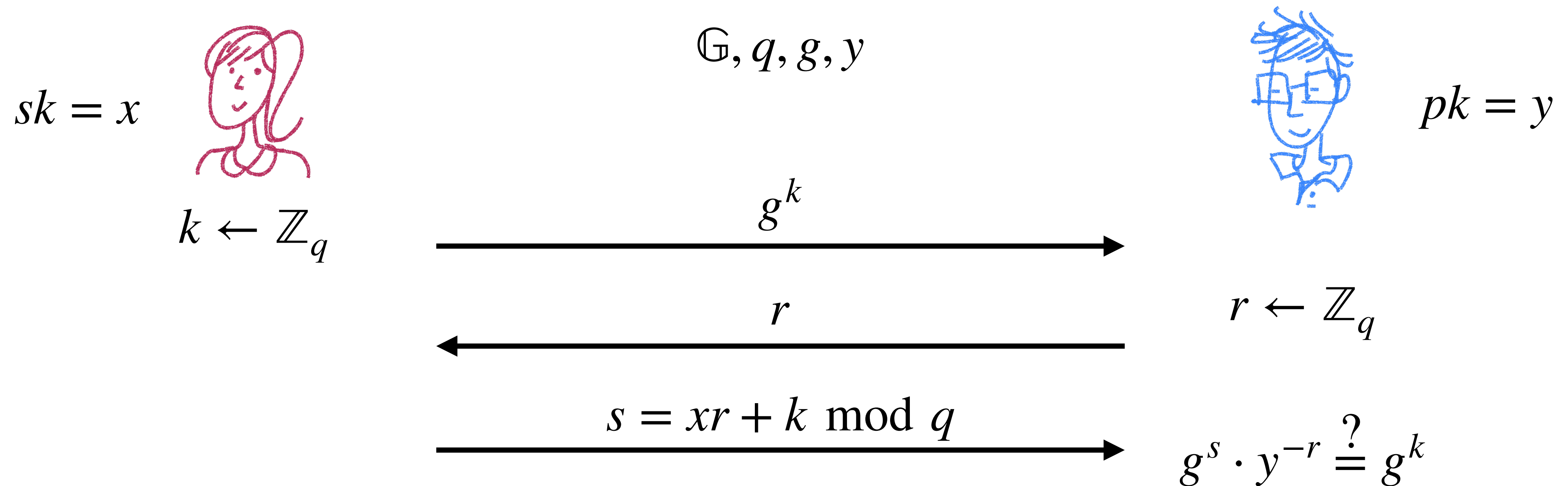
- $\text{Gen}(1^n)$ : Run  $(\mathbb{G}, q, g) \leftarrow \mathcal{G}(1^n)$ , sample  $x \leftarrow \mathbb{Z}_q$ , and compute  $y = g^x$ . Set  $pk = (\mathbb{G}, q, g, y)$  and  $sk = x$
- $\text{Sign}_{sk}(m)$ : Sample  $k \leftarrow \mathbb{Z}_q$ . Set  $z = g^k$  and  $r = H(z, m)$ . Compute  $s = xr + k \pmod q$ . Output the signature as  $\sigma = (r, s)$
- $\text{Verify}_{pk}(m, \sigma)$ : Let  $r = H(z, m)$ . Output 1 if  $g^s = y^r \cdot z$ . Otherwise output 0

**Theorem:** Schnorr Signatures are EUF-CMA secure in the Random Oracle Model assuming DL is hard relative to  $\mathcal{G}$

DSA/ECDSA

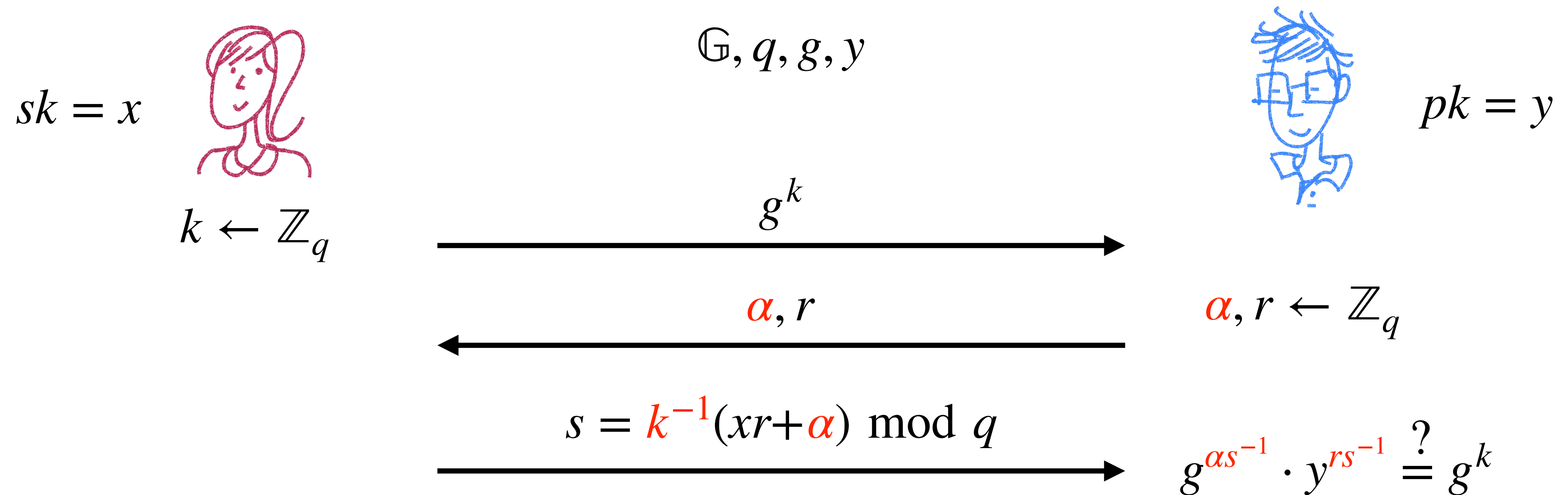
# Recall: Schnorr's Identification Scheme

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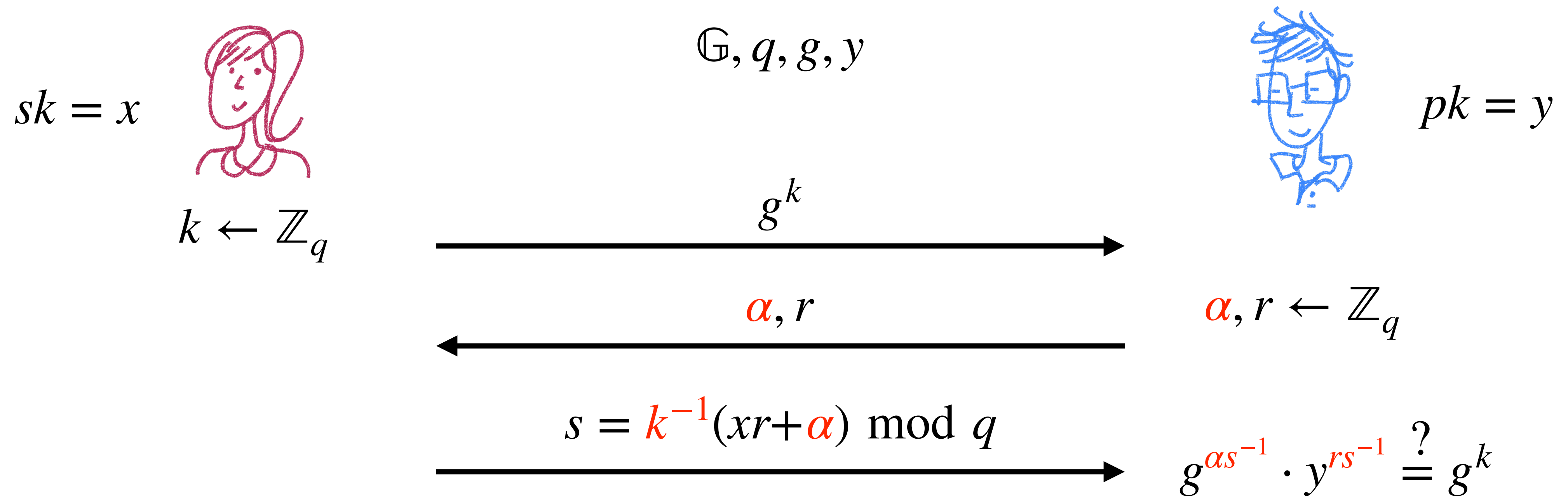
# Another Identification Scheme

Suppose Alice and Bob have  $\mathbb{G}, q, g, y = g^x$ , where  $\mathbb{G} = \langle g \rangle$  is a cyclic group of prime order  $q$ , and Alice wants to convince Bob she knows  $x$



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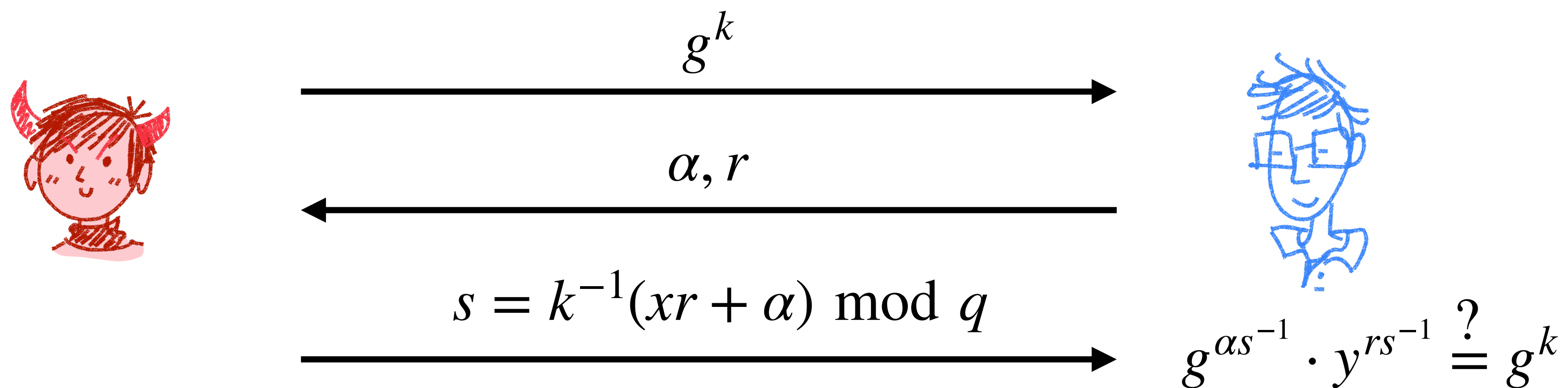
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**Correctness:**  $g^{\alpha s^{-1}} y^{rs^{-1}} = g^{\alpha s^{-1}} g^{xrs^{-1}} = g^{(\alpha+xr)s^{-1}} = g^{(\alpha+xr)k(\alpha+xr)^{-1}} = g^k$

# Security of this Identification Scheme

**Theorem:** If DL is hard relative to  $\mathcal{G}$  then this scheme is secure

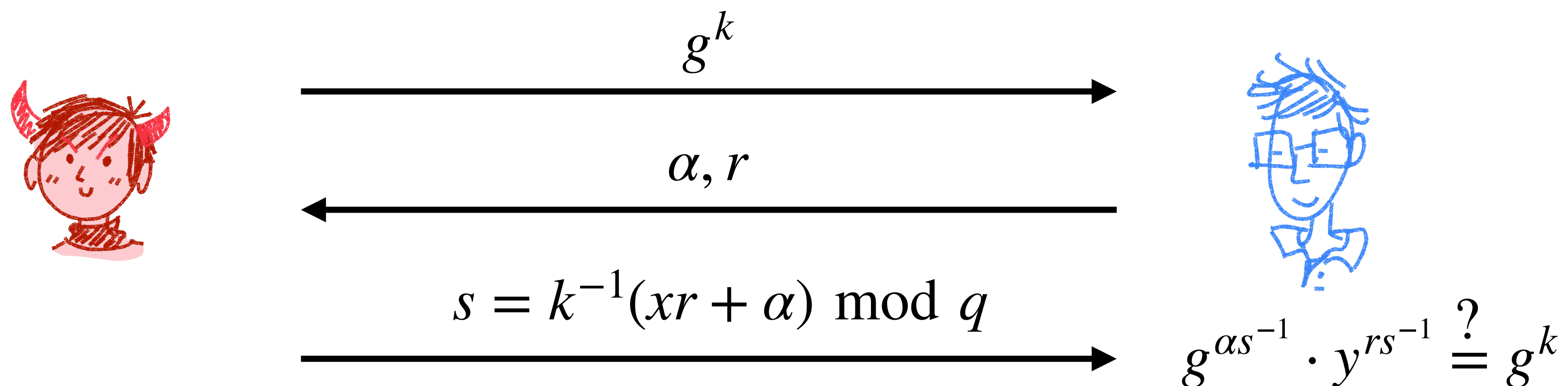


# Security of this Identification Scheme

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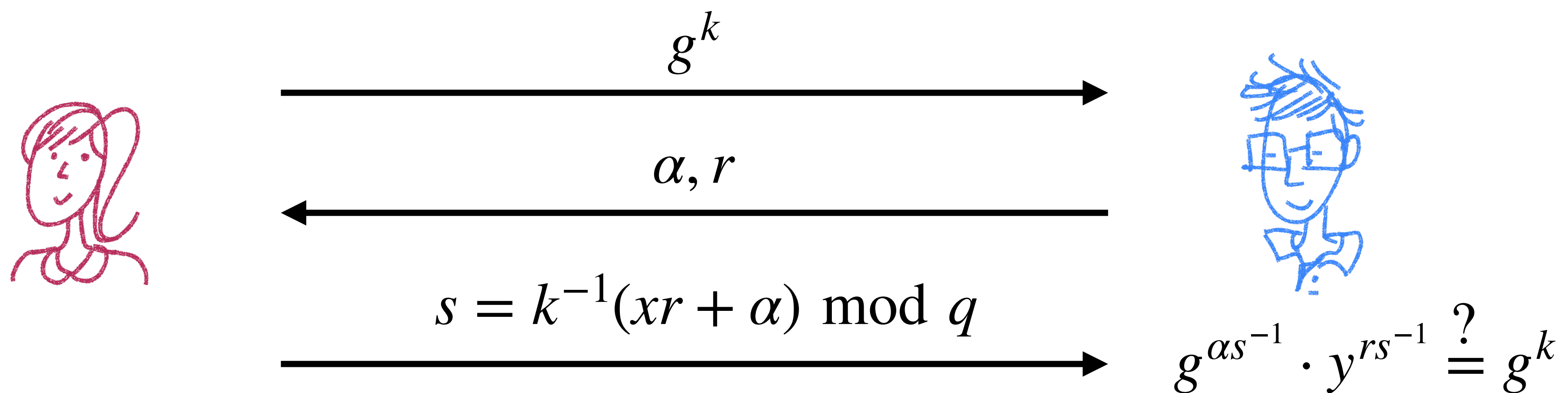
Proof follows very similarly to Schnorr's

- If Eve can answer two challenges for the same  $g^k$  she can break DL
- If Eve knows  $\alpha, r$  ahead of time, she can choose her messages to pass



# Converting to a Signature Scheme?

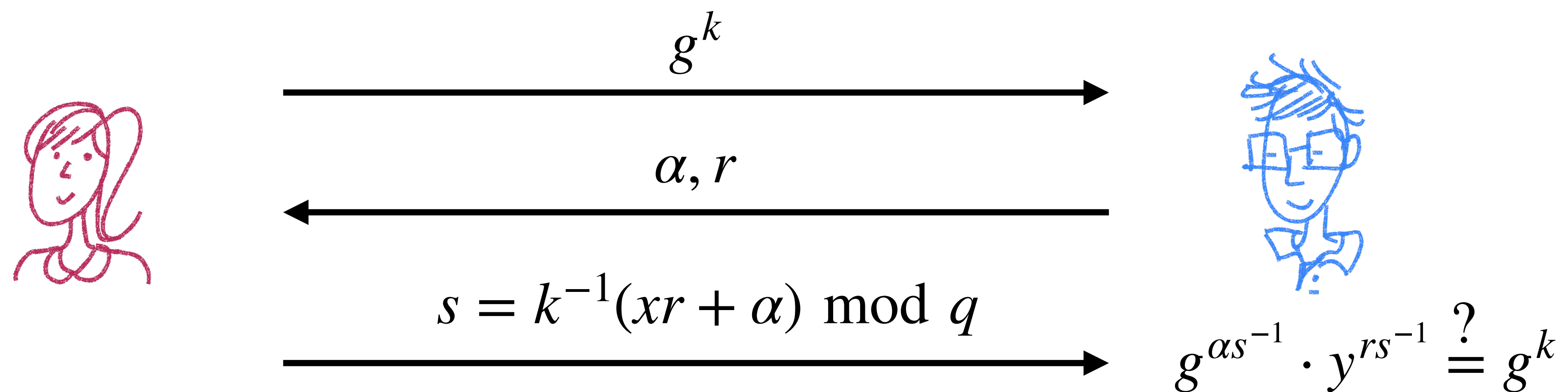
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# Converting to a Signature Scheme?

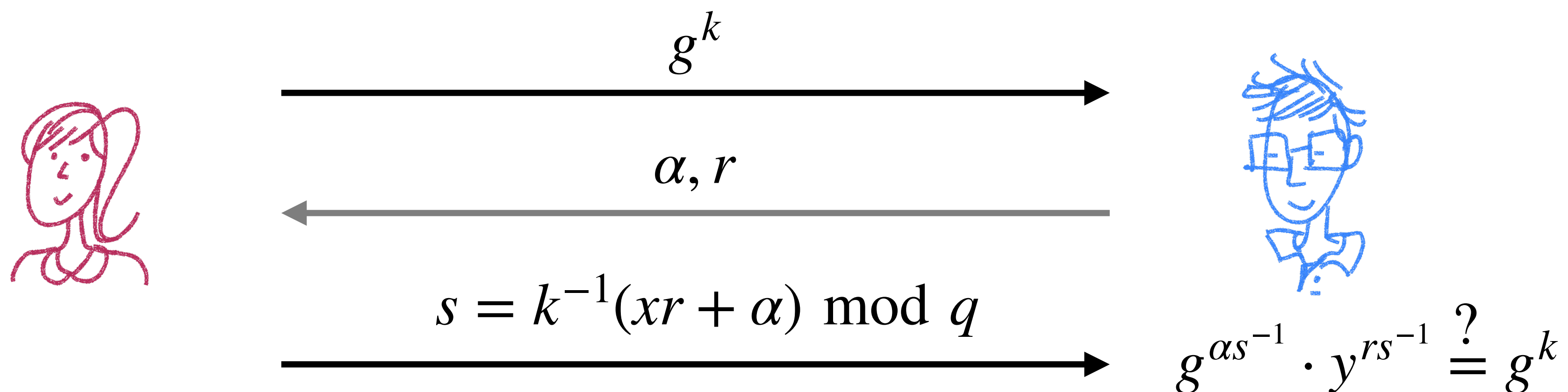
Can we use the Fiat-Shamir transform?

- Not exactly...



# Converting to a Signature Scheme?

- To sign a message  $m$ , set  $\alpha = H(m)$  using a hash  $H : \{0,1\}^* \rightarrow \mathbb{Z}_q$
- Set  $r = F(g^k)$  for a “simple” function  $F : \mathbb{G} \rightarrow \mathbb{Z}_q$ 
  - **Digital Signature Algorithm (DSA):**  $F(g^k) = g^k \bmod q$
  - **Elliptic Curve DSA (ECDSA):**  $F(g^k) =$  the x-coordinate of curve point  $g^k$



# DSA/ECDSA Signatures

Let  $\mathcal{G}$  be a PPT algorithm that on input  $1^n$  outputs  $(\mathbb{G}, q, g)$  where  $\mathbb{G}$  is a cyclic group of order  $q$  that is generated by  $g$  and  $q$  is an  $n$ -bit prime. Let  $H : \{0,1\}^* \rightarrow \mathbb{Z}_q$  and  $F : \mathbb{G} \rightarrow \mathbb{Z}_q$ .

- **Gen**( $1^n$ ): Run  $(\mathbb{G}, q, g) \leftarrow \mathcal{G}(1^n)$ , sample  $x \leftarrow \mathbb{Z}_q$ , and compute  $y = g^x$ . Set  $pk = (\mathbb{G}, q, g, y)$  and  $sk = x$
- **Sign** <sub>$sk$</sub> ( $m$ ): Sample  $k \leftarrow \mathbb{Z}_q$ , set  $r = F(g^k)$ , and  $s = k^{-1}(H(m) + xr) \bmod q$ . Output the signature as  $\sigma = (r, s)$
- **Verify** <sub>$pk$</sub> ( $m, \sigma$ ): Output 1 if  $r = F\left(g^{H(m)s^{-1}} y^{rs^{-1}}\right)$ . Otherwise output 0

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**Theorem:**

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**Theorem:** uh...

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- $\text{Sign}_{sk}(m)$ : Sample  $r \leftarrow \mathbb{Z}_q$  and compute  $u = H(m) + xr$ . Output the signature  $(r, F(g^u))$ .
- $\text{Verify}_{pk}(m, \sigma)$ : Output 1 if  $\sigma$  is a valid signature for  $m$  under  $pk$ , else 0.

DSA was introduced in the 90s without a proof.  
2002 and 2016/2017 we've had a few proofs but in idealized models + extra assumptions

**Theorem:** uh...

# DL-Based Signatures

- 1986: El Gamal proposed the
- 1989: Schnorr proposed a more efficient signature scheme
  - Security based on DL in the random oracle model
  - Schnorr patented it... and no one used it (patent expired in 2008)
- 1991: NIST proposed DSA (Digital Signature Algorithm)
  - Based on El Gamal and Schnorr, but sufficiently different
  - ECDSA: elliptic curve variant and is very popular
- 2011: EdDSA signatures
  - Essentially Schnorr's signatures on a specific family of elliptic curves called Edward's curves

Schnorr signatures are a big reason  
cryptographers don't like patenting things

# Next Time

- More on signatures!
  - Lamport signatures
- Zero knowledge?
- (After next lecture we'll be doing special topics)