

COMS BC3262: Introduction to Cryptography

Lecture 12: Number Theory

BARNARD COLLEGE OF COLUMBIA UNIVERSITY

Office Hours

Office hours:

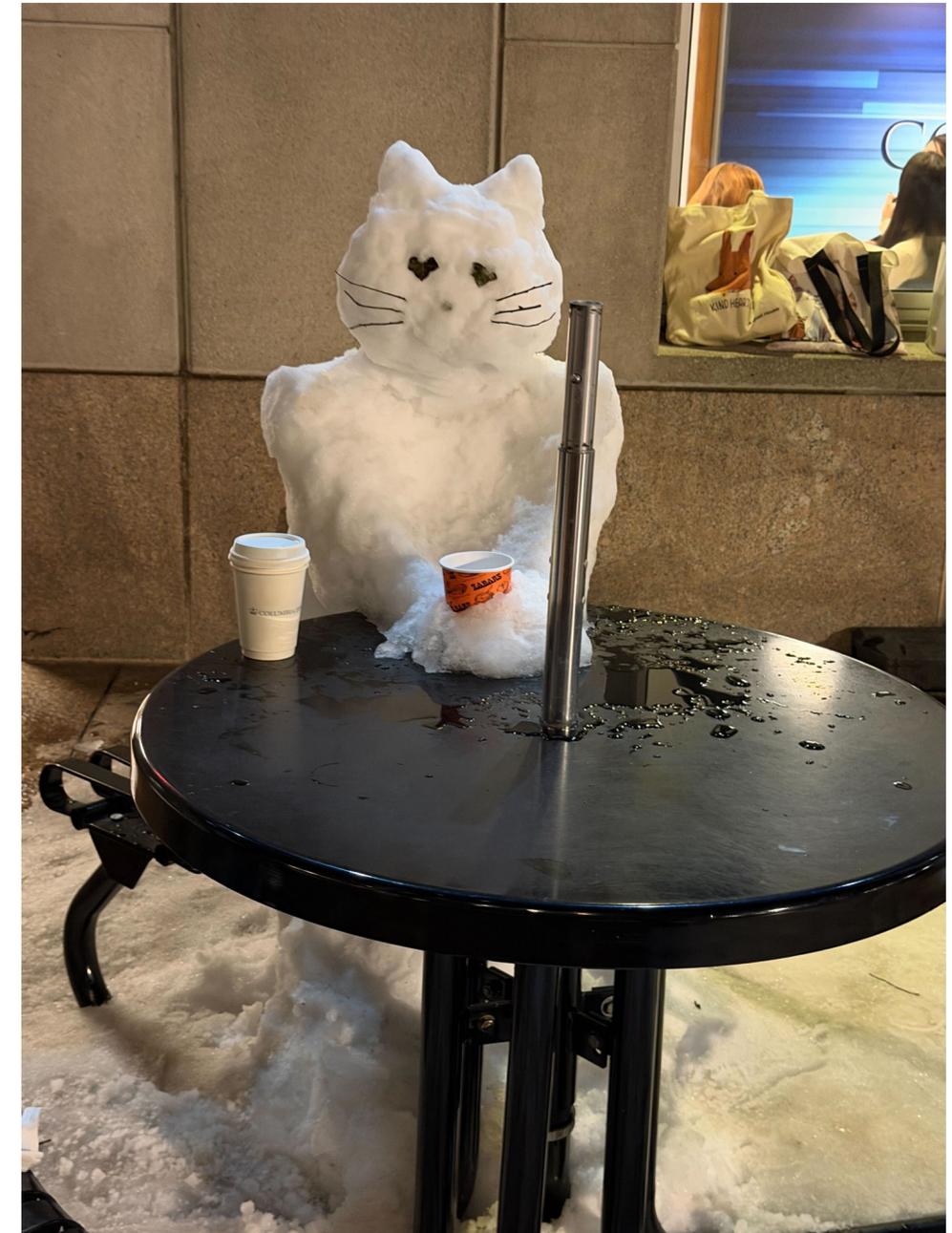
- **Eysa:** Mondays 3-5, Milstein 512
- **Mark:** Tuesdays 6:30-8:30, Milstein 503

Midterm

- There will be an **in-class written midterm** on **Wednesday, March 11**
- You may bring a single letter-sized reference sheet (double-sided)
 - You will be expected to submit your reference sheet along with your exam
- Exam is closed note, no technology, no collaboration
- *Exam will not cover any material introduced after last lecture (Lecture 11)*
- Next week Monday (March 9) will be a review session
 - Come with questions!
 - Lecture may end early if we run out of questions

Problem Sets

- PS 2 grades are out!
 - If you notice a mistake in the grading, please let me know by March 14
 - Regrades for PS 2 will be closed after that date!
- PS 3 is due Thursday, March 5
 - We will go over solutions the following Monday



3262 students very happy PS 2 was graded so quickly

Problem Set 2

- 45 points possible, scored out of 40 points
 - Question 1 was worth 15 + 5 points
- Highest grade was a 43 (more than one person got a 43, and a few more got 42, 41, and 40. Nice!)
- Median was a 37, mean was a 34.3
 - Vast majority got full points on questions 2, 3, and 4 (worth 25 points)

Today's Lecture

- Comments and Motivation
- Basics of Number Theory
- Groups

A Quick Disclaimer

- In this course, we are not going to go very in-depth in number theory
 - Our focus will be on basics that are needed for cryptography
 - We are definitely not doing this topic justice!
 - Those interested are encouraged to take relevant courses in the math department
- There are certain proofs in today's lecture I included for completeness but we are not going to spend much time on

Notes about Computational Efficiency

- Recall that runtime is defined in terms of the **length of the input**
 - We typically set our input length as the security parameter n
 - If our secret key is n -bits, that's 2^n possible keys
- We care about whether there exists an efficient algorithm for certain tasks
- On input N , an **integer N** is represented by a string of length **$\log_2 N$**
 - Therefore, a loop like “for 1 to N ” is *not* efficient (e.g., if $N = 2^{100}$ the input length is 100 but looping 2^{100} times is not efficient)
 - To be efficient, it needs to run in time polynomial in the length of the input, which for integer N is $\log N$

Basic Number Theory

Primes and Divisibility

We'll discuss the integers \mathbb{Z} (but will have the naturals \mathbb{N} in mind)

- For $a, b \in \mathbb{Z}$, we say that a divides b and write $a \mid b$ if $\exists c \in \mathbb{Z}$ such that $b = ac$
- If $a \mid b$ and a is positive, then we say a is a divisor of b
- A positive integer $p > 1$ is prime if the only divisors of p are $\{1, p\}$
- A positive integer $N > 1$ is composite if it is not prime

Primes and Divisibility

The fundamental theorem of arithmetic:

Every positive integer $N > 1$ can be expressed as a product of primes in a unique way (up to ordering), i.e., $N = \prod_i p_i^{e_i}$ where p_i is prime and $e_i \geq 1$

Note: This does not necessarily hold in arbitrary rings

Primes and Divisibility

- For $a, b \in \mathbb{N}$, there exists unique $q, r \in \mathbb{N}$ such that $a = qb + r$ and $r < b$
- The **greatest common divisor** of $a, b \in \mathbb{N}$ is the largest $c \in \mathbb{N}$ such that $c \mid a$ and $c \mid b$, which we denote as $c = \gcd(a, b)$
 - Example, $\gcd(12, 30) = 6$
- $\gcd(a, b)$ can be expressed as $aX + bY$ for $X, Y \in \mathbb{Z}$,
 - It is the smallest positive integer that can be expressed this way
 - Example: $6 = 12 \cdot (-2) + 30 \cdot 1$
- If $\gcd(a, b) = 1$, we say that a and b are **co-prime**

Primes and Divisibility

- The Euclidian algorithm computes $\gcd(a, b)$ in polynomial time
- The extended Euclidian algorithm computes $\gcd(a, b), X, Y$ in polynomial time

Primes and Divisibility

Claim: If $c \mid ab$ and $\gcd(a, c) = 1$, then $c \mid b$. Thus, if p is prime and $p \mid ab$, then either $p \mid a$ or $p \mid b$.

Example: Consider $c = 3$, $a = 5$, and $b = 6$

$3 \mid 5 \cdot 6$ and $\gcd(3, 5) = 1$, and it is indeed true $3 \mid 6$

Primes and Divisibility

Claim: If $c \mid ab$ and $\gcd(a, c) = 1$, then $c \mid b$. Thus, if p is prime and $p \mid ab$, then either $p \mid a$ or $p \mid b$.

Proof: (part 1)

- Since $c \mid ab$, there exists an integer d such that $ab = cd$
- Since $\gcd(a, c) = 1$, there exist $X, Y \in \mathbb{Z}$ such that $1 = aX + cY$
- By multiplying both sides by b we get that

$$b = abX + cbY = cdX + cbY = c \cdot (dX + bY)$$

- Since $dX + bY$ is an integer, we conclude that $c \mid b$

Primes and Divisibility

Claim: If $c \mid ab$ and $\gcd(a, c) = 1$, then $c \mid b$. Thus, if p is prime and $p \mid ab$, then either $p \mid a$ or $p \mid b$.

Proof: (part 2)

- For the second part, let p be a prime and assume that $p \mid ab$.
- Consider the two cases:
 - If $p \mid a$, then we're done :)
 - If $p \nmid a$, then it holds that $\gcd(a, p) = 1$. Hence, $p \mid b$ by the first part of the claim

Primes and Divisibility

Claim: If $a \mid N$, $b \mid N$, and $\gcd(a, b) = 1$, then $ab \mid N$

Example: Consider $a = 3$, $b = 5$, and $N = 30$

$3 \mid 30$, $5 \mid 30$, and $\gcd(3, 5) = 1$, and indeed $15 \mid 30$

Primes and Divisibility

Claim: If $a \mid N$, $b \mid N$, and $\gcd(a, b) = 1$, then $ab \mid N$

Proof:

- Since $a \mid N$, there exists an integer c such that $ac = N$
- Since $b \mid N$, there exists an integer d such that $bd = N$
- Since $\gcd(a, b) = 1$, there exists $X, Y \in \mathbb{Z}$ such that $1 = aX + bY$
- Multiplying both sides by N we get

$$N = aXN + bYN = aXbd + bYac = ab \cdot (dX + cY)$$

- Therefore, $ab \mid N$

Modular Arithmetic

Definition: Let $a, b, N \in \mathbb{Z}$ and $N > 1$

- $a = b \pmod N$ if $N \mid (a - b)$ (also denoted as $a \equiv b \pmod N$)
 - a and b are congruent modulo N
- We denote $[a \pmod N]$ the unique $r \in \{0, \dots, N - 1\}$ such that $a = r \pmod N$

Examples:

- $7 = 22 \pmod{15}$
- $1 = 14581 \pmod{2}$

Modular Arithmetic

Definition: Let $a, b, N \in \mathbb{Z}$ and $N > 1$. $a = b \pmod N$ if $N \mid (a - b)$ (also denoted as $a \equiv b \pmod N$)

Congruence mod N is an equivalence relation

- **Reflexive:** $a = a \pmod N$

- $N \mid (a - a) = 0$ since $N \cdot 0 = 0$

- **Symmetric:** $a = b \pmod N$ implies $b = a \pmod N$

- If $\exists u$ such that $N \cdot u = a - b$, then $N \cdot (-u) = b - a$

- **Transitive:** If $a = b \pmod N$ and $b = c \pmod N$, then $a = c \pmod N$

- If $\exists u, v$ such that $N \cdot u = a - b$ and $N \cdot v = b - c$, then

$$N \cdot (u + v) = N \cdot u + N \cdot v = a - b + b - c = a - c$$

Modular Arithmetic: Addition and Multiplication

Congruence mod N respects addition and multiplication

- If $a = a' \pmod N$ and $b = b' \pmod N$ then
 - **Addition:** $(a + b) = (a' + b') \pmod N$
 - **Multiplication:** $a \cdot b = a' \cdot b' \pmod N$

Modular Arithmetic: Addition and Multiplication

Examples:

- What is $7 \cdot 8 \pmod{5}$?

$$(7 \pmod{5}) \cdot (8 \pmod{5}) \pmod{5} = 2 \cdot 3 \pmod{5} = 6 \pmod{5} = 1$$

- What is $[1093028 \cdot 190301 \pmod{100}]$?

$$1093028 \cdot 190301 \pmod{100}$$

$$= [1093028 \pmod{100}] \cdot [190301 \pmod{100}] \pmod{100}$$

$$= 28 \cdot 1 \pmod{100}$$

$$= 28 \pmod{100}$$

Modular Arithmetic: Division

Congruence mod N does *not* respect division

- Example: For $N = 24$, we have $3 \cdot 2 = 6 = 15 \cdot 2 \pmod{24}$, but $3 \not\equiv 15 \pmod{24}$

Modular Arithmetic: Division

Definition: b is **invertible** mod N if there exists c such that $bc = 1 \pmod{N}$. In this case, we denote the **multiplicative inverse** of b in $\{0, \dots, N - 1\}$ as b^{-1} .

If $ab = cb \pmod{N}$ and b is invertible mod N ,
then $(ab) \cdot b^{-1} = (cb) \cdot b^{-1} \pmod{N}$. Therefore, $a = c \pmod{N}$

Claim: Let $b \geq 1$ and $N > 1$. Then b is invertible mod N gif $\gcd(b, N) = 1$.

Groups

Groups

Definition: A **group** is a set \mathbb{G} along with a binary operation \circ satisfying

- **Closure:** $\forall g, h \in \mathbb{G}$, it holds that $g \circ h \in \mathbb{G}$
- **Identity element:** $\exists 1_{\mathbb{G}} \in \mathbb{G}$ such that $\forall g$ it holds that $g \circ 1_{\mathbb{G}} = 1_{\mathbb{G}} \circ g = g$
- **Inverse element:** $\forall g \exists g^{-1}$ such that $g \circ g^{-1} = g^{-1} \circ g = 1_{\mathbb{G}}$
- **Associativity:** $\forall g_1, g_2, g_3 \in \mathbb{G}$ it holds that $g_1 \circ (g_2 \circ g_3) = (g_1 \circ g_2) \circ g_3$

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- Denote by $|\mathbb{G}|$ the cardinality of \mathbb{G} . If \mathbb{G} is finite, this is the number of elements.
- $|\mathbb{G}|$ is the **order** of (\mathbb{G}, \circ)
- (\mathbb{G}, \circ) is **commutative (Abelian)** if $\forall g, h \in \mathbb{G}$ it holds that $g \circ h = h \circ g$
- (\mathbb{H}, \circ) is a **sub-group** of (\mathbb{G}, \circ) if (\mathbb{H}, \circ) is a group and $\mathbb{H} \subseteq \mathbb{G}$

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- Is $(\mathbb{Z}, +)$ a group?
- Is (\mathbb{Z}, \cdot) a group?
- Is $(\mathbb{R}, +)$ a group?
- Is (\mathbb{R}, \cdot) a group?
- Is (\mathbb{R}^*, \cdot) a group, where $\mathbb{R}^* = \mathbb{R} \setminus \{0\}$?

Group Exponentiation

Given $g \in \mathbb{G}$, we can consider applying the group operation on g multiple times: $((g \circ g) \circ g \dots) \circ g$

- This is called **group exponentiation**
- In multiplicative notation we write $g^m = g \cdot \dots \cdot g$
 - Not to be confused with integer multiplication/exponentiation
- We define $g^0 = 1$ and $g^{-m} = (g^{-1})^m$
- $g^{m_1} \cdot g^{m_2} = g^{m_1+m_2}$
- If \mathbb{G} is commutative, then $(gh)^m = g^m \cdot h^m$

Groups

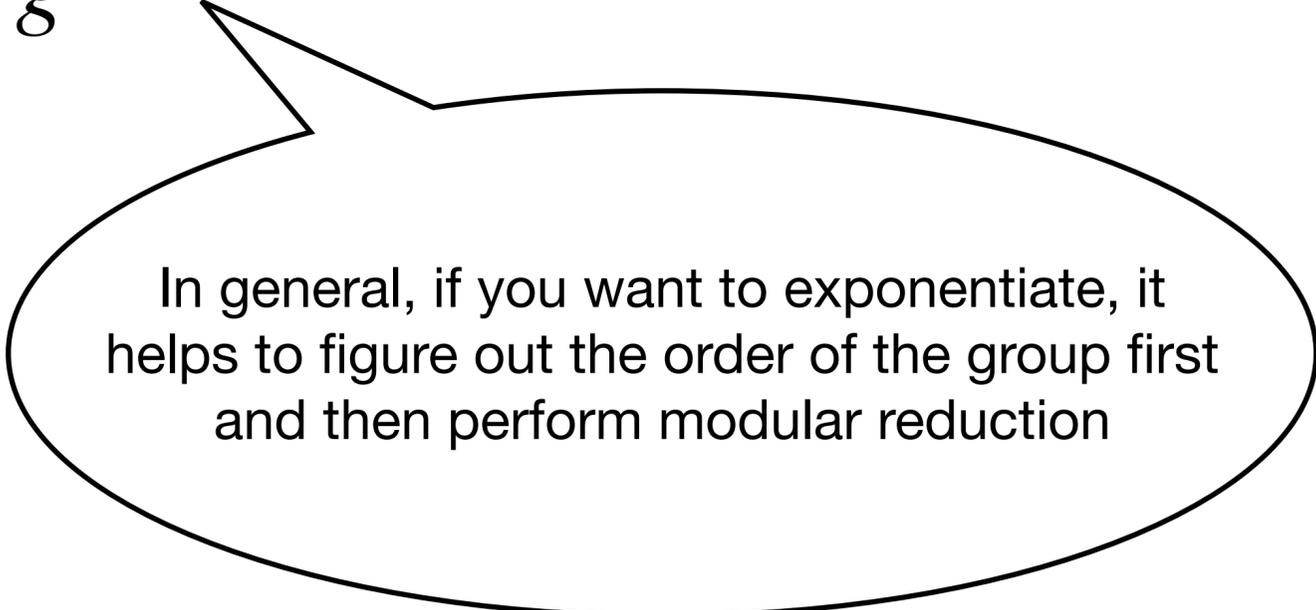
Theorem: Let \mathbb{G} be a finite group of order $m = |\mathbb{G}|$. Then, for every $a \in \mathbb{G}$, it holds that $a^m = 1$

Corollary: Let \mathbb{G} be a finite group of order $m = |\mathbb{G}| > 1$. Then, for every $g \in \mathbb{G}$ and every integer x it holds that $g^x = g^{[x \bmod m]}$

Groups

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Corollary: Let \mathbb{G} be a finite group of order $m = |\mathbb{G}| > 1$. Then, for every $g \in \mathbb{G}$ and every integer x it holds that $g^x = g^{[x \bmod m]}$



In general, if you want to exponentiate, it helps to figure out the order of the group first and then perform modular reduction

The Group $(\mathbb{Z}_N, +)$

The group $(\mathbb{Z}_N, +)$ for $N > 1$

- Consider the set $\mathbb{Z}_N = \{0, \dots, N - 1\}$ with the operation addition mod N
- **Closure:** By definition, for $a, b \in \mathbb{Z}_N$, it holds that $[a + b \text{ mod } N] \in \mathbb{Z}_N$
- The **identity** element is $[0 \text{ mod } N]$
- The (additive) **inverse** of $a \in \mathbb{Z}_N$ is $[N - a \text{ mod } N]$
- Associativity and commutativity follow from these properties in the integers

The Group \mathbb{Z}_N^*

- Is (\mathbb{Z}_N, \cdot) a group?
 - No! Not every element has an inverse
 - For example, 2 is not invertible in \mathbb{Z}_4
- What are the invertible elements in \mathbb{Z}_{10} ?

$$\mathbb{Z}_{10} = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$$

The Group \mathbb{Z}_N^*

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 - No! Not every element has an inverse
 - For example, 2 is not invertible in \mathbb{Z}_4
- What are the invertible elements in \mathbb{Z}_{10} ?

$$\mathbb{Z}_{10} = \{\cancel{0}, 1, \cancel{2}, 3, \cancel{4}, \cancel{5}, 6, 7, \cancel{8}, 9\}$$

The Group \mathbb{Z}_N^*

- For $N > 1$, define $\mathbb{Z}_N^* = \{a \in \{1, \dots, N-1\} \mid \gcd(a, N) = 1\}$ with the group operation $ab = [ab \bmod N]$
- \mathbb{Z}_N^* is a commutative group
 - **Closure:** If $a, b \in \mathbb{Z}_N^*$, then $[ab \bmod N]$ has inverse $[b^{-1}a^{-1} \bmod N]$
 - **Identity:** 1 is the identity element
 - **Inverse:** If $a \in \mathbb{Z}_N^*$, i.e., $\gcd(a, N) = 1$, then $Xa + YN = 1$ and $a^{-1} = [X \bmod N]$
 - **Associativity** and **commutativity** follow from these properties in the integers

The Group \mathbb{Z}_N^*

Euler's phi function / Euler's totient function: $\phi(N)$ is the order of \mathbb{Z}_N^*

e.g., $\phi(N) = |\mathbb{Z}_N^*|$

- How large many elements are in \mathbb{Z}_N^* ?

The Group \mathbb{Z}_N^*

How large many elements are in \mathbb{Z}_N^* ?

- Consider $N = p$ is a prime
 - Every $a \in \{1, \dots, p - 1\}$ is co-prime to p
 - $\phi(p) = p - 1$
- Consider $N = pq$, where p and q are primes
 - Every $a \in \{1, \dots, N - 1\}$ is not co-prime to N if it is either $p \mid a$ or $q \mid a$
 - There are $q - 1$ elements divisible by p : $p, 2p, 3p, \dots, (q - 1)p$
 - There are $p - 1$ elements divisible by q : $q, 2q, 3q, \dots, (p - 1)q$
 - $\phi(N) = N - 1 - (p - 1) - (q - 1) = pq - p - q + 1 = (p - 1)(q - 1)$

The Group \mathbb{Z}_N^*

Theorem: Let $N = \prod_i p_i^{e_i}$, where p_i are distinct primes and $e_i \geq 1$. Then

$$\phi(N) = \prod_i p_i^{e_i-1} (p_i - 1)$$

The Group \mathbb{Z}_N^*

Corollary: Let $N > 1$ and let $a \in \mathbb{Z}_N^*$. Then $a^{\phi(N)} = 1 \pmod{N}$

If $N = p$ is prime and $a \in \{1, \dots, p - 1\}$ then $a^{p-1} = 1 \pmod{p}$

Next Time

- Today
 - Number Theory
- Wednesday
 - More Number Theory!
 - RSA
 - DL