

COMS BC3262: Introduction to Cryptography

# Lecture 11: Collision-Resistant Hash Functions

BARNARD COLLEGE OF COLUMBIA UNIVERSITY

# Logistics: Problem Sets

- PS 1 grades are out!
  - If you notice a mistake in the grading, please let me know by March 9
  - Regrades for PS 1 will be closed after that date!
- I expect to finish grading PS 2 before the midterm
- PS 3 is due next week Thursday, March 5



3262 students patiently waiting for their assignments to be graded

# Logistics: Midterm

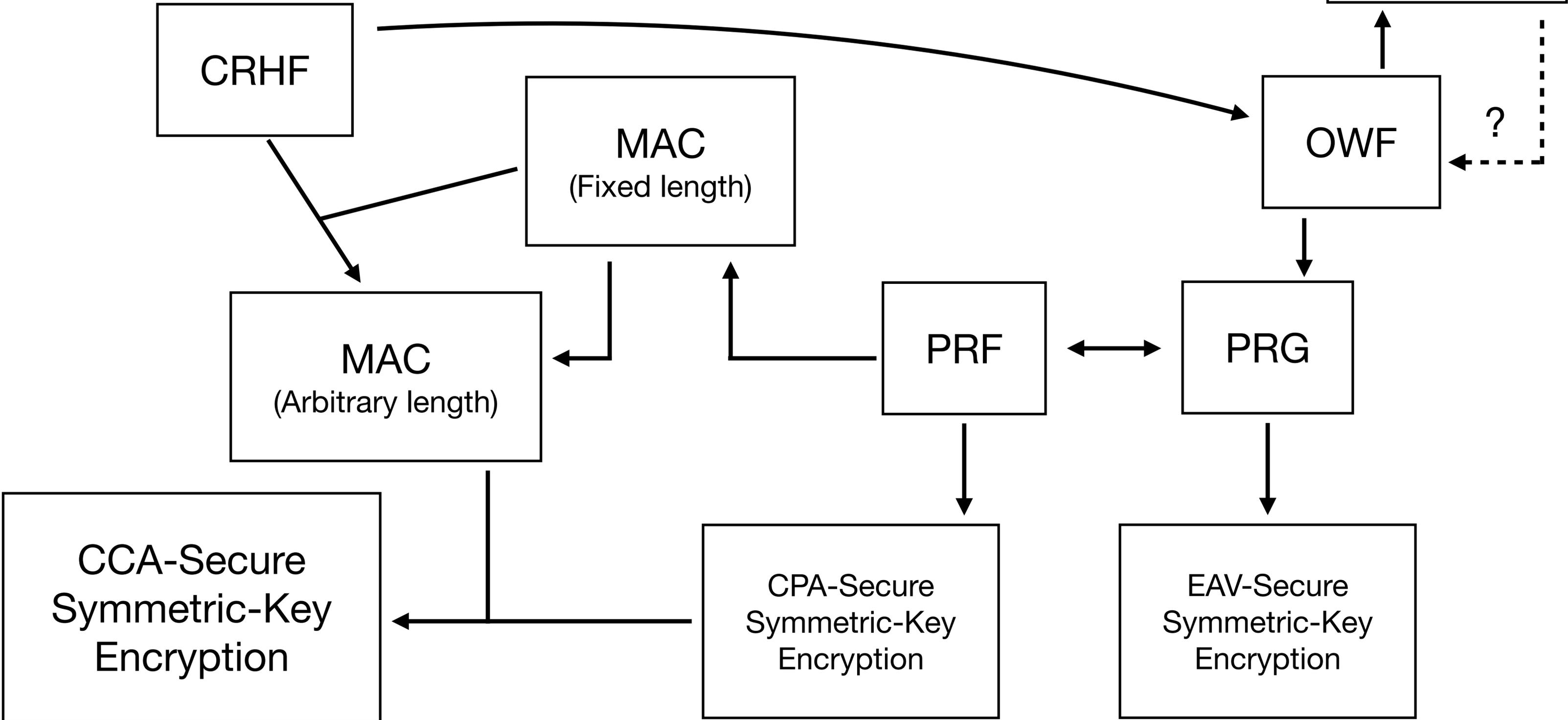
- There will be an **in-class written midterm** on **Wednesday, March 11**
  - *Please contact CARDS or ODS as soon as possible if you need accommodations*
  - It is worth 25% of your grade
- You may bring a single letter-sized reference sheet (double-sided)
  - You will be expected to submit your reference sheet along with your exam
- Exam is closed note, no technology, no collaboration
- Exam will not cover any material introduced *after* Lecture 12 (next one)

# Looking back and looking forward

- Lecture before the exam (Monday, March 9) will be a review session
  - We'll go over all of the problem set answers again
  - Come with questions!
  - Lecture may end early if no one has questions

# The World of Crypto Primitives We've Seen So Far (updated)

$P \neq NP$



# Today's Lecture

- CRHF Review
  - Merkle-Damgård (Domain Extension)
- Hash and Authenticate
- Random Oracle Model
- More on Applications

# Collision-Resistant Hash Functions

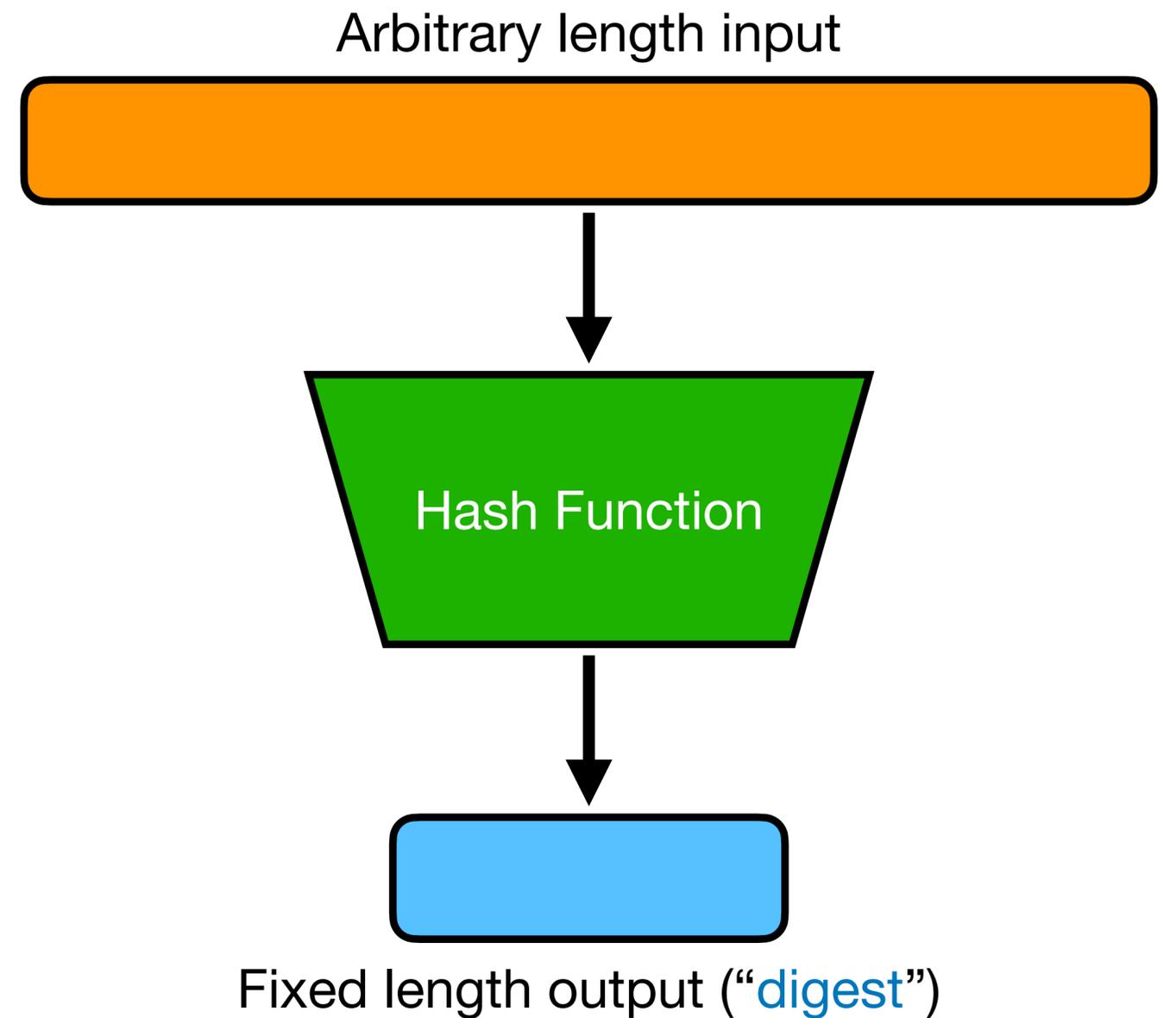
# Hash Functions

A **hash function** maps strings of arbitrary length to a fixed-length output

$$H : \{0,1\}^* \rightarrow \{0,1\}^n \text{ for some } n \in \mathbb{N}$$

Desired properties:

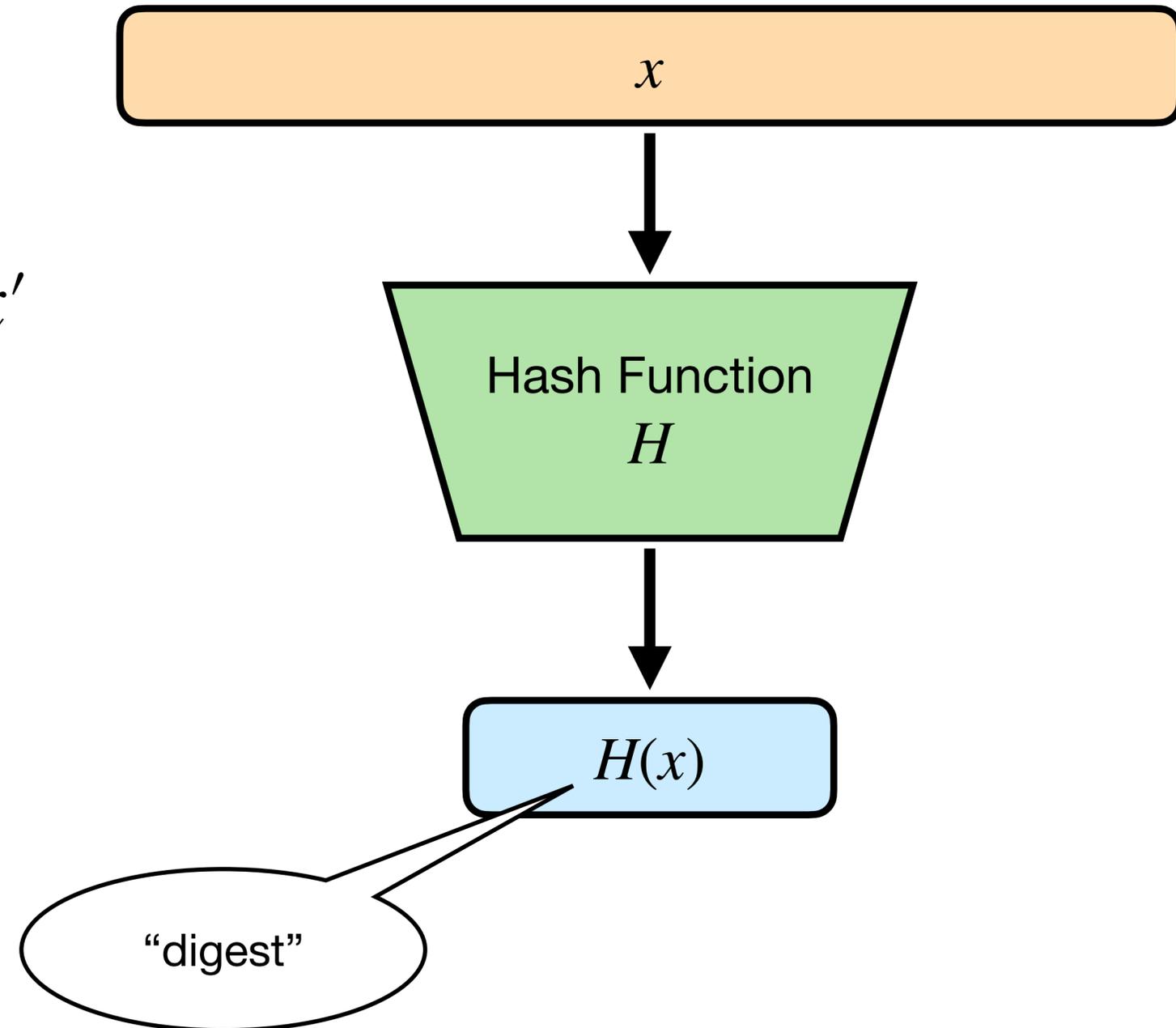
- Compressing
- Given  $x \in \{0,1\}^*$  and  $y \in \{0,1\}^n$  can verify whether  $y = H(x)$
- Output is distributed “randomly” (minimizes collisions)



# Collision-Resistant Hash Functions

Goals:

- **Compress** arbitrarily-long inputs into short fixed-length outputs
- It should be hard to find a **collision**  $x \neq x'$  such that  $H(x) = H(x')$



# Collision-Resistant Hash Functions

**Syntax:**  $\Phi = (\text{Gen}, H)$

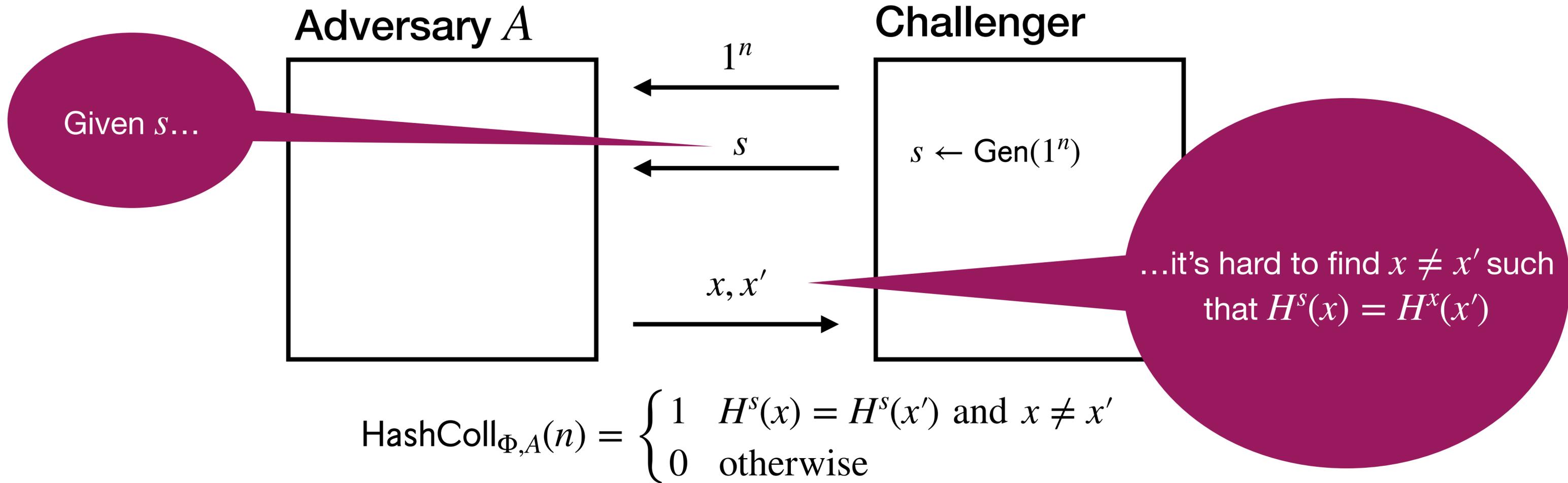
- **Key generation:** (**randomized**) algorithm  $\text{Gen}(1^n)$  outputs a key  $s$
- **Evaluation:** (**deterministic**) algorithm  $H$  takes input  $s$  and  $x \in \{0,1\}^*$  and outputs  $H^s(x) = H(s, x) \in \{0,1\}^{\ell(n)}$

If  $H^s$  is defined only for inputs  $x \in \{0,1\}^{\ell'(n)}$  and  $\ell'(x) > \ell(n)$ , then we say that  $(\text{Gen}, H)$  is a **fixed-length** hash function for inputs of length  $\ell'(n)$

- Also known as a **compression function**

# Collision-Resistant Hash Functions

Given  $\Phi = (\text{Gen}, H)$  and an adversary  $A$ , consider the experiment  $\text{HashColl}_{\Phi, A}(n)$ :



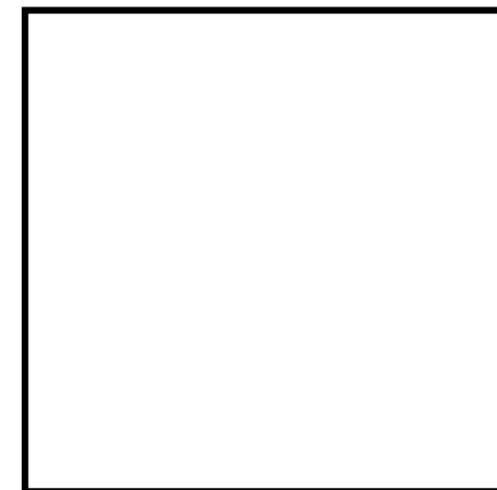
# Collision-Resistant Hash Functions

## Definition:

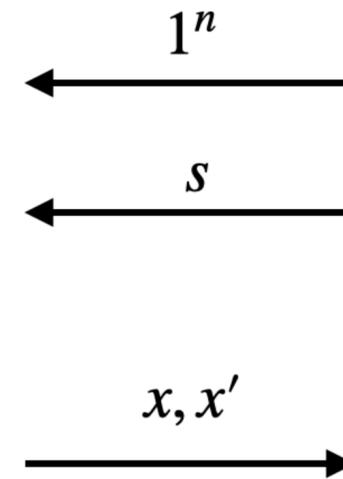
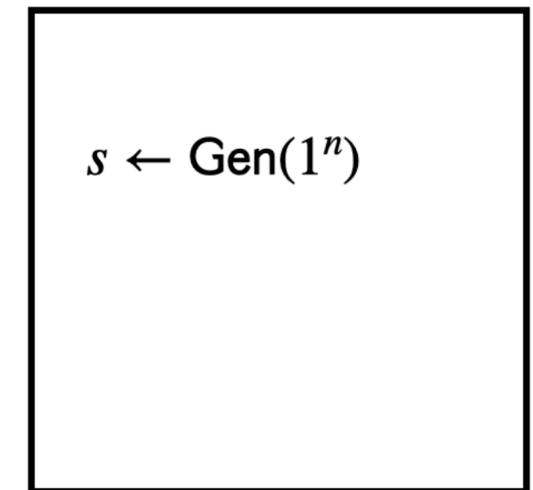
A **collision-resistant hash function (CRHF)** is a pair of polynomial-time algorithms  $\Phi = (\text{Gen}, H)$  s.t.  $\Phi$  satisfies **collision resistance**. That is, for every PPT adversary  $A$  there exists a negligible function  $\epsilon(\cdot)$  such that

$$\Pr[\text{HashColl}_{\Phi, A}(n) = 1] \leq \epsilon(n)$$

Adversary  $A$



Challenger



$$\text{HashColl}_{\Phi, A}(n) = \begin{cases} 1 & H^s(x) = H^s(x') \text{ and } x \neq x' \\ 0 & \text{otherwise} \end{cases}$$

# Domain Extension

# Domain Extension

Suppose we had a  $F^s : \{0,1\}^{2n} \rightarrow \{0,1\}^n$  for **fixed-length input**.

(We'll see later some constructions for fixed-length messages)

Can we construct a  $H^s : \{0,1\}^* \rightarrow \{0,1\}^n$  for **arbitrary-length input**?

We will see the **Merkle-Damgård transformation**

# Merkle-Damgård Transform

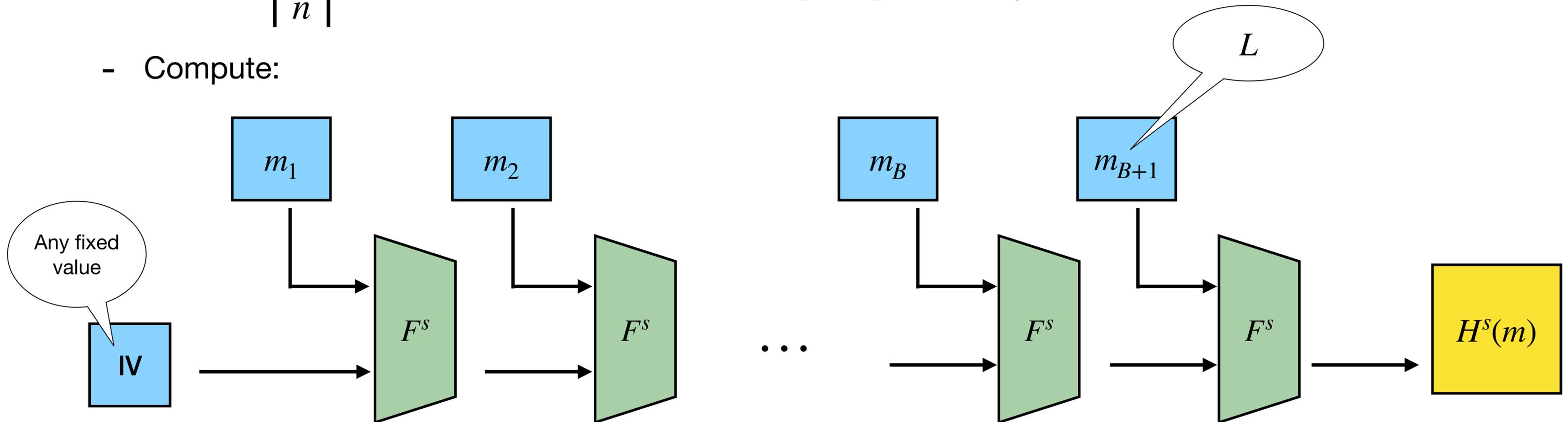
Let  $(\text{Gen}, F)$  be a **fixed-length** CRHF such that  $F^s : \{0,1\}^{2n} \rightarrow \{0,1\}^n$ .

Construct  $H^s : \{0,1\}^* \rightarrow \{0,1\}^n$  as follows:

- Given  $m \in \{0,1\}^*$  of length  $L = |m| \leq 2^n$ .

- Let  $B = \left\lceil \frac{L}{n} \right\rceil$  (pad  $m$  with zeros if needed). Write  $m = m_1 \dots m_B$  where  $m_i \in \{0,1\}^n$ .

- Compute:



# Hash and Authenticate

# Authenticating Arbitrary-Length Messages

$$m = \begin{array}{|c|c|c|c|c|c|c|c|} \hline m_1 & m_2 & & \dots & & \dots & & m_d \\ \hline \end{array}$$

Suppose we had a  $(\text{Gen}, \text{Mac}, \text{Verify})$  for fixed-length messages and a collision-resistant hash function  $\Phi = (\text{Gen}_H, H)$ .

Can we construct a  $(\hat{\text{Gen}}, \hat{\text{Mac}}, \hat{\text{Verify}})$  for arbitrary-length messages?

# Hash-and-Authenticate

Let  $\Pi = (\text{Gen}, \text{Mac}, \text{Verify})$  be a fixed-length MAC and let  $\Phi = (\text{Gen}_H, H)$  be a keyed hash function.

Consider the following MAC scheme  $\hat{\Phi} = (\hat{\text{Gen}}, \hat{\text{Mac}}, \hat{\text{Verify}})$  for arbitrary-length messages:

- **Key generation:** On input  $1^n$ , sample  $k \leftarrow \text{Gen}(1^n)$  and  $s \leftarrow \text{Gen}_H(1^n)$  and output  $(k, s)$ .

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What is the contrapositive of this?

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What is the contrapositive of this?

Not C implies not A or not B

# Hash-and-Authenticate

## Theorem:

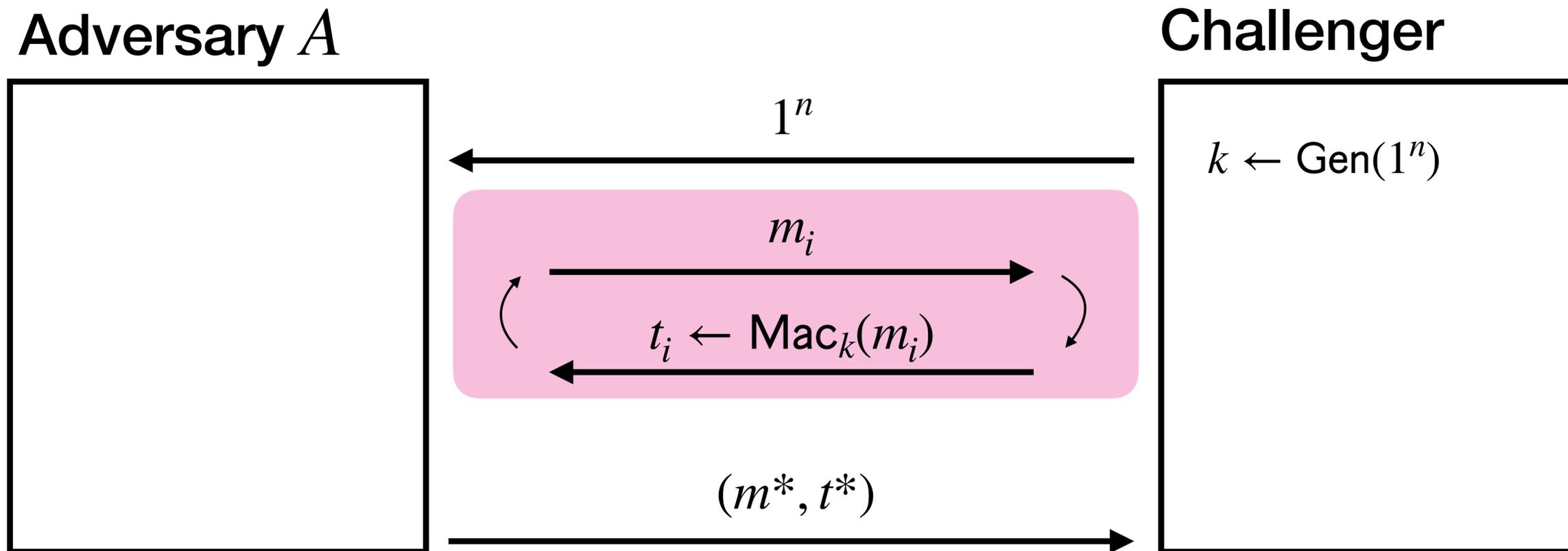
If  $\Pi$  is a secure MAC and  $\Phi$  is collision resistant, then  $\hat{\Pi}$  is a secure MAC.

## Proof idea:

Given any forger  $\hat{A}$  for  $\hat{\Pi}$ , you can construct either a forger  $A$  for  $\Pi$  or a collision-finder  $C$  for  $\Phi$ .

# Recall: MAC Security

Let  $\Pi = (\text{Gen}, \text{Mac}, \text{Verify})$ . We define  $\text{MacForge}_{\mathcal{A}, \Pi}(n)$  as follows

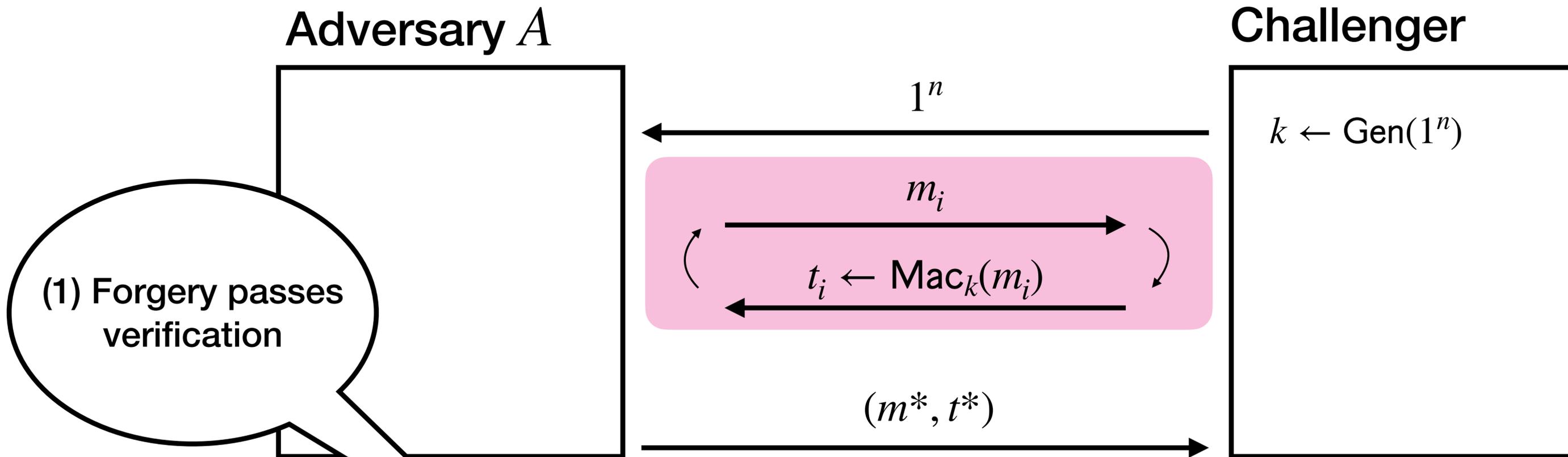


We say the adversary succeeds ( $\text{MacForge}_{\mathcal{A}, \Pi}(n) = 1$ ) if:

1.  $\text{Verify}_k(m^*, t^*) = 1$
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(2) Forgery is on a new message

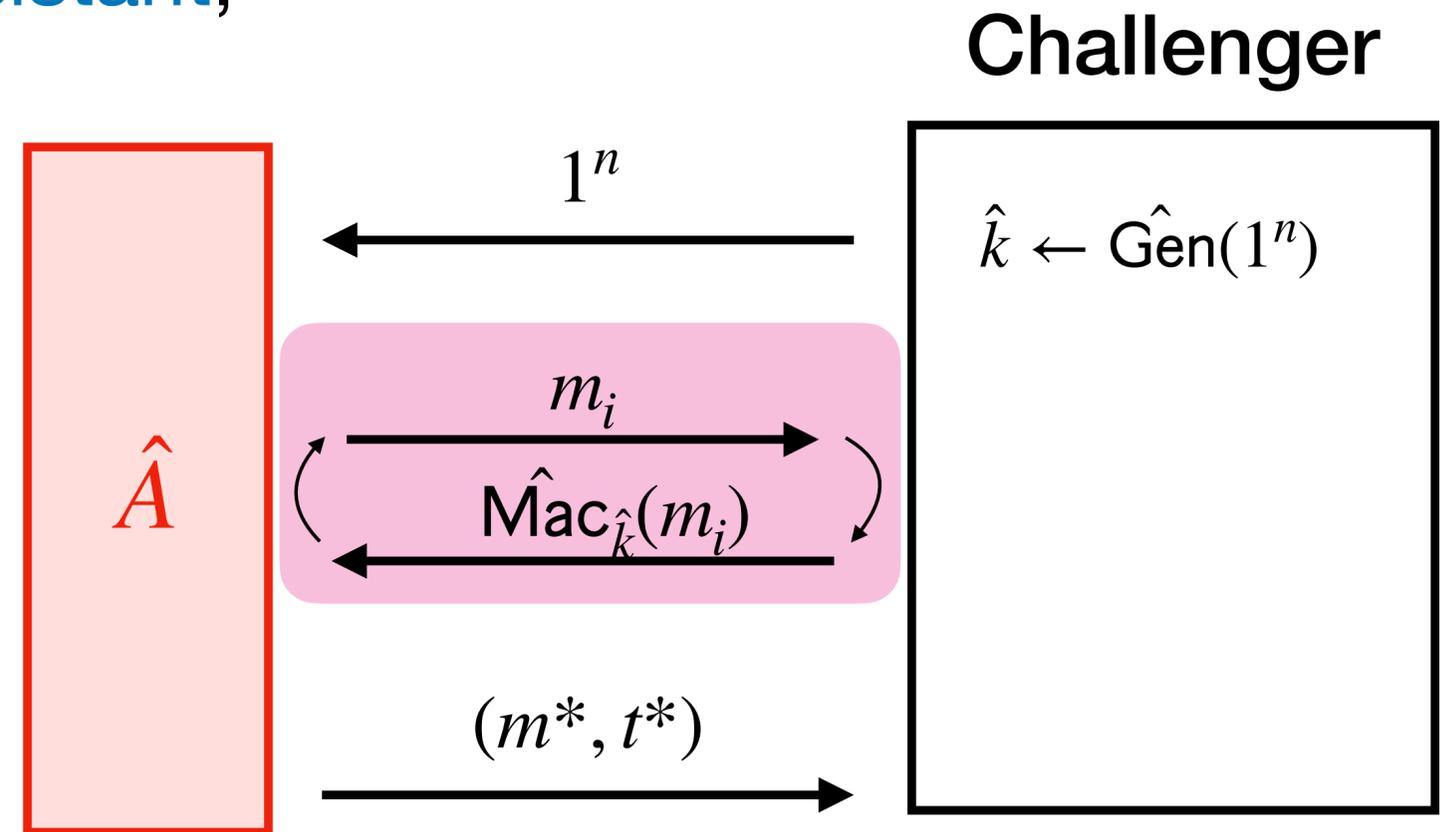
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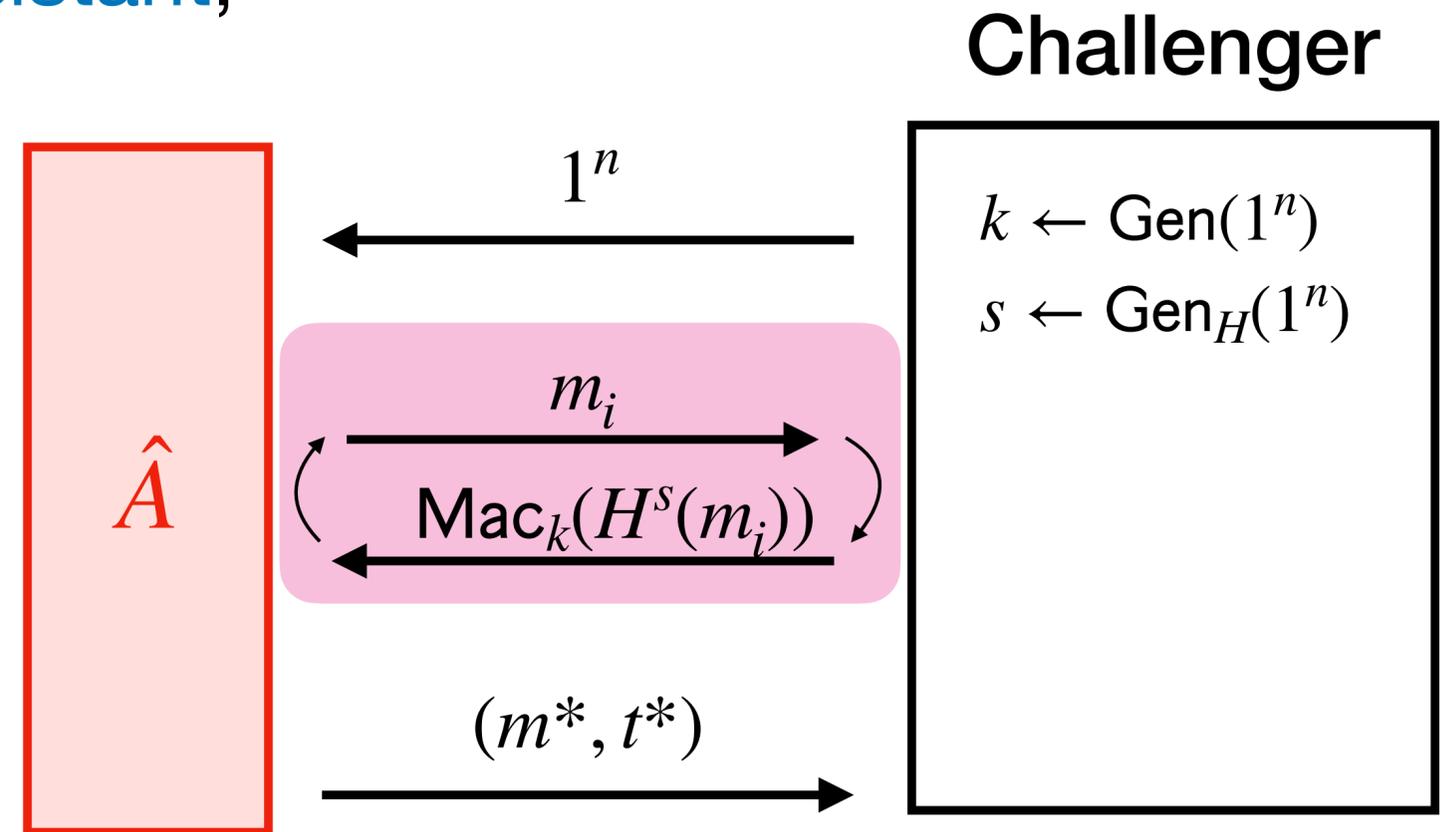
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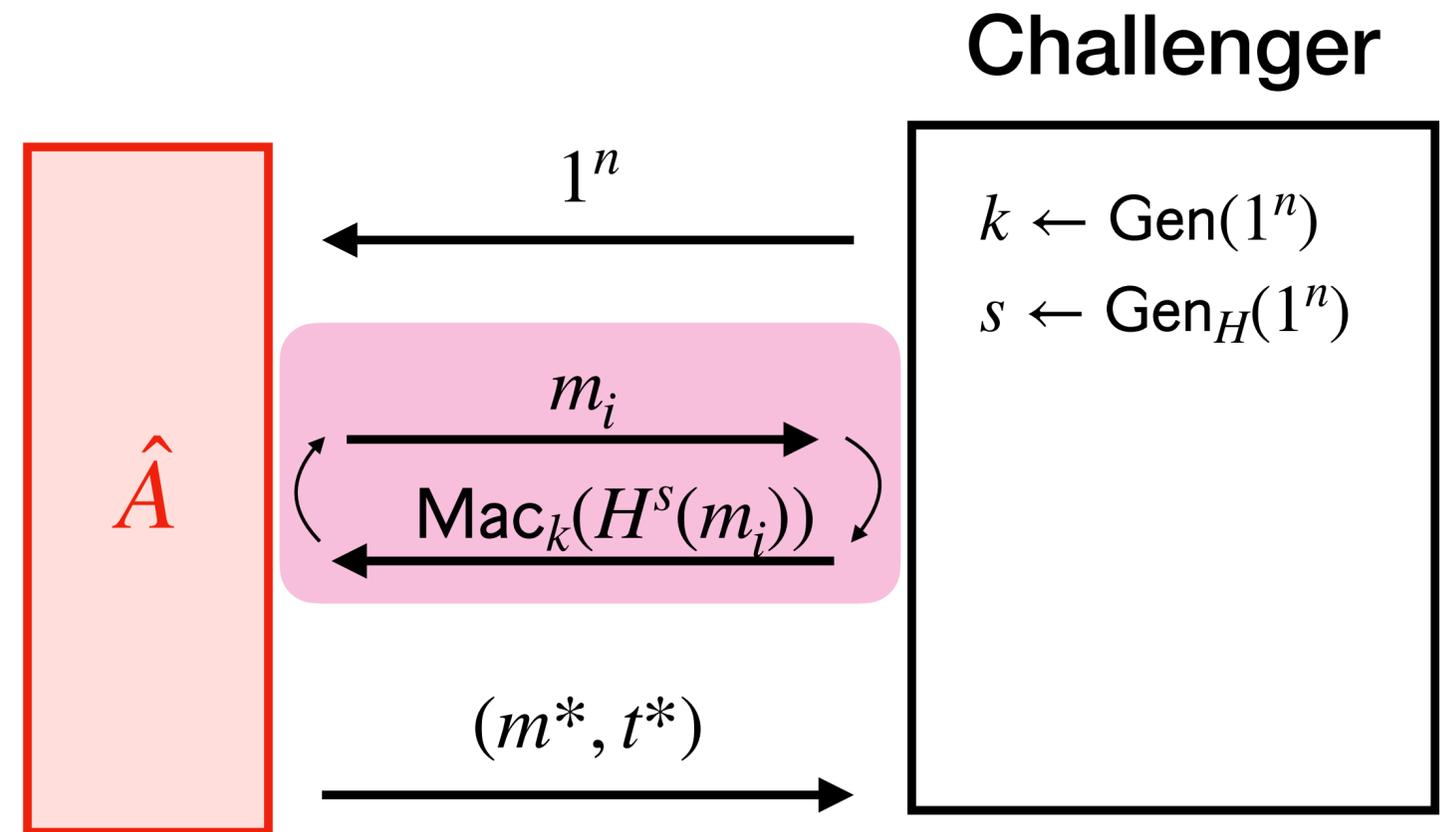
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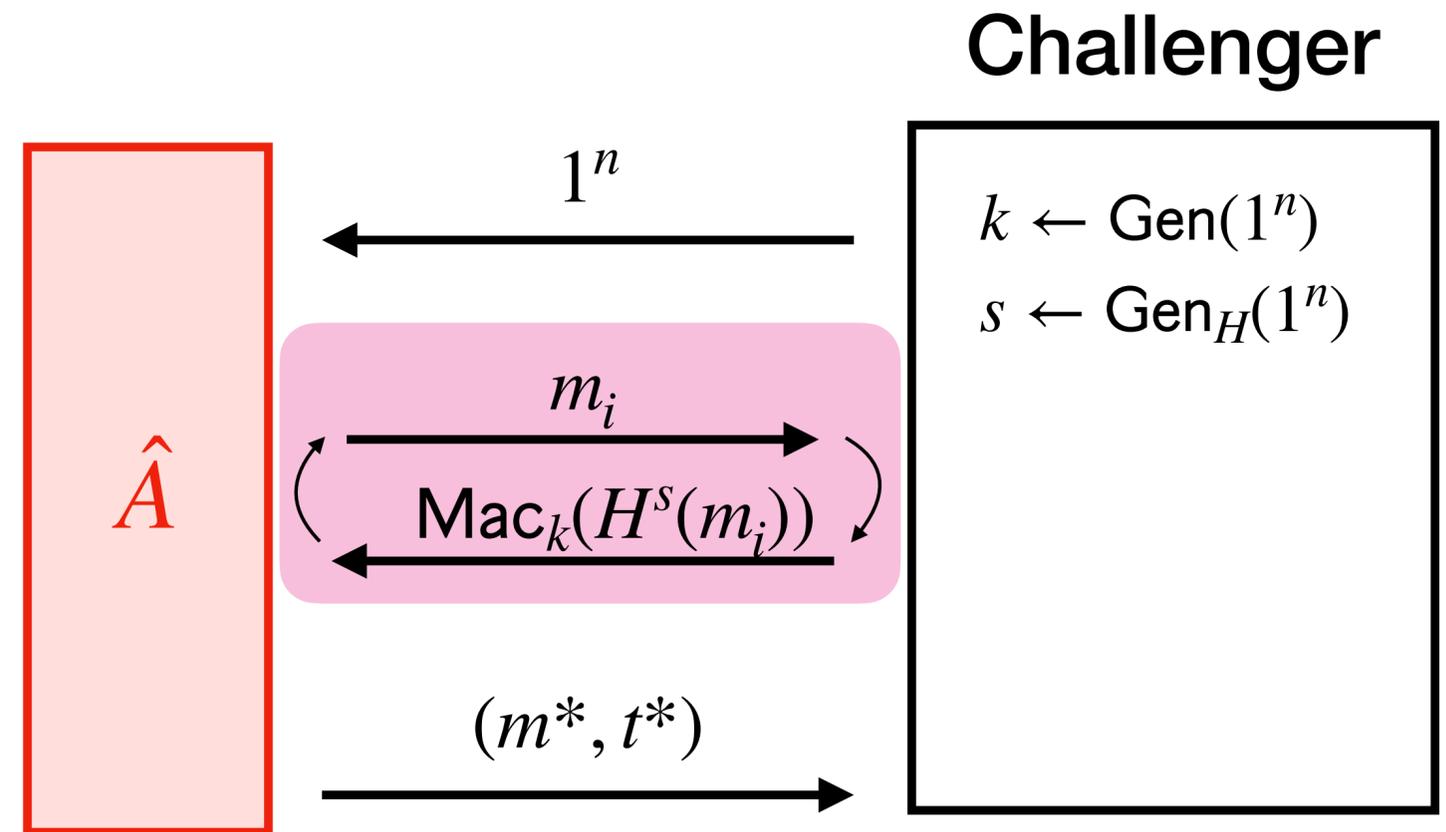
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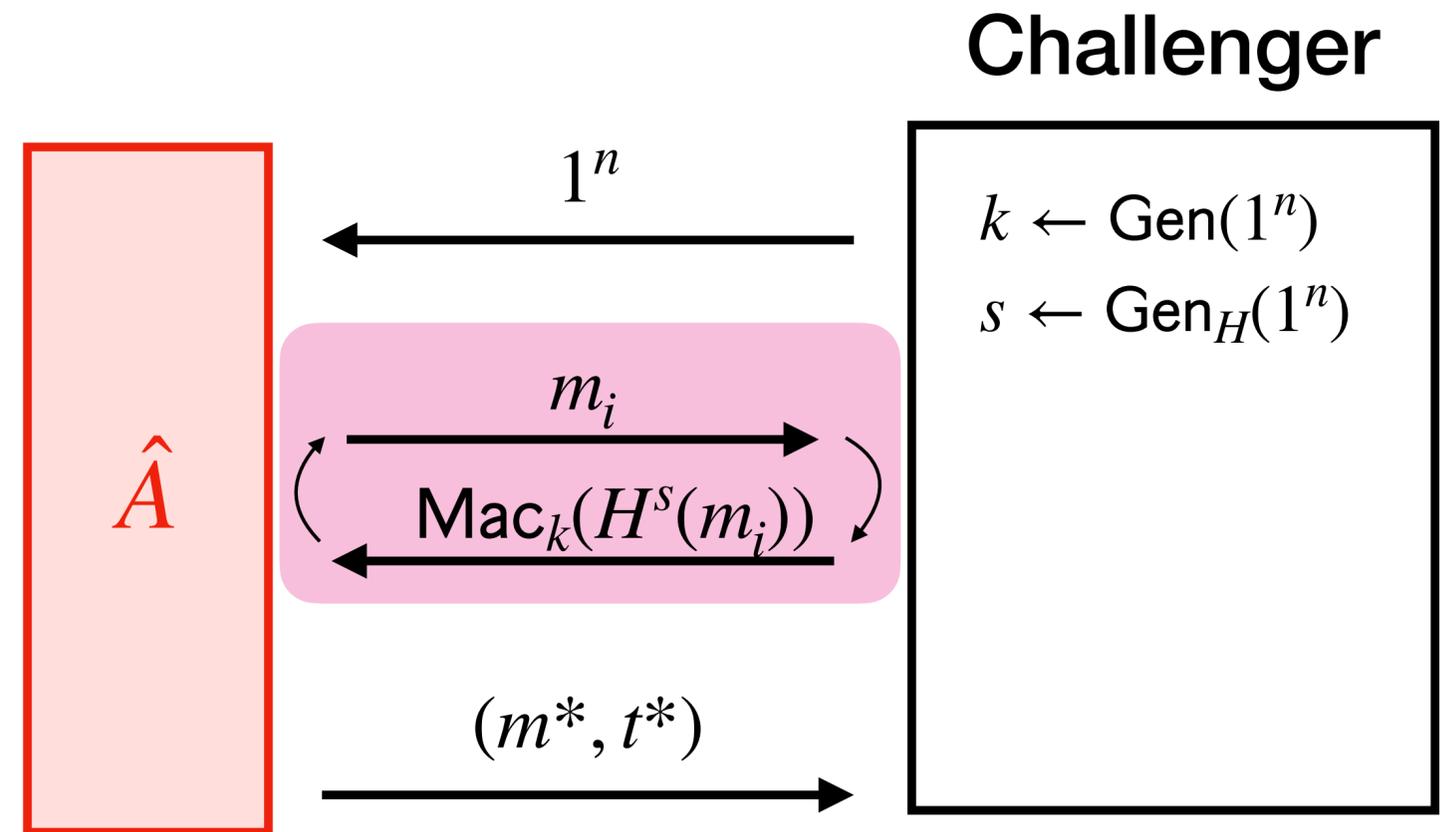
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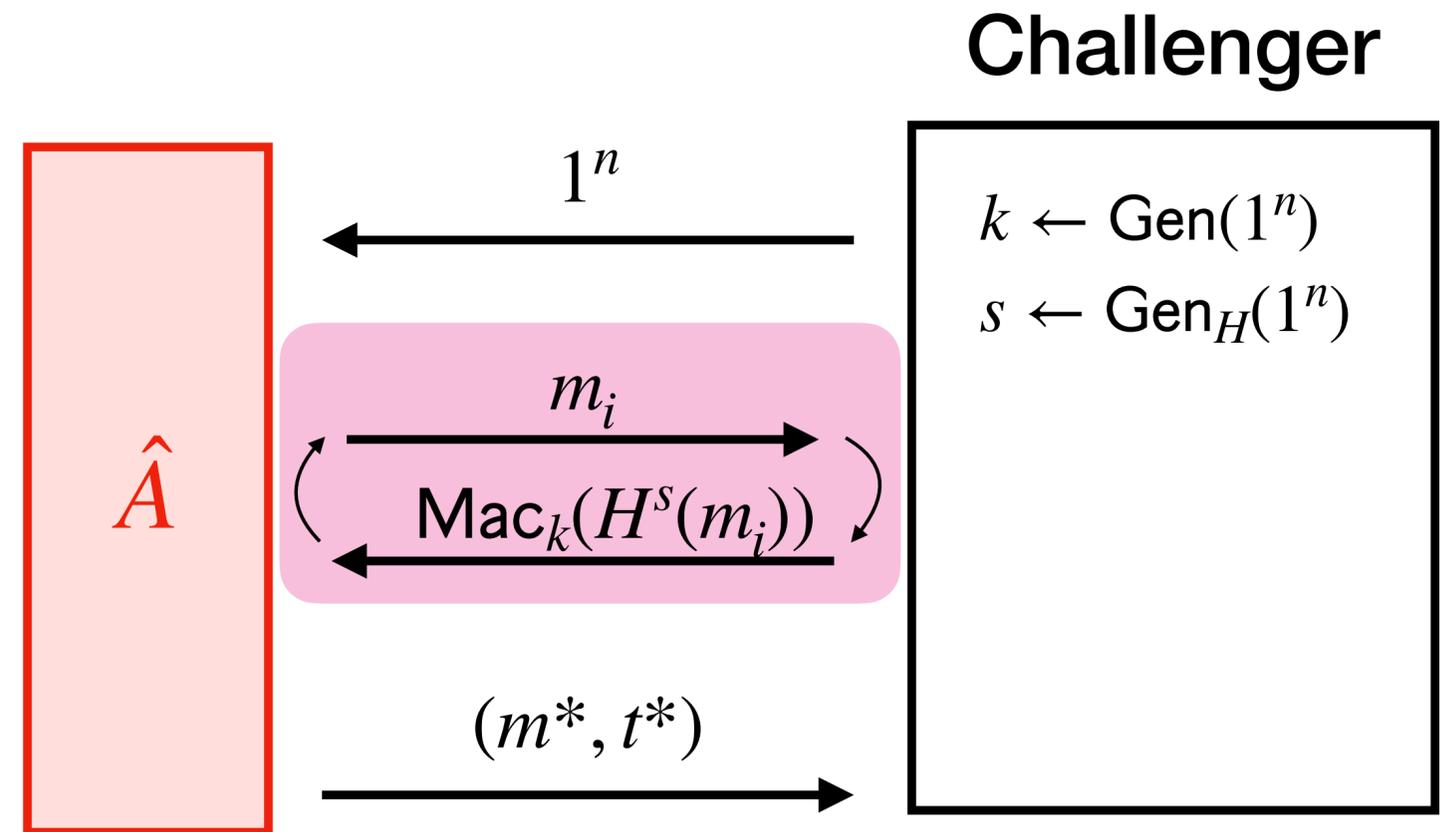


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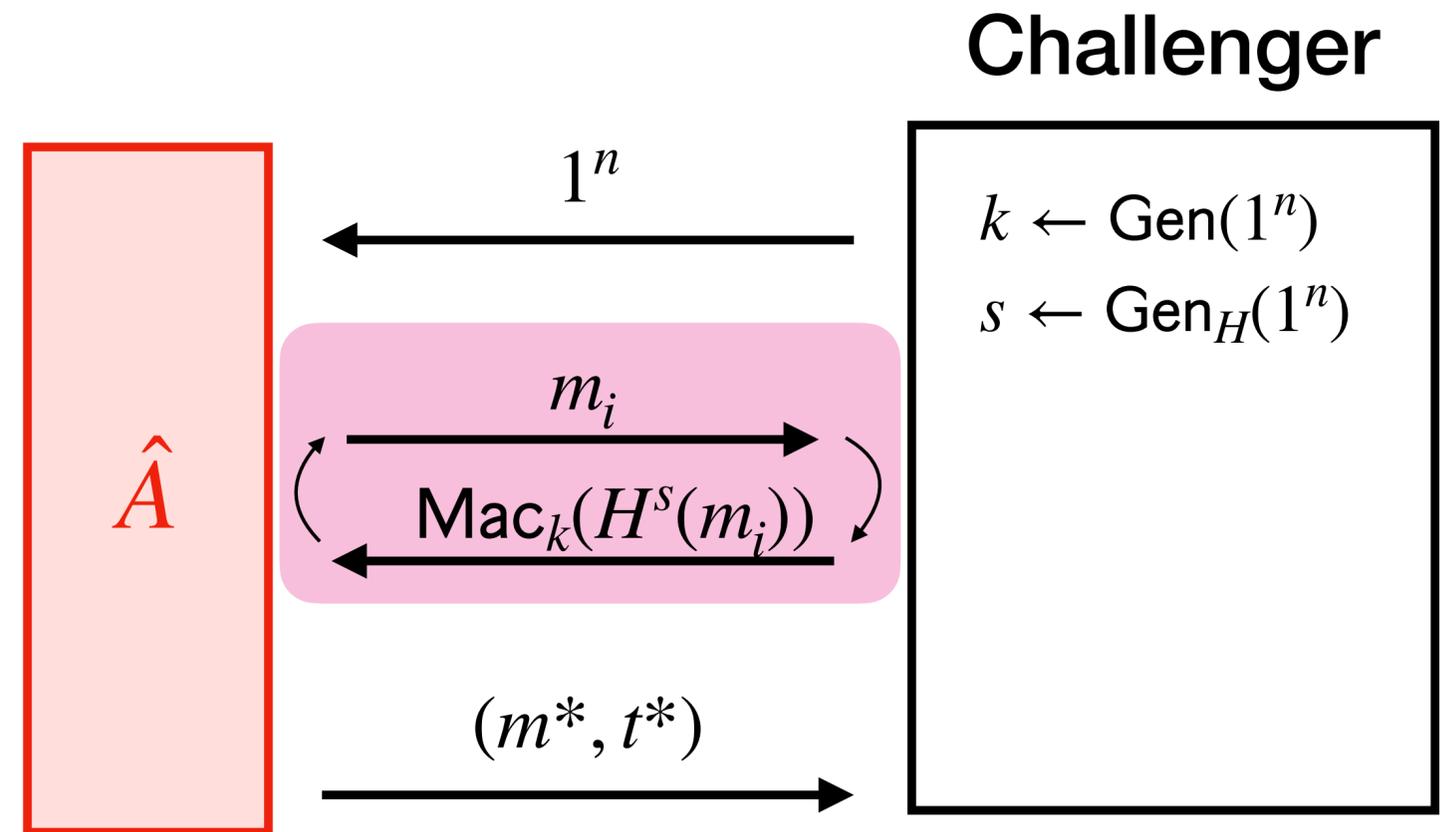
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**Claim 1:** There exists a negligible function  $\nu_1(n)$  such that

$$\Pr[\text{collision}] \leq \nu_1(n)$$



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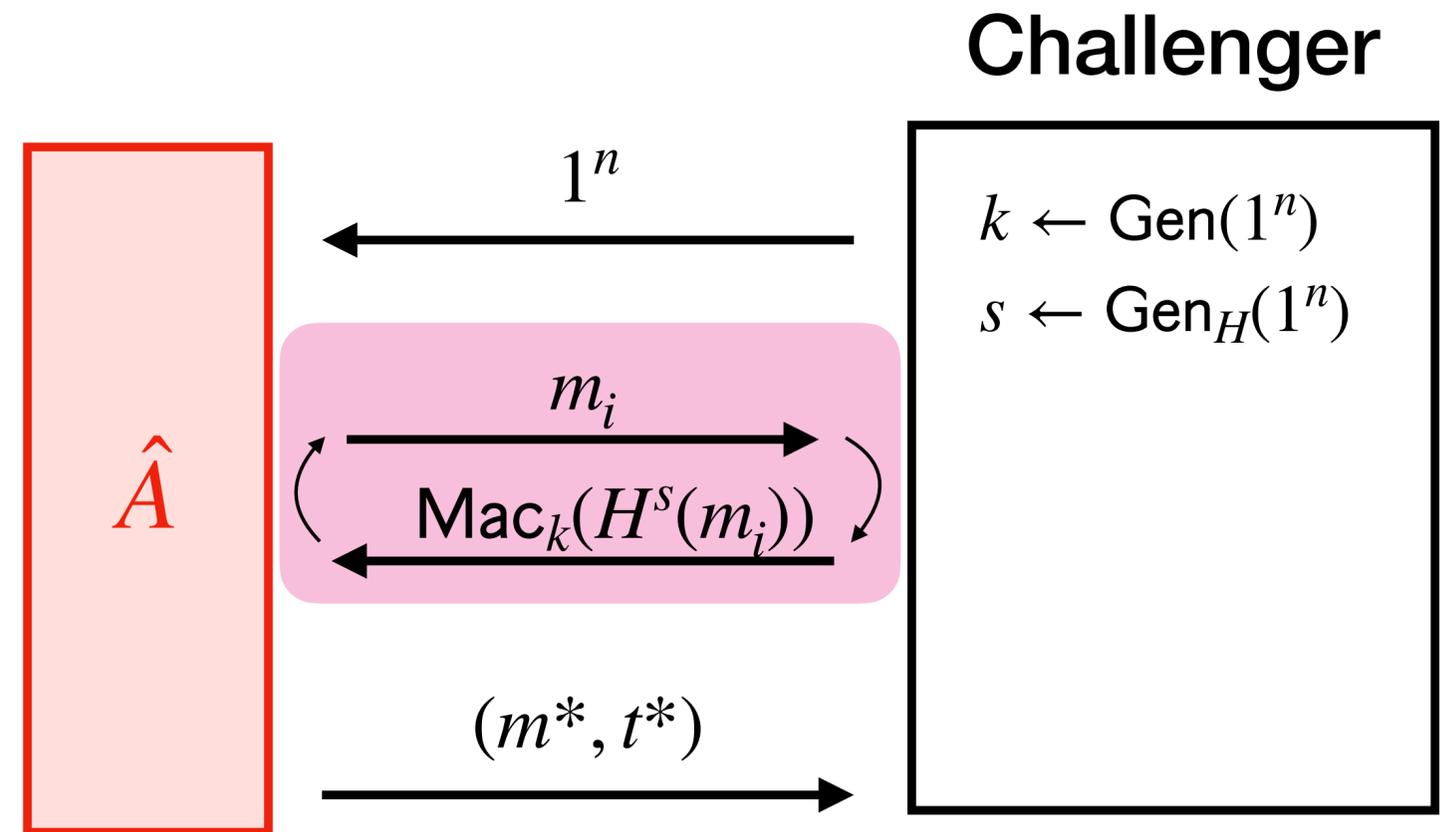
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**Claim 2:** There exists a negligible function  $\nu_2(n)$  such that

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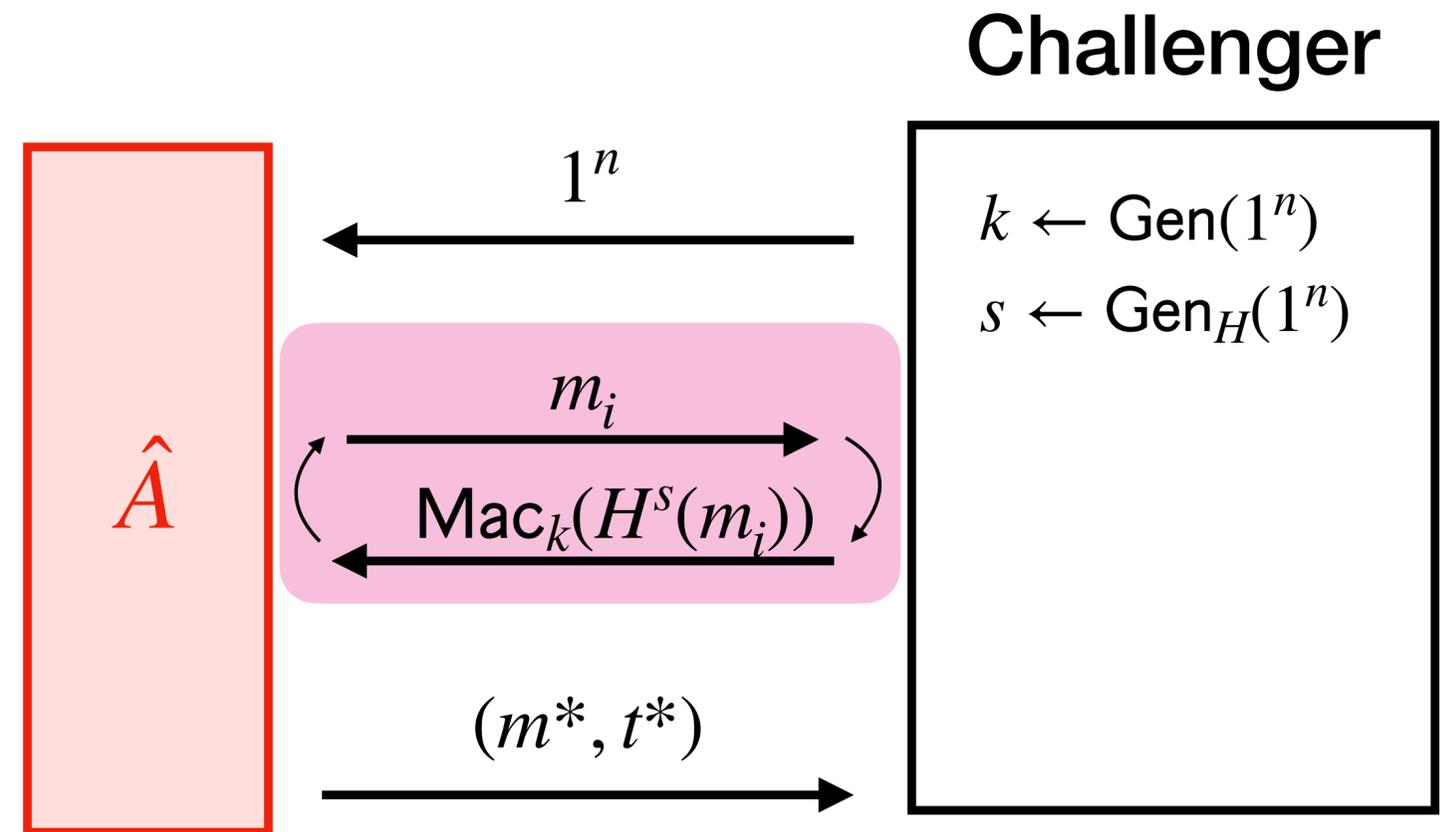
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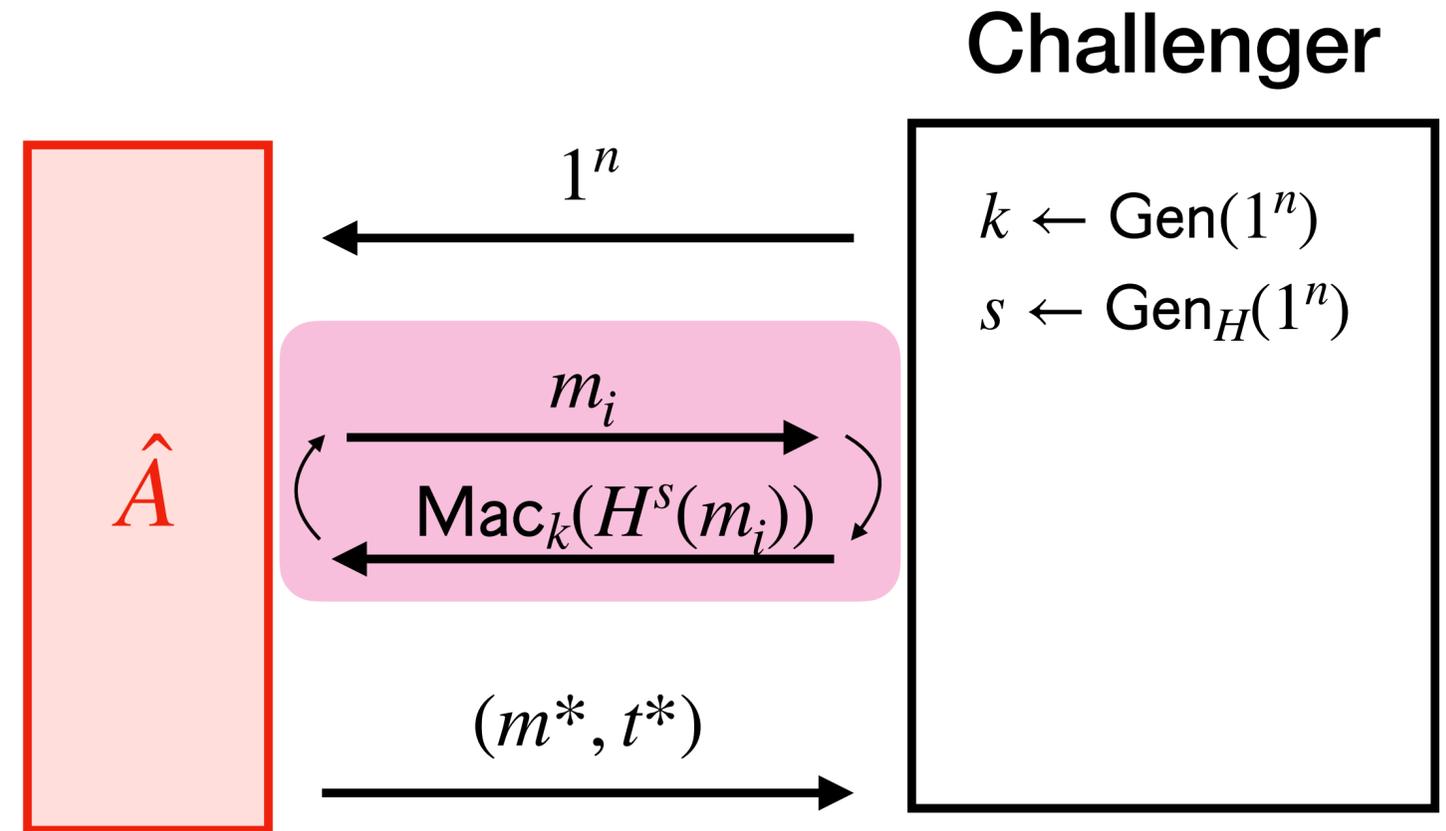
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Now we just need to prove Claim 1 and 2

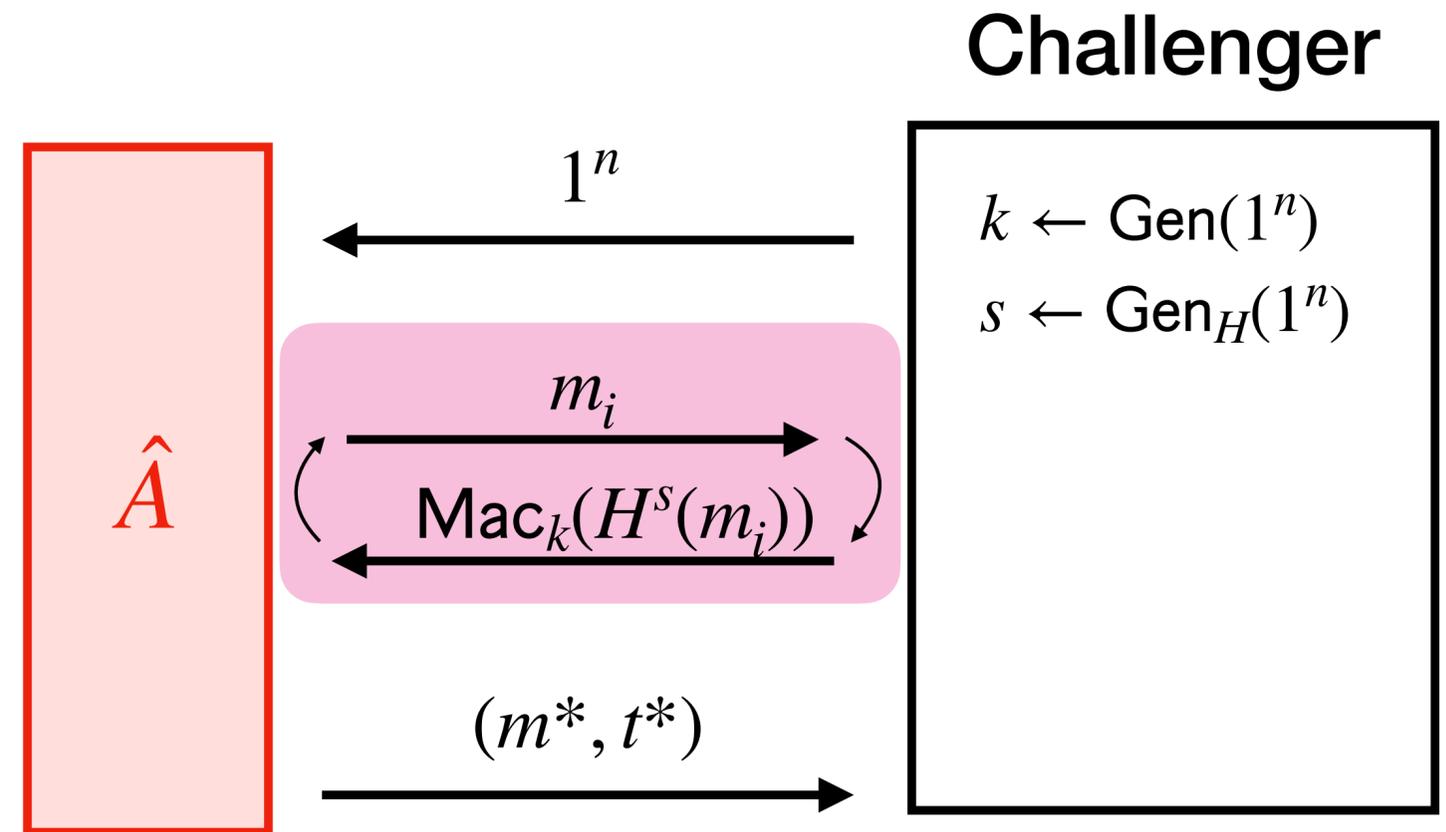


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# Proof of Claim 1

**Claim 1:** There exists a negligible function  $\nu_1(n)$  such that  $\Pr[\text{collision}] \leq \nu_1(n)$



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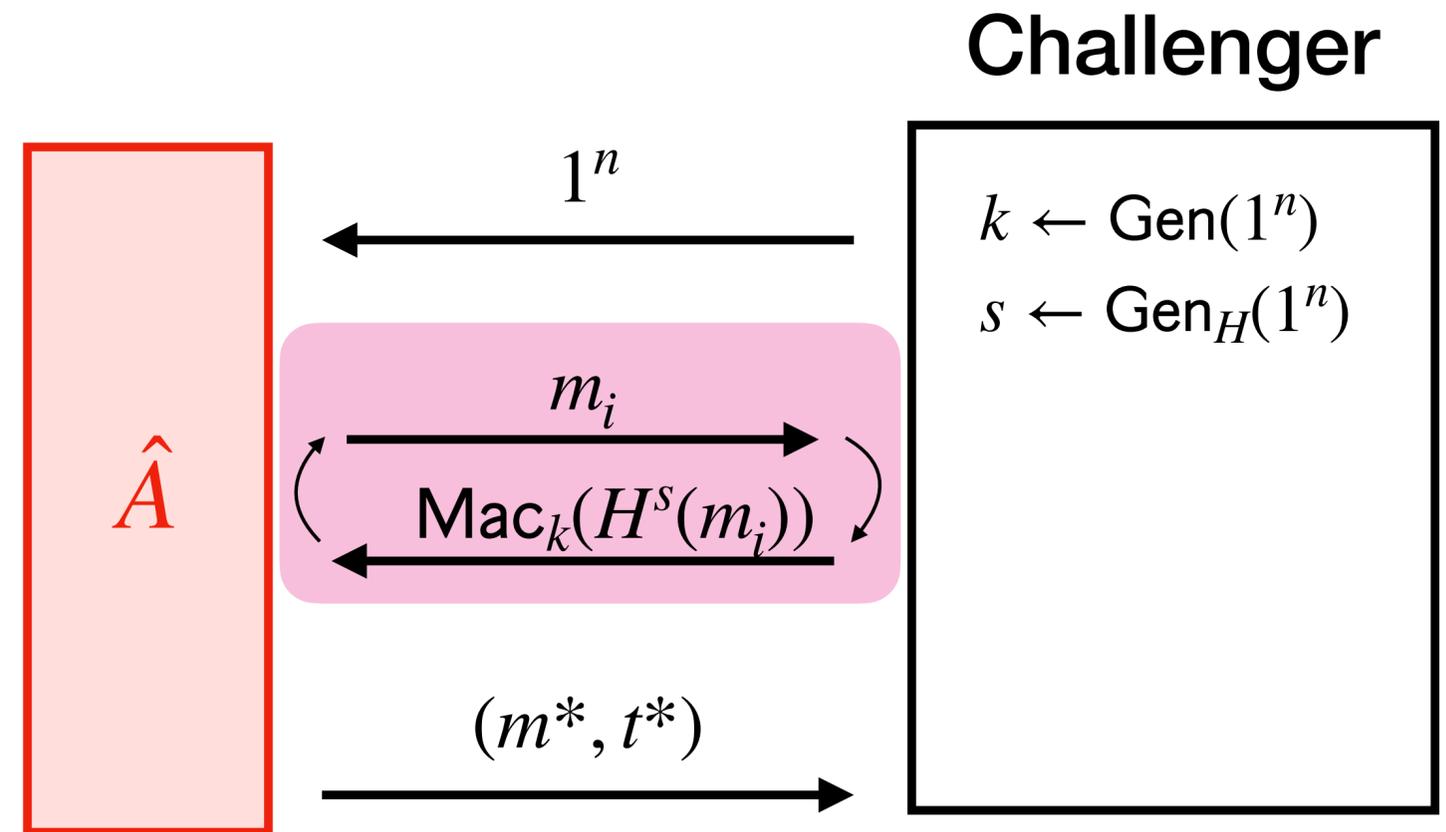
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**Claim 1:** There exists a negligible function  $\nu_1(n)$  such that  $\Pr[\text{collision}] \leq \nu_1(n)$

## Proof of claim 1:

Assume towards contradiction that there exists an adversary  $\hat{A}$  and a polynomial  $p(n)$  s.t.  $\Pr[\text{collision}] \geq 1/p(n)$

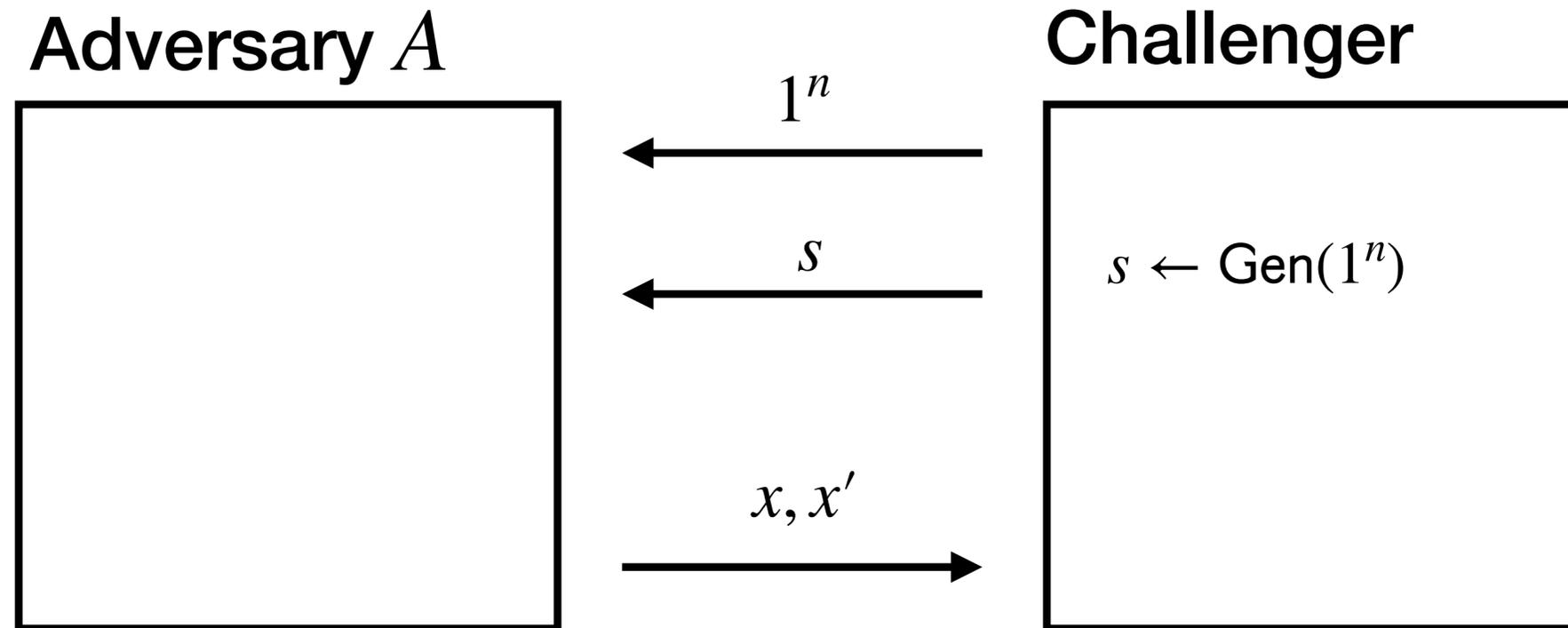
We will use  $\hat{A}$  to construct a collision-finder  $C$  to break the CRHF security of  $\Phi$



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# Recall: CRHF Security

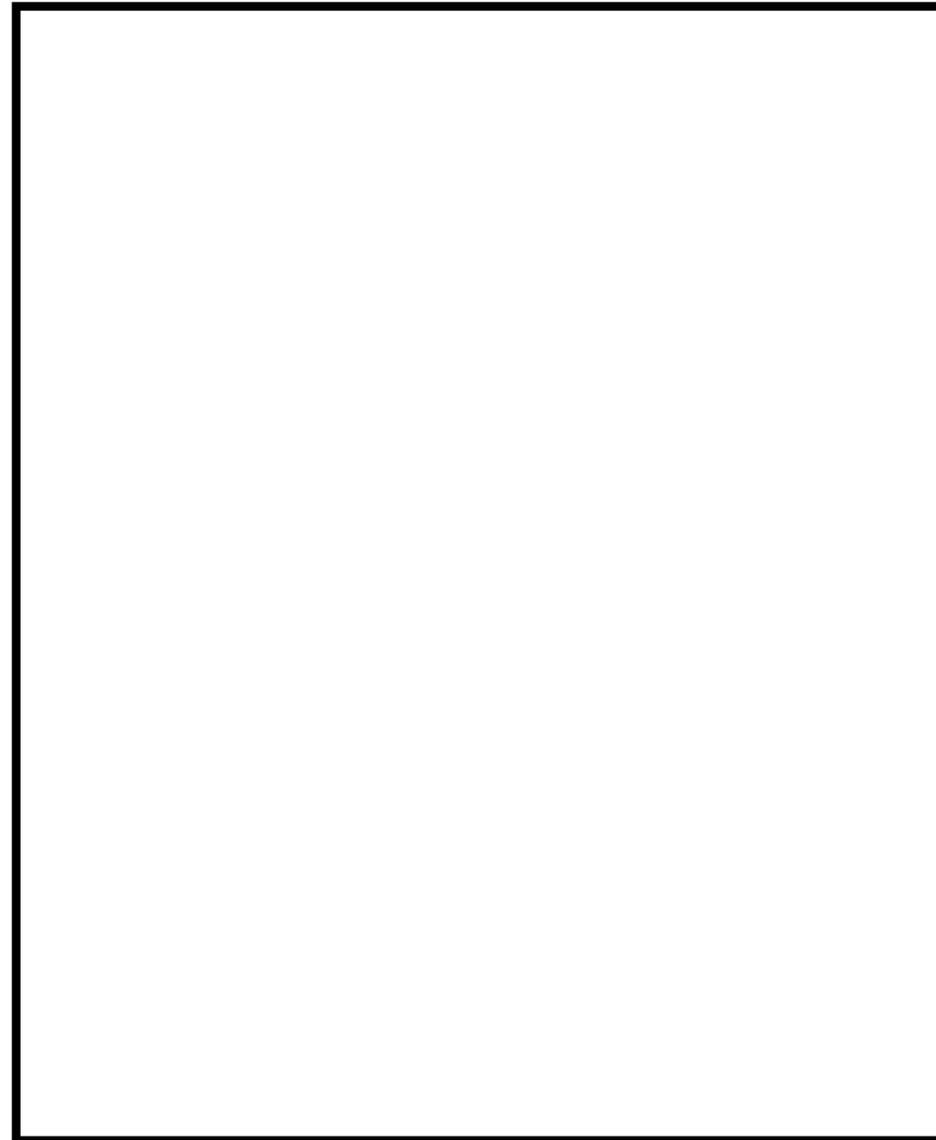
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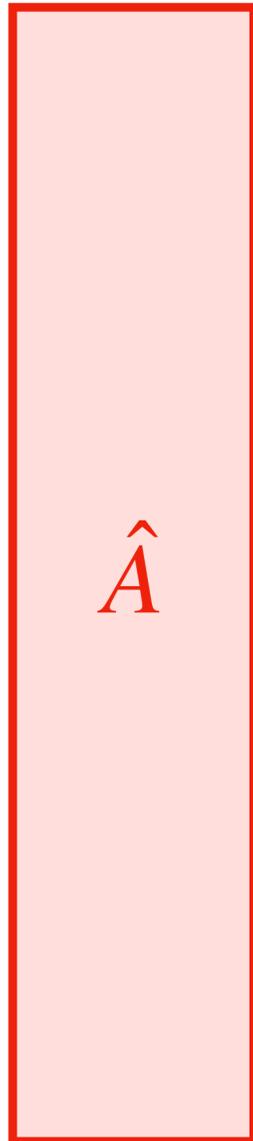
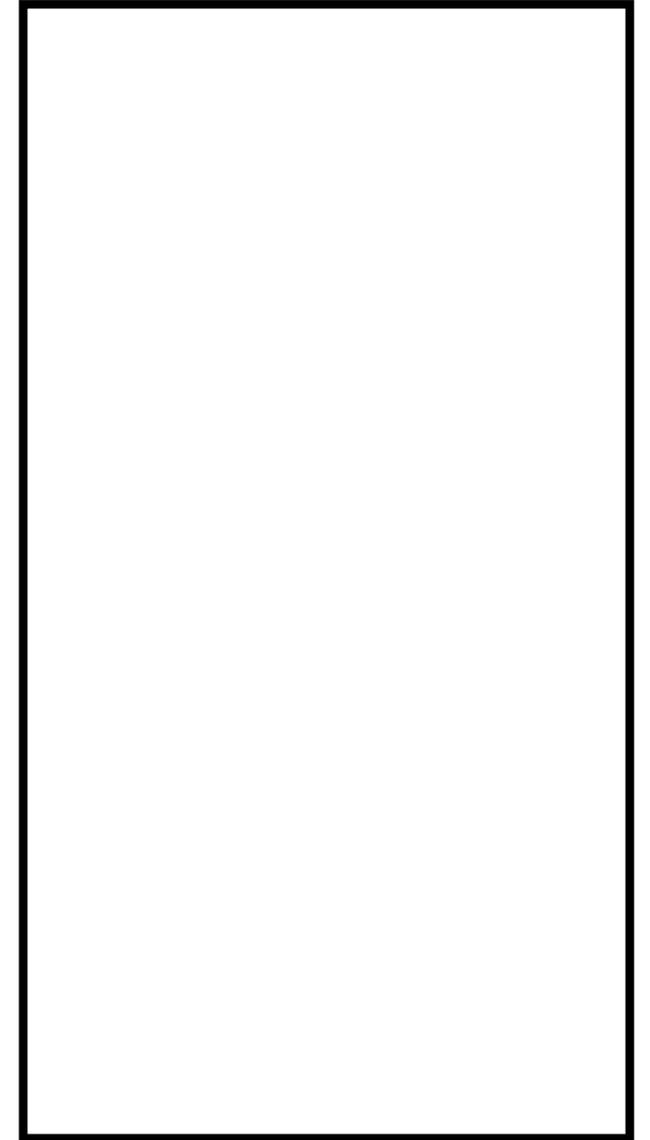
$$\text{HashColl}_{\Phi, A}(n) = \begin{cases} 1 & H^s(x) = H^s(x') \text{ and } x \neq x' \\ 0 & \text{otherwise} \end{cases}$$

# Proof of Claim 1

Collision-Finder  $C$



CRHF Challenger

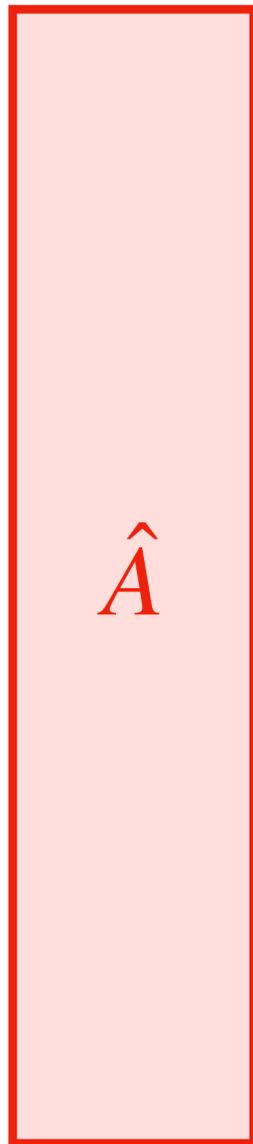
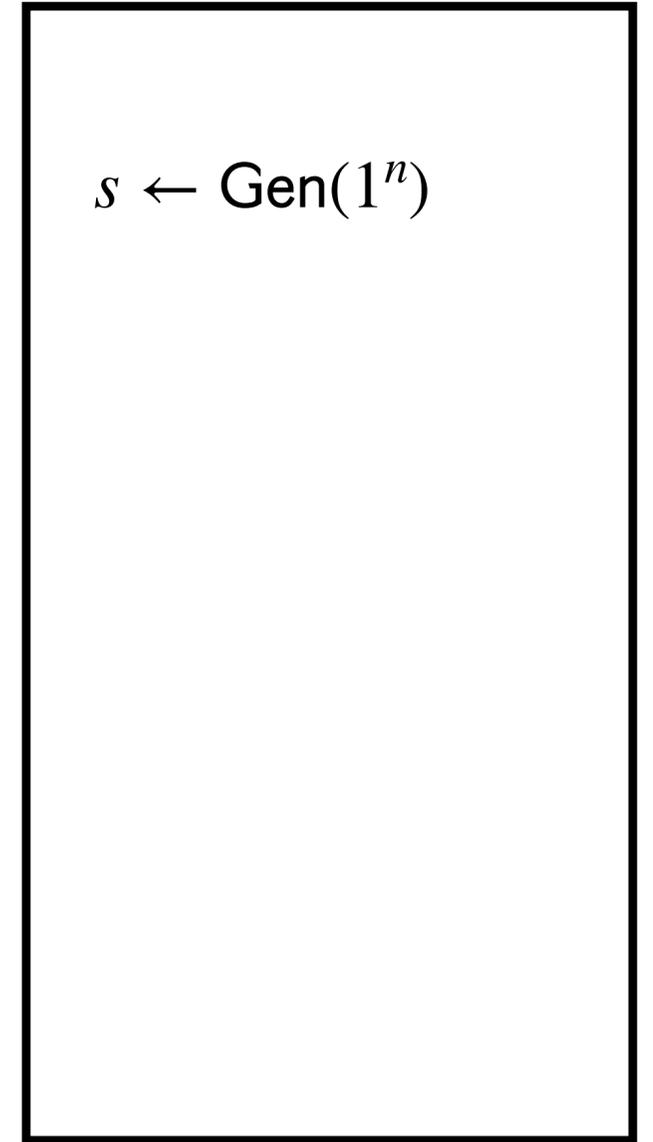


# Proof of Claim 1

Collision-Finder  $C$

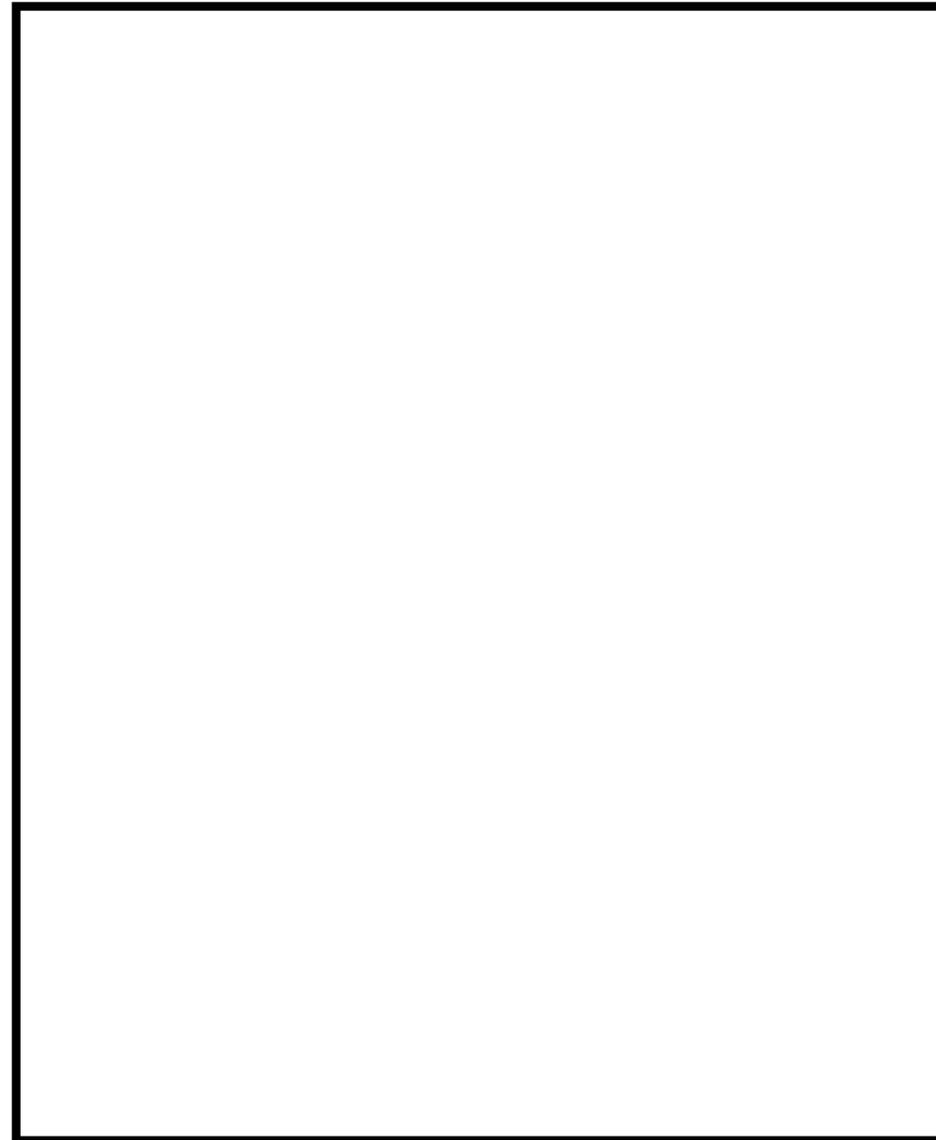


CRHF Challenger

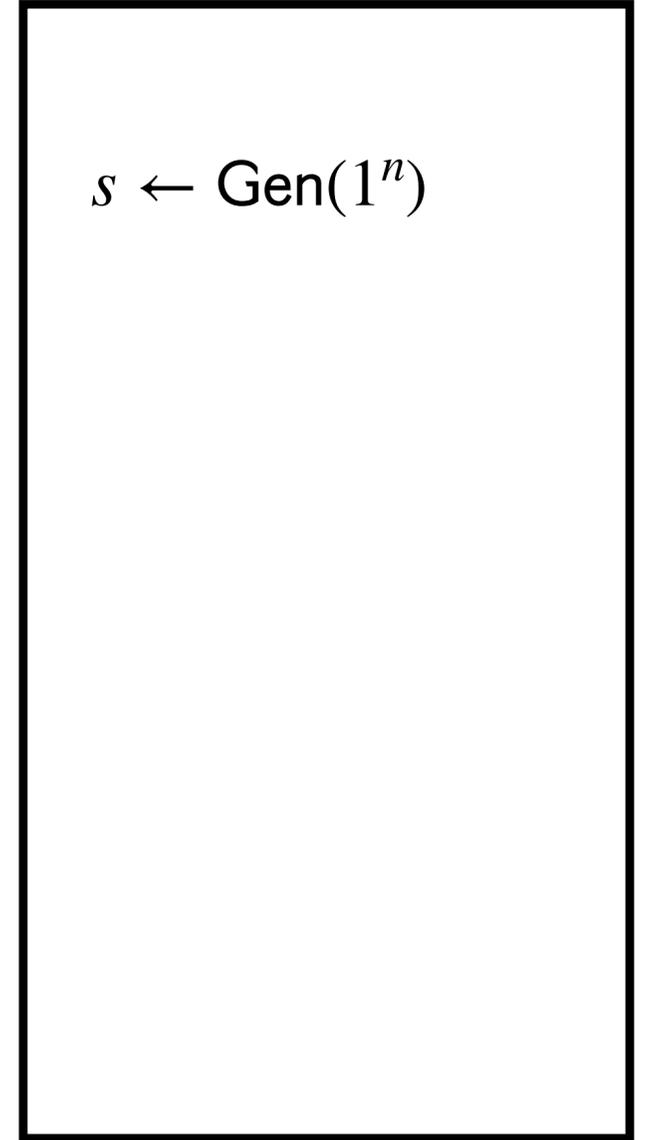


# Proof of Claim 1

Collision-Finder  $C$



CRHF Challenger



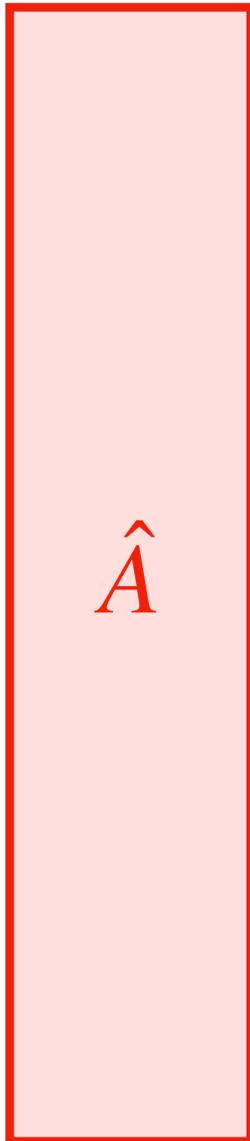
$1^n$



$s$



$\hat{A}$



# Proof of Claim 1

Collision-Finder  $C$

$k \leftarrow \text{Gen}(1^n)$

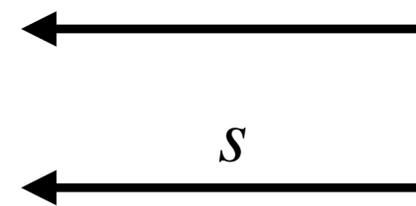
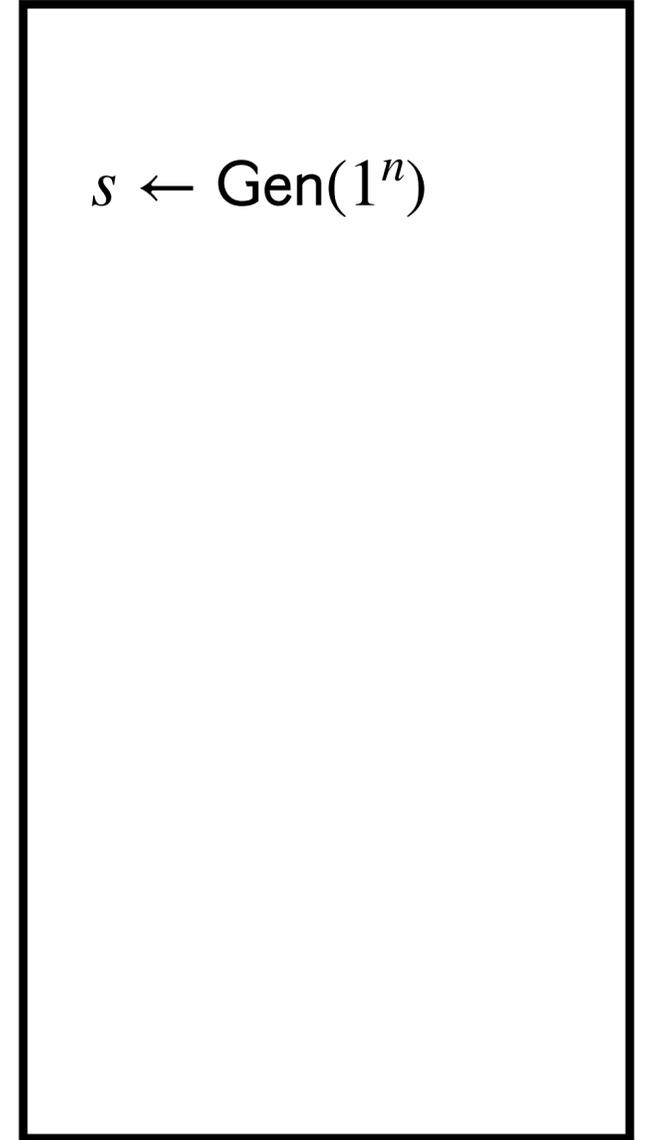
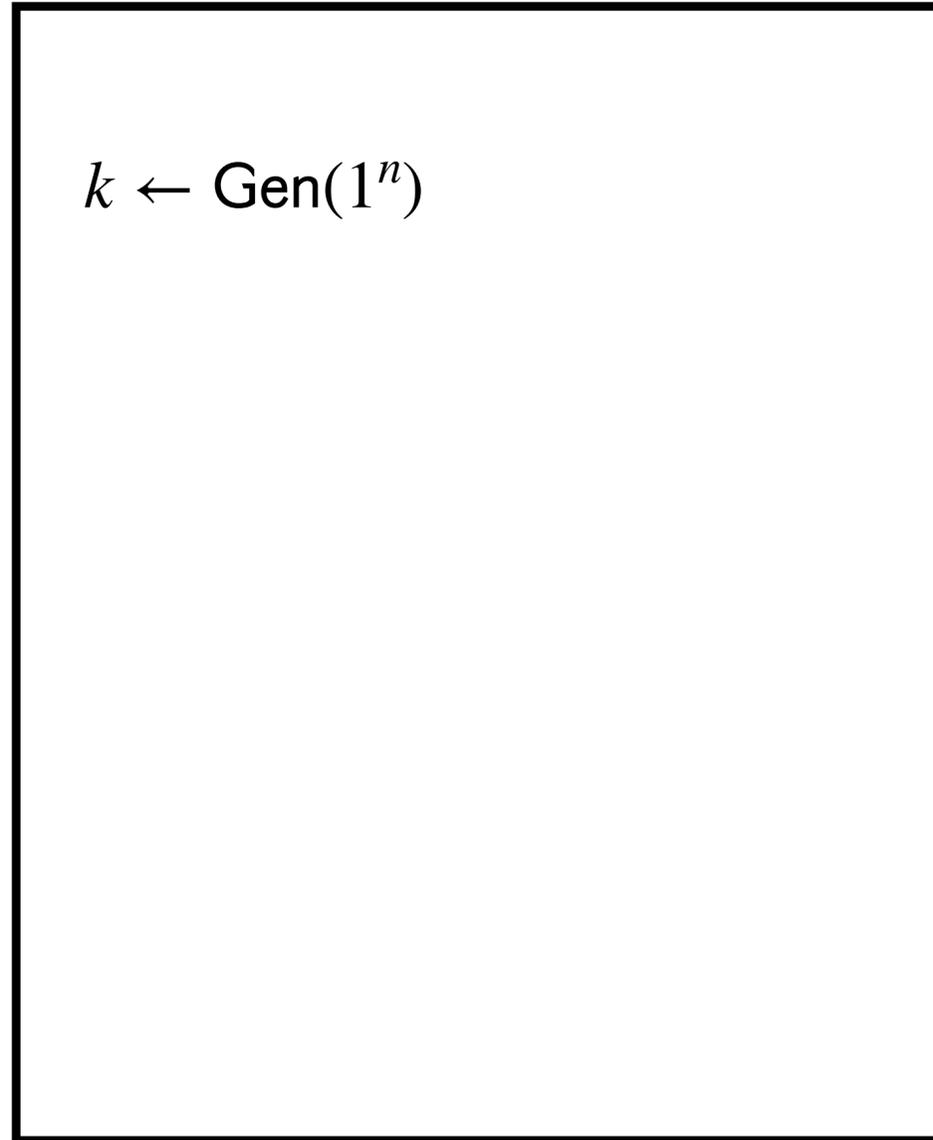
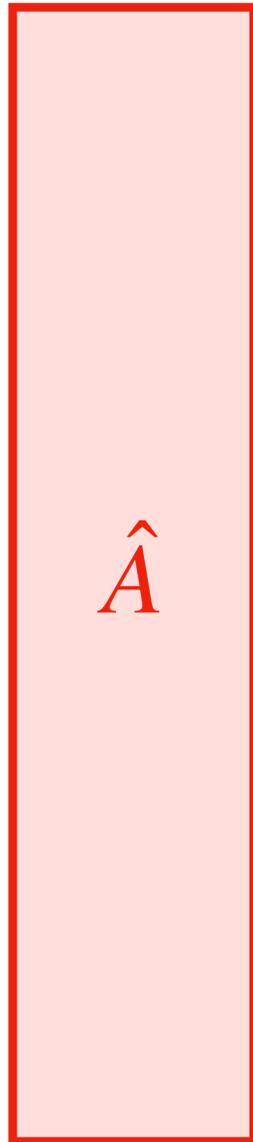
CRHF Challenger

$s \leftarrow \text{Gen}(1^n)$

$1^n$

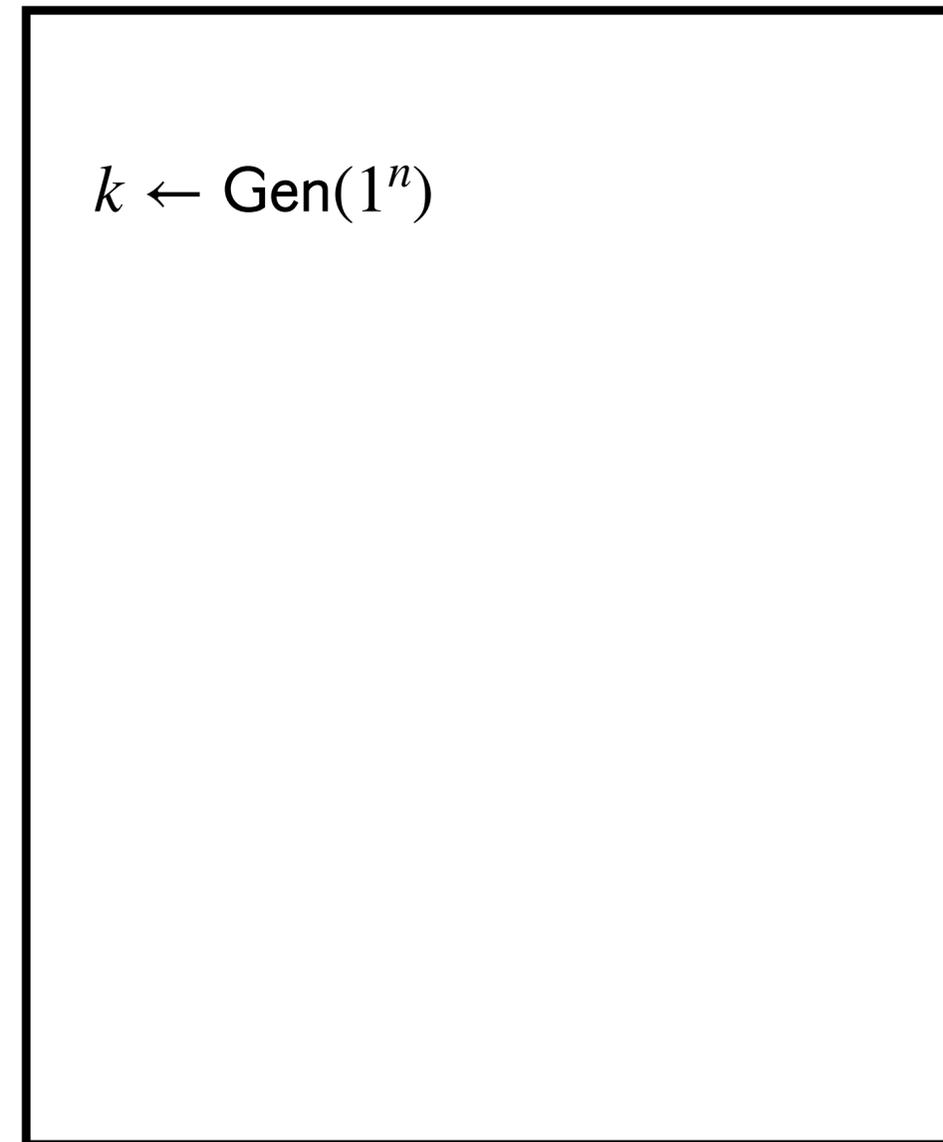
$s$

$\hat{A}$

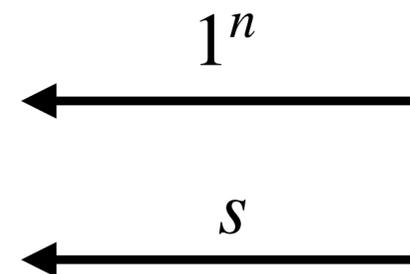
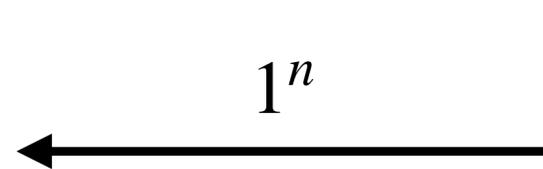
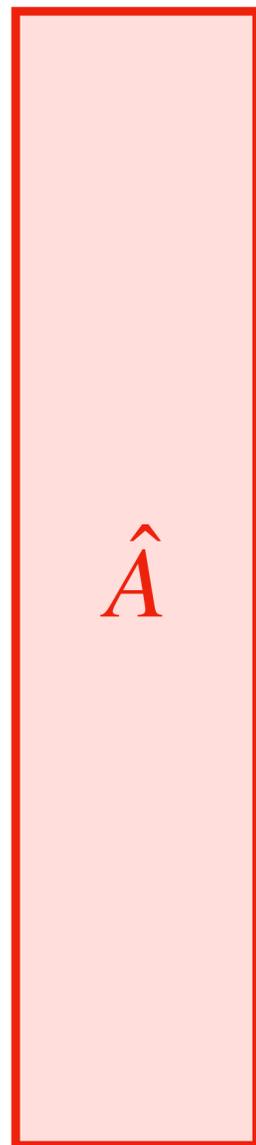
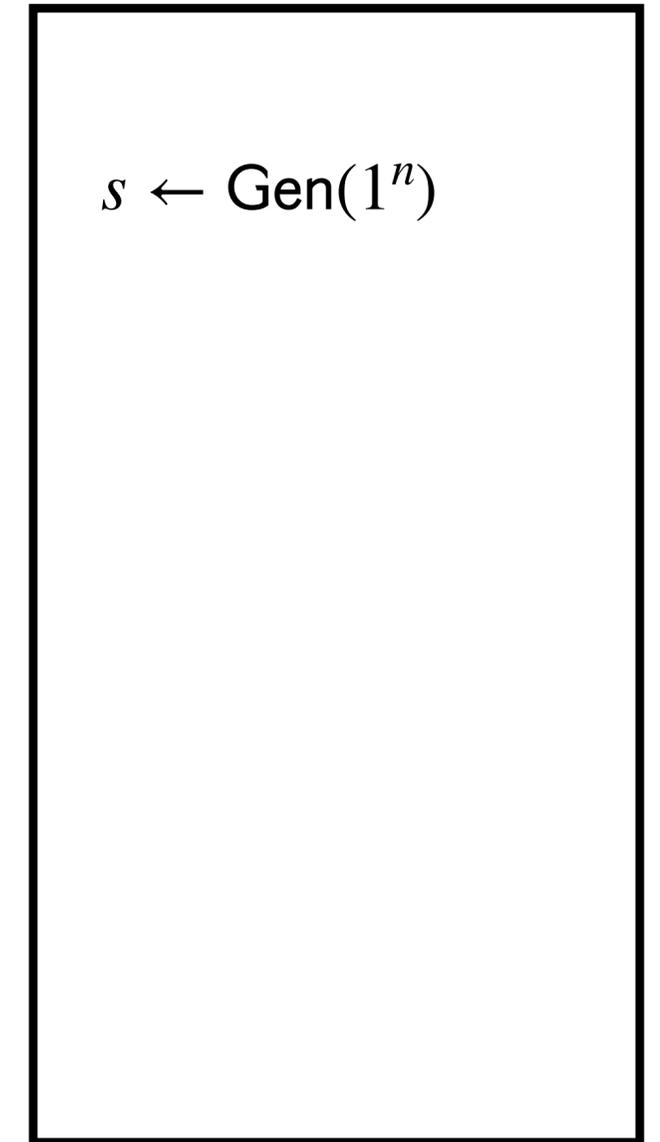


# Proof of Claim 1

Collision-Finder  $C$



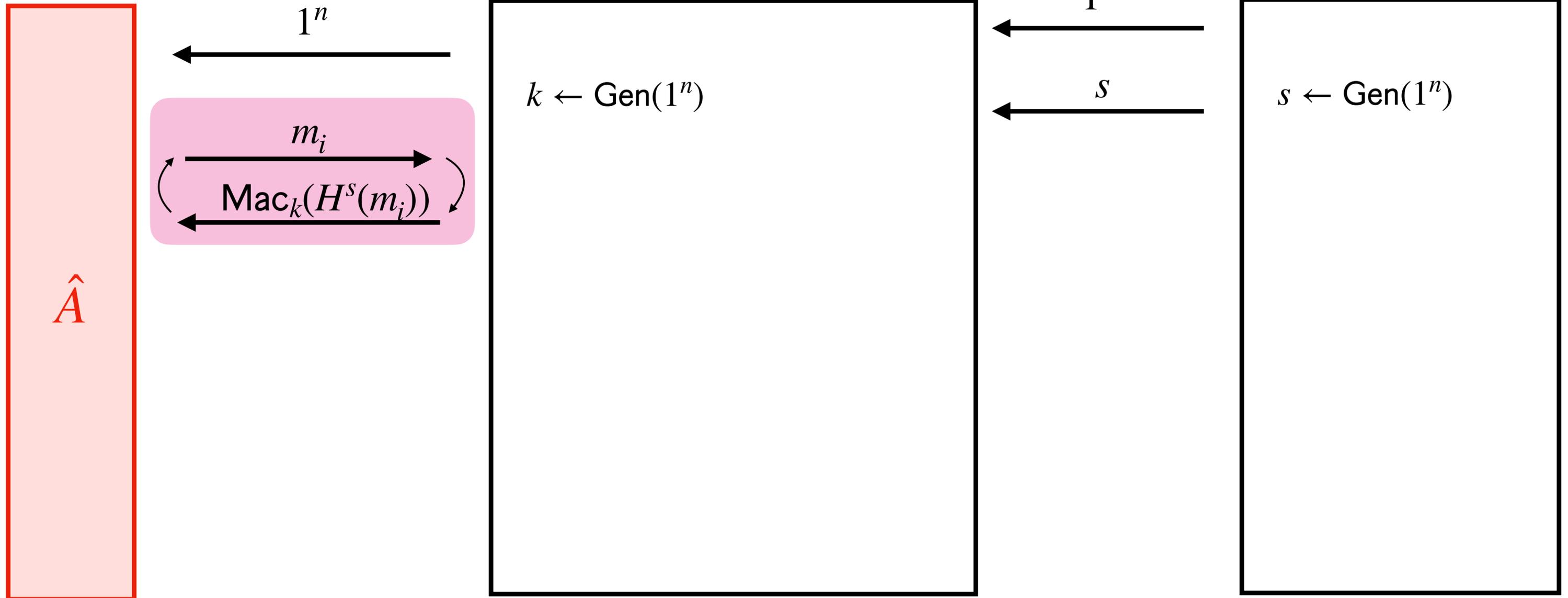
CRHF Challenger



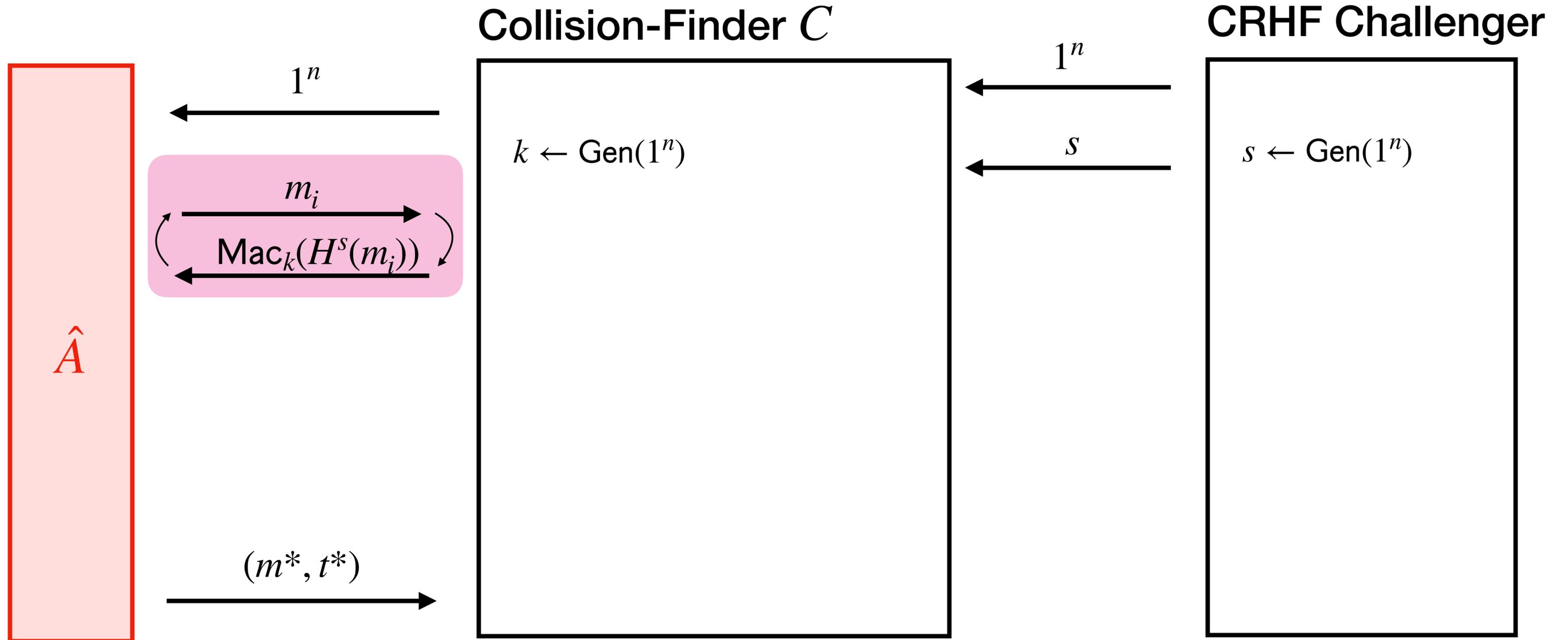
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Collision-Finder  $C$

CRHF Challenger



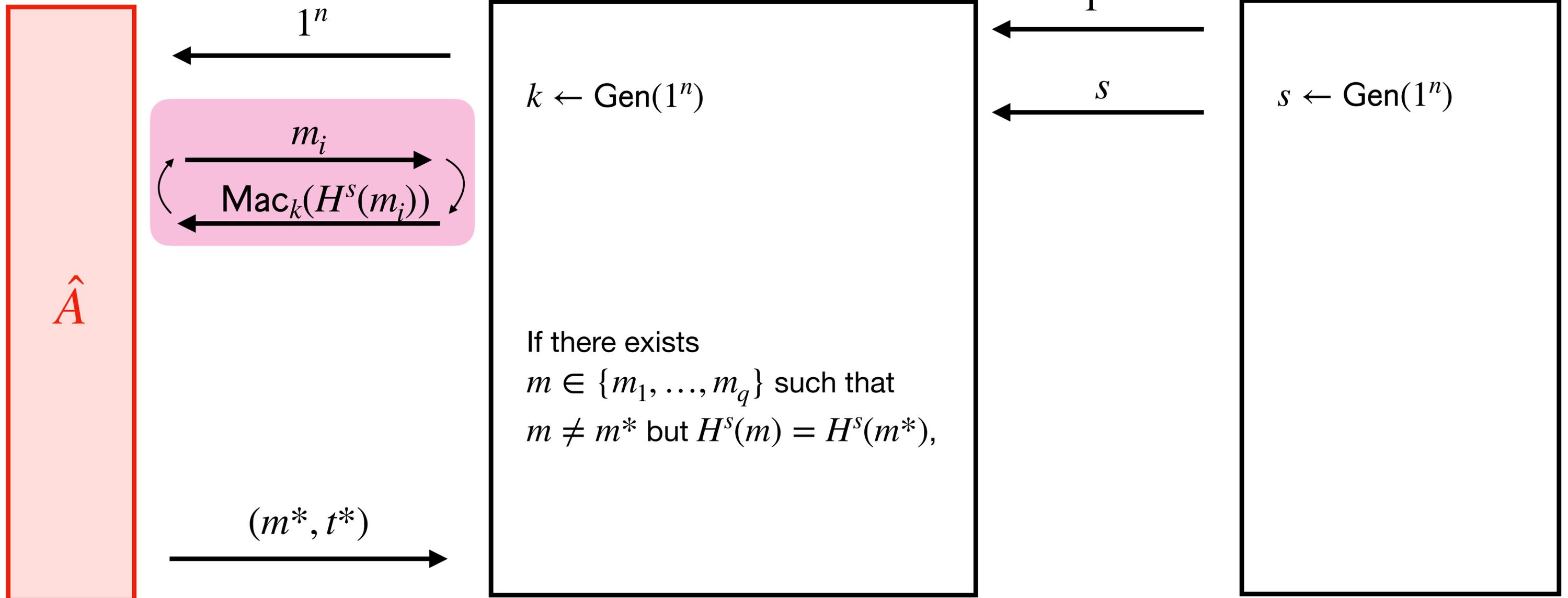
# Proof of Claim 1



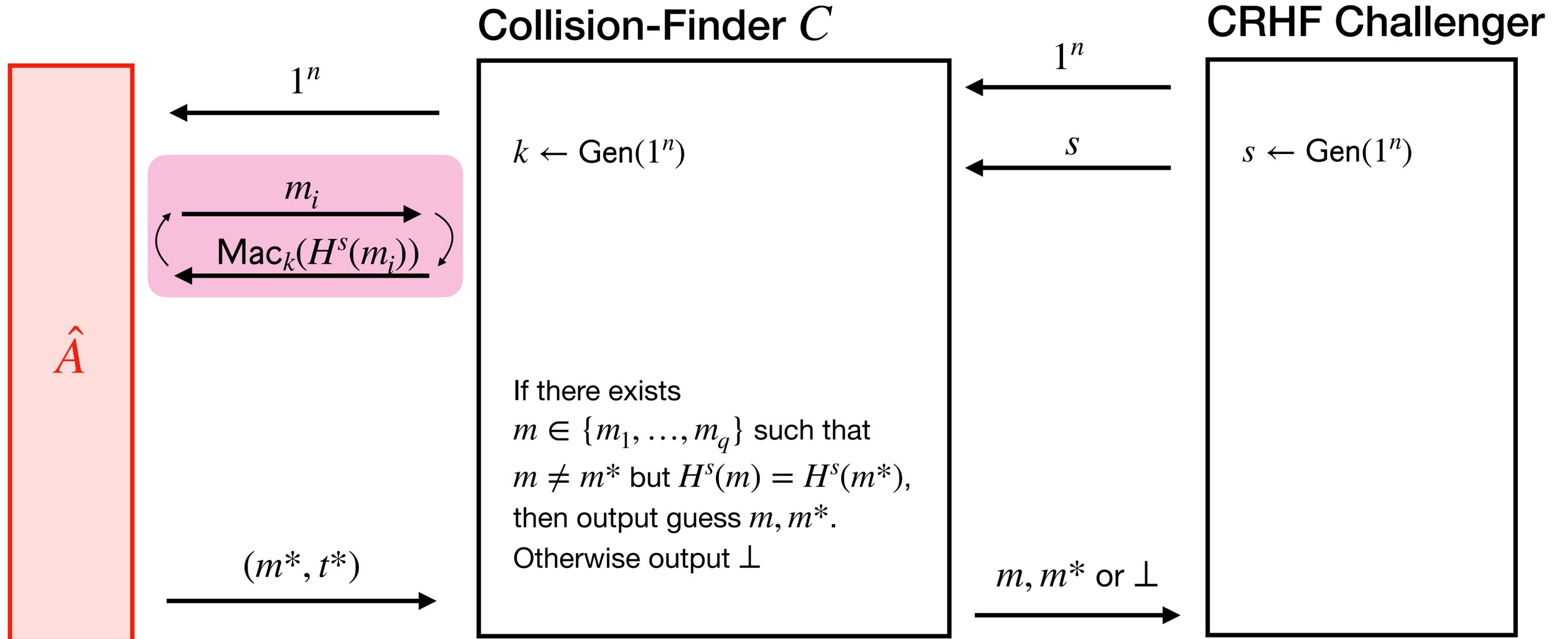
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Collision-Finder  $C$

CRHF Challenger



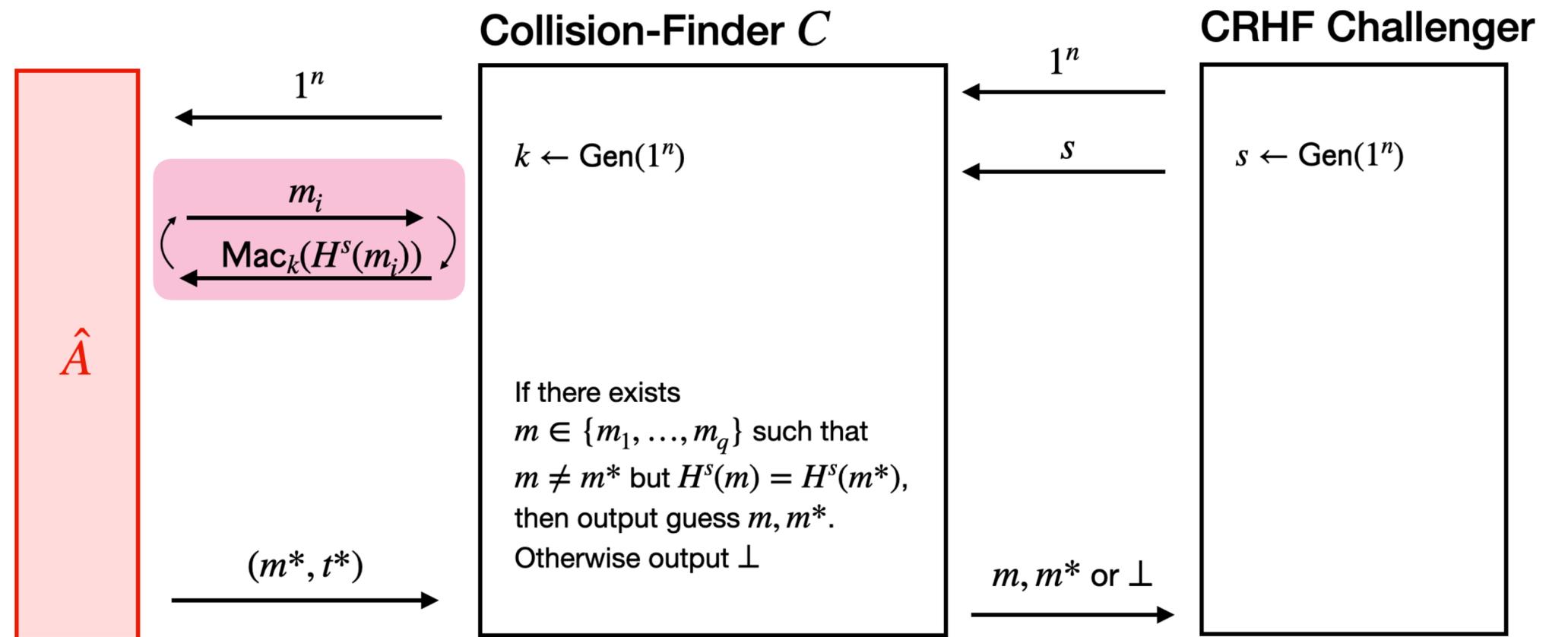
# Proof of Claim 1



# Proof of Claim 1

Assume towards contradiction that there exists an adversary  $\hat{A}$  and a polynomial  $p(n)$  s.t.  $\Pr[\text{collision}] \geq 1/p(n)$

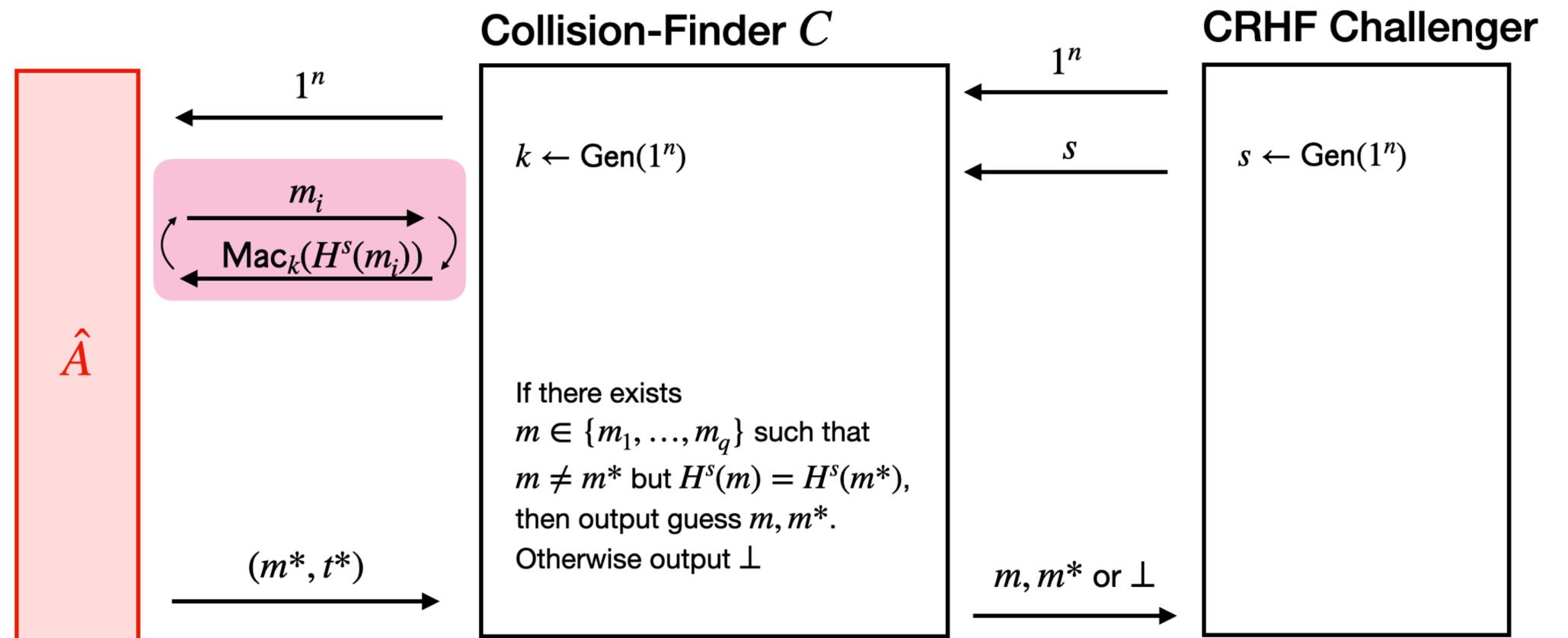
$$\Pr[\text{HashColl}_{\Phi, C} = 1]$$



# Proof of Claim 1

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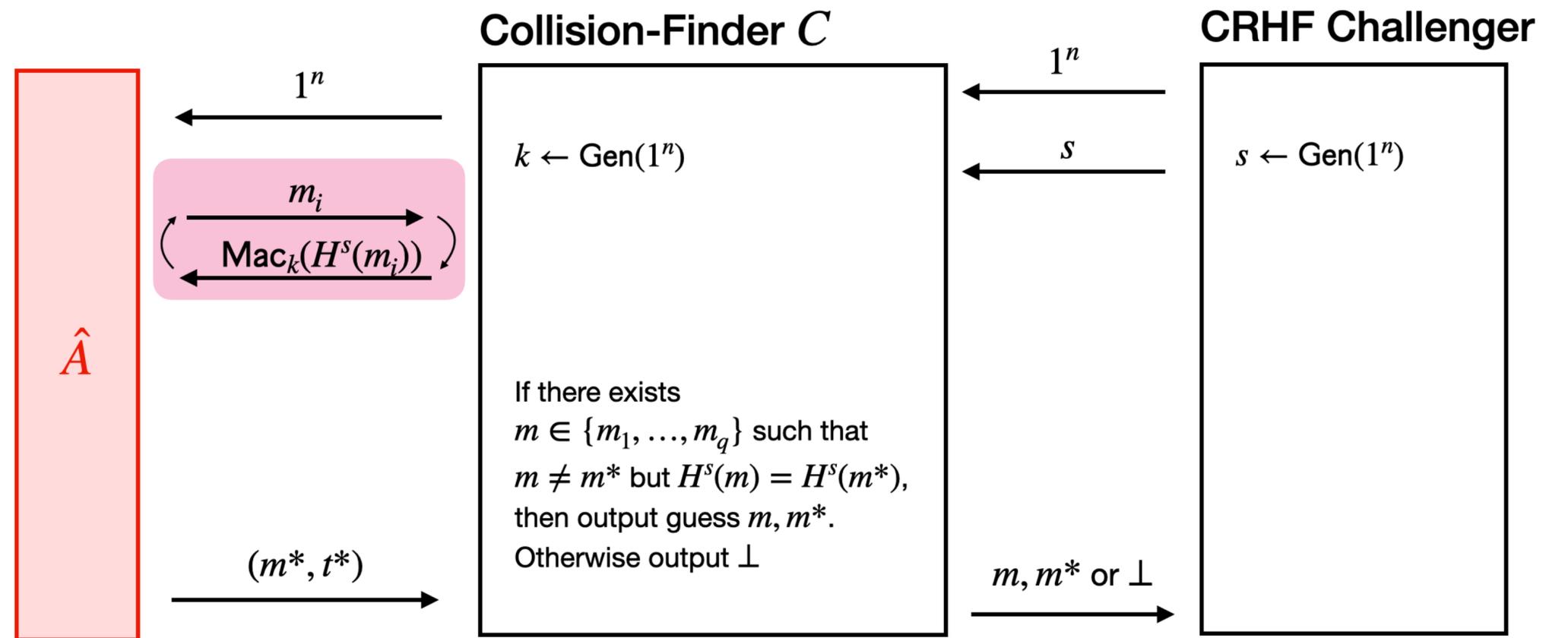
$$\Pr[\text{HashColl}_{\Phi, C} = 1] = \Pr[\text{collision}]$$



# Proof of Claim 1

Assume towards contradiction that there exists an adversary  $\hat{A}$  and a polynomial  $p(n)$  s.t.  $\Pr[\text{collision}] \geq 1/p(n)$

$$\begin{aligned} \Pr[\text{HashColl}_{\Phi, C} = 1] \\ &= \Pr[\text{collision}] \\ &\geq 1/p(n) \end{aligned}$$

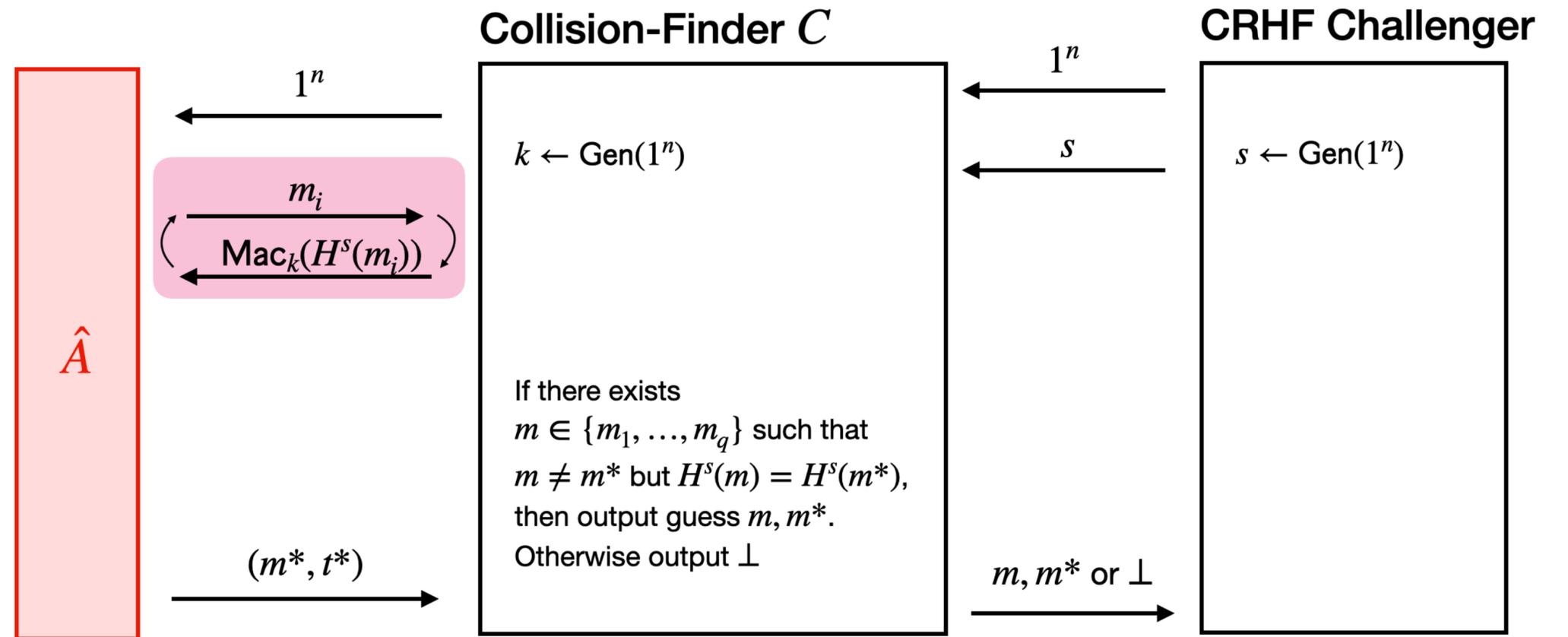


# Proof of Claim 1

Assume towards contradiction that there exists an adversary  $\hat{A}$  and a polynomial  $p(n)$  s.t.  $\Pr[\textit{collision}] \geq 1/p(n)$

$$\begin{aligned} \Pr[\text{HashColl}_{\Phi, C} = 1] &= \Pr[\textit{collision}] \\ &\geq 1/p(n) \end{aligned}$$

**Contradiction!**

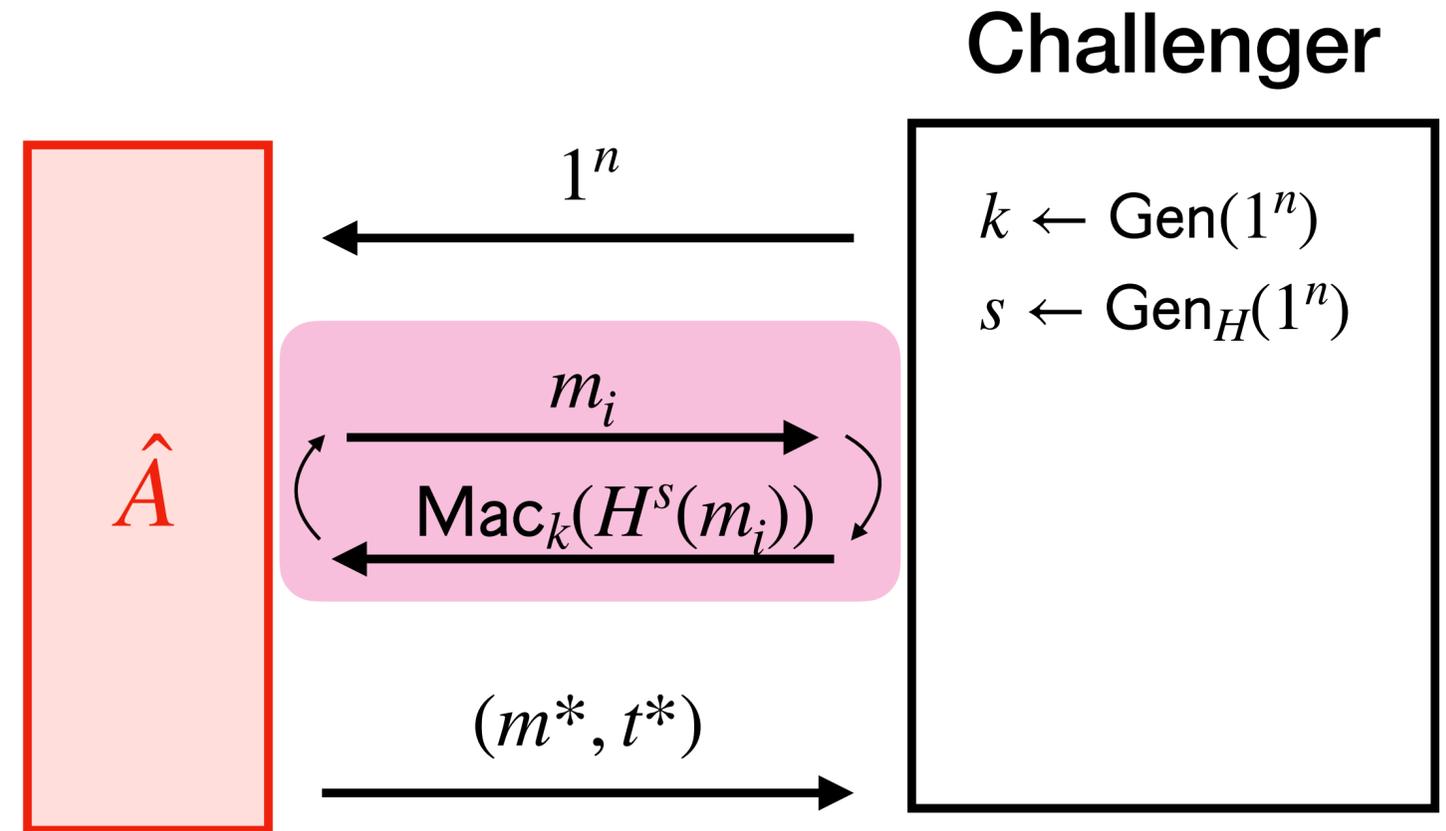


# Hash-and-Authenticate

**Theorem:** If  $\Pi$  is a secure MAC and  $\Phi$  is collision resistant, then  $\hat{\Pi}$  is a secure MAC.

$$\begin{aligned} & \Pr[\text{MacForge}_{\hat{\mathcal{A}}, \hat{\Pi}}(n) = 1] \\ & \leq \Pr[\text{collision}] \\ & \quad + \Pr[\text{MacForge}_{\hat{\mathcal{A}}, \hat{\Pi}}(n) = 1 \wedge \neg \text{collision}] \\ & \leq \nu_1(n) + \nu_2(n) \end{aligned}$$

Now we just need to prove Claim 1 and 2



Forger wins if  $\text{Verify}(H^s(m^*), t^*) = 1$   
and  $m^* \notin \{m_1, \dots, m_q\}$

\*Law of total probability:  $\Pr[A] = \Pr[A \wedge B] + \Pr[A \wedge \neg B] \leq \Pr[B] + \Pr[A \wedge \neg B]$

# Proof of Claim 2

**Claim 2:** There exists a negligible function  $\nu_2(n)$  such that

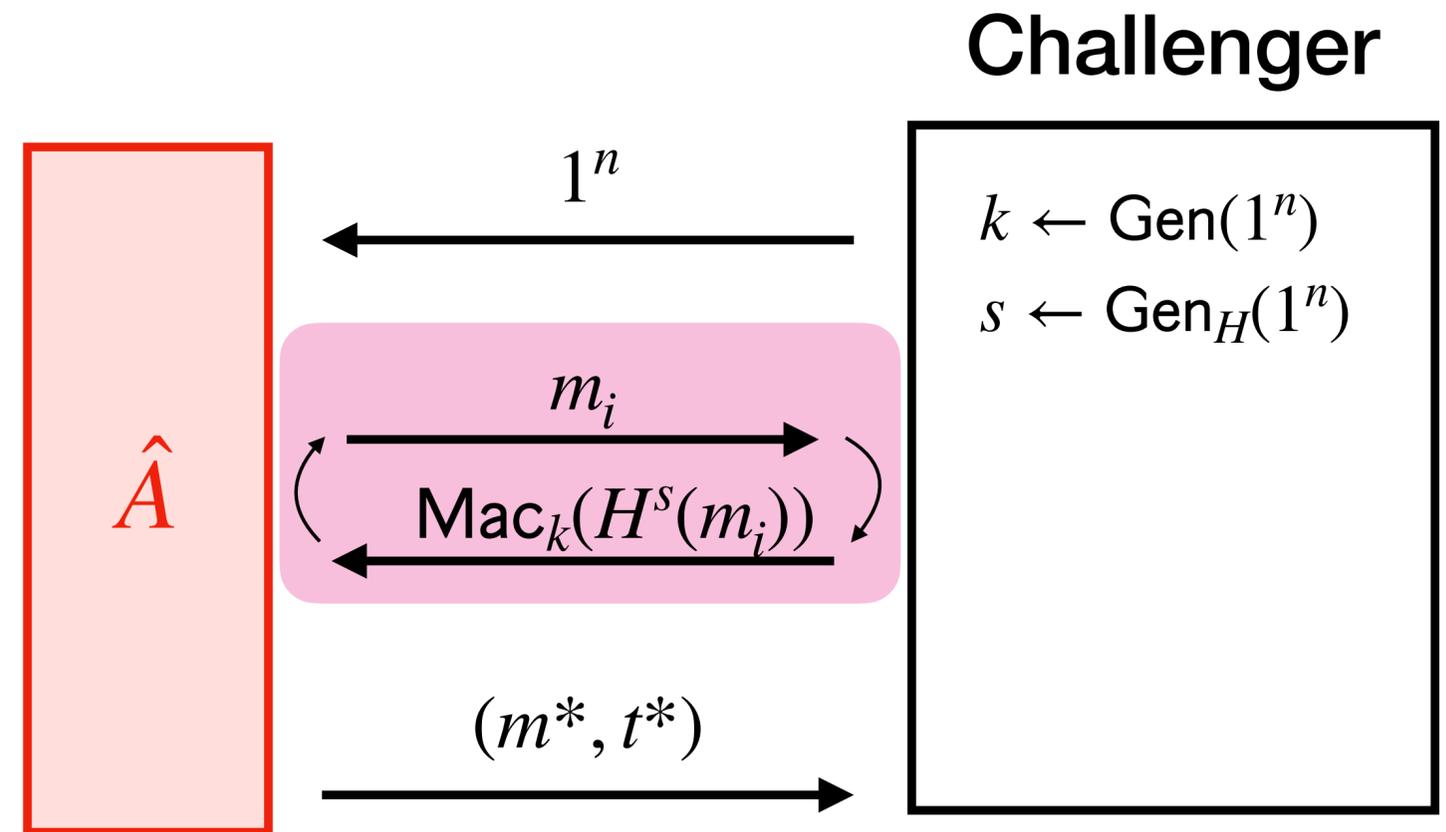
$$\Pr[\text{MacForge}_{\hat{\mathcal{A}}, \hat{\Pi}}(n) = 1 \wedge \neg \text{collision}] \leq \nu_2(n)$$

## Proof of claim 2:

Assume towards contradiction that there exists an  $\hat{A}$  and polynomial  $p(n)$  s.t.

$$\Pr[\text{MacForge}_{\hat{\mathcal{A}}, \hat{\Pi}}(n) = 1 \wedge \neg \text{collision}] \geq 1/p(n)$$

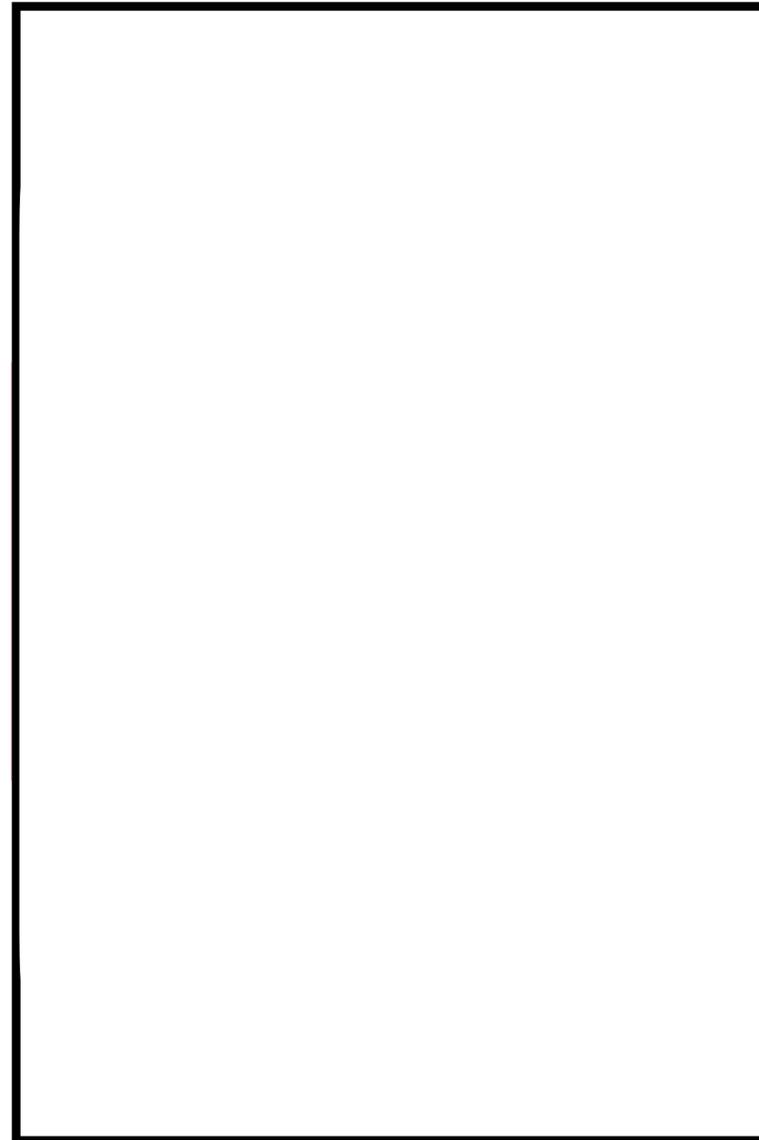
We will use  $\hat{A}$  to construct a forger  $A$  to break the MAC security of  $\Pi$



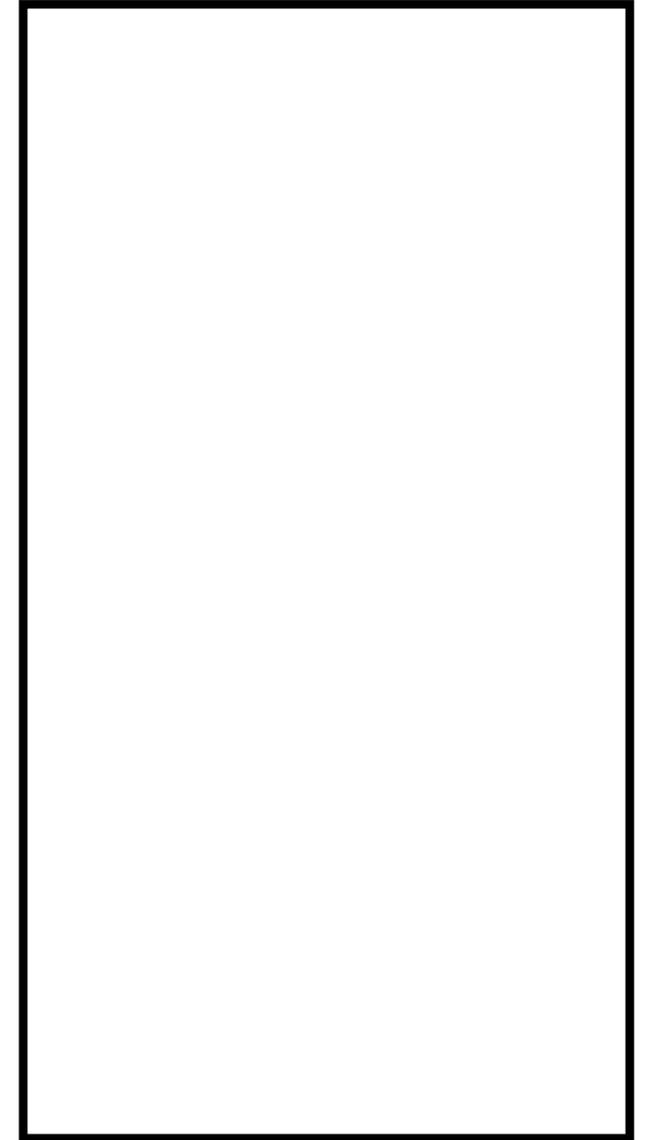
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# Proof of Claim 2

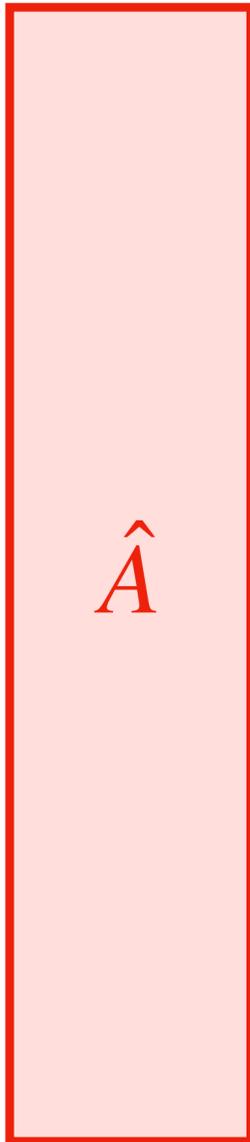
Forger  $A$



Fixed-length  
MAC Challenger

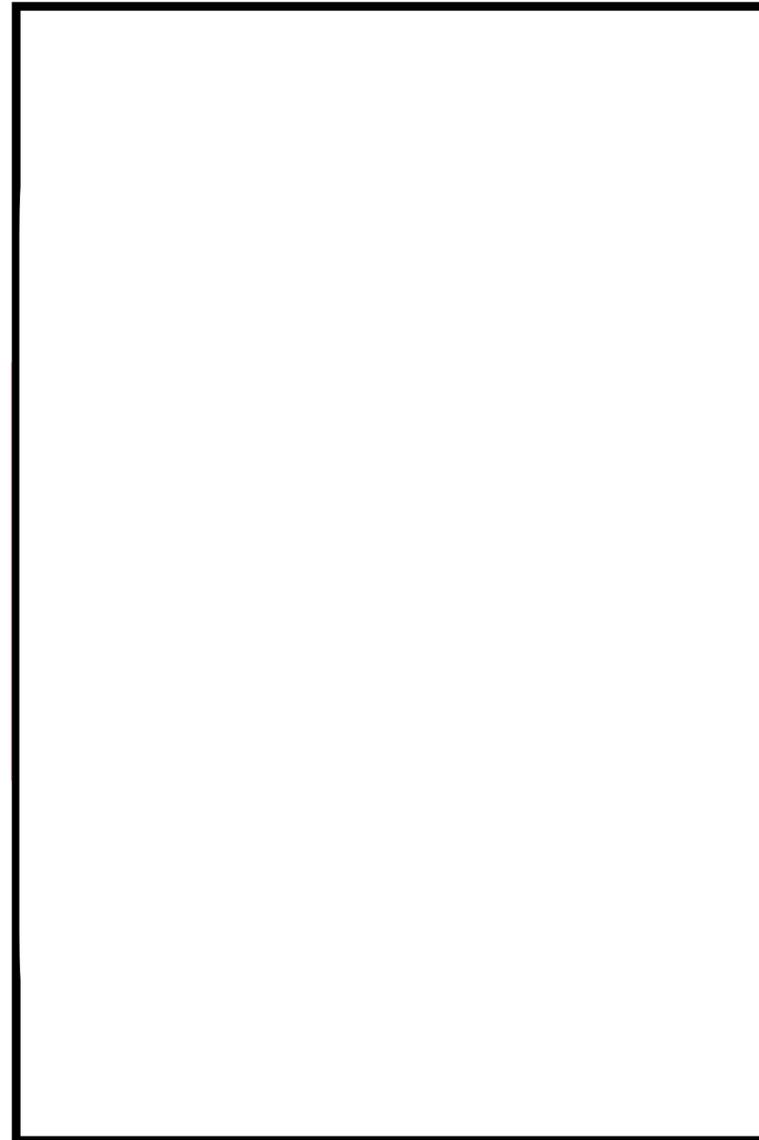


$\hat{A}$



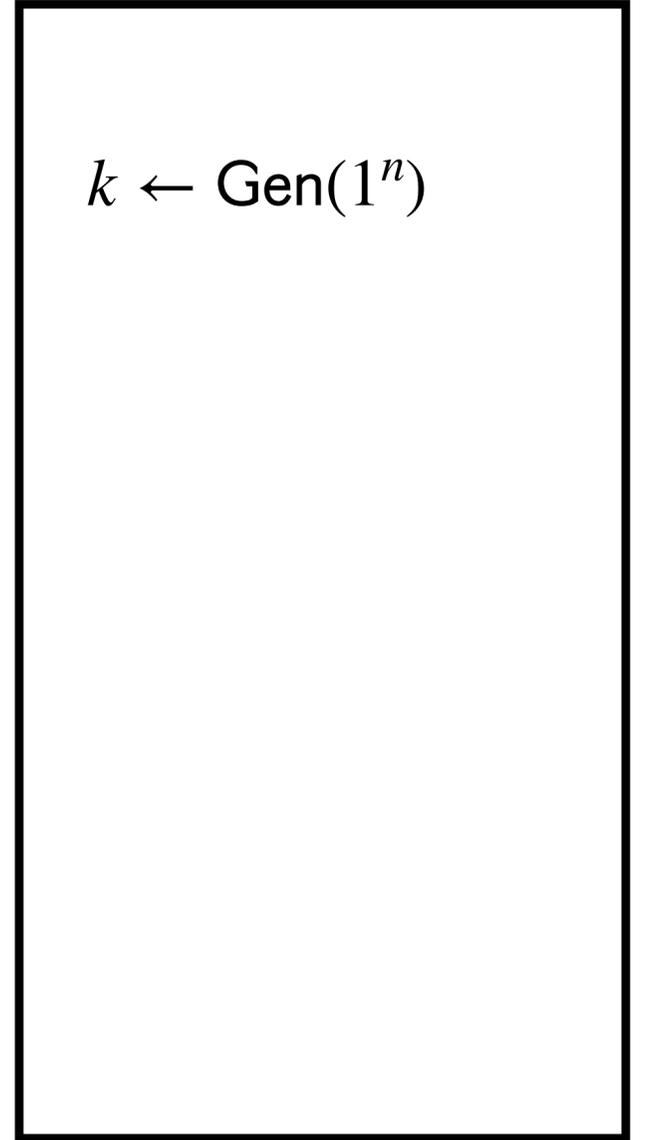
# Proof of Claim 2

Forger  $A$

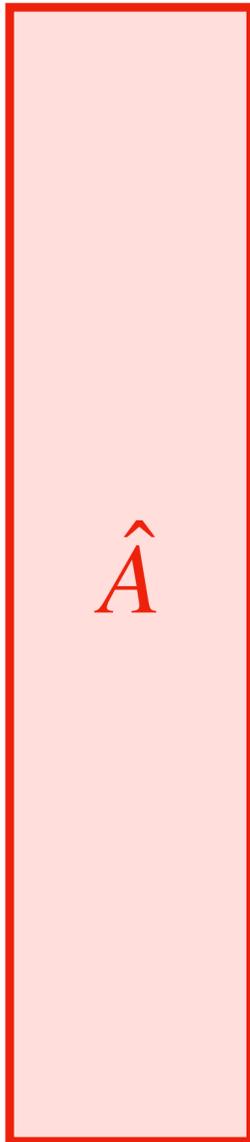


Fixed-length  
MAC Challenger

$k \leftarrow \text{Gen}(1^n)$



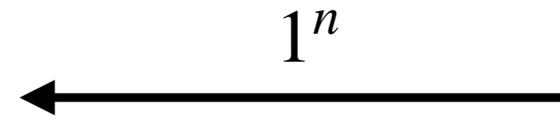
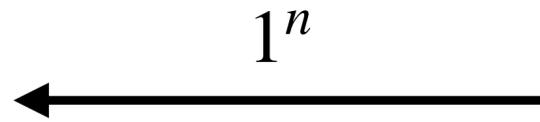
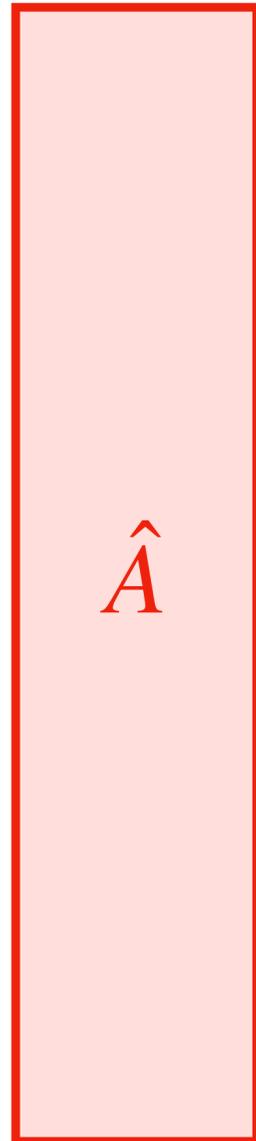
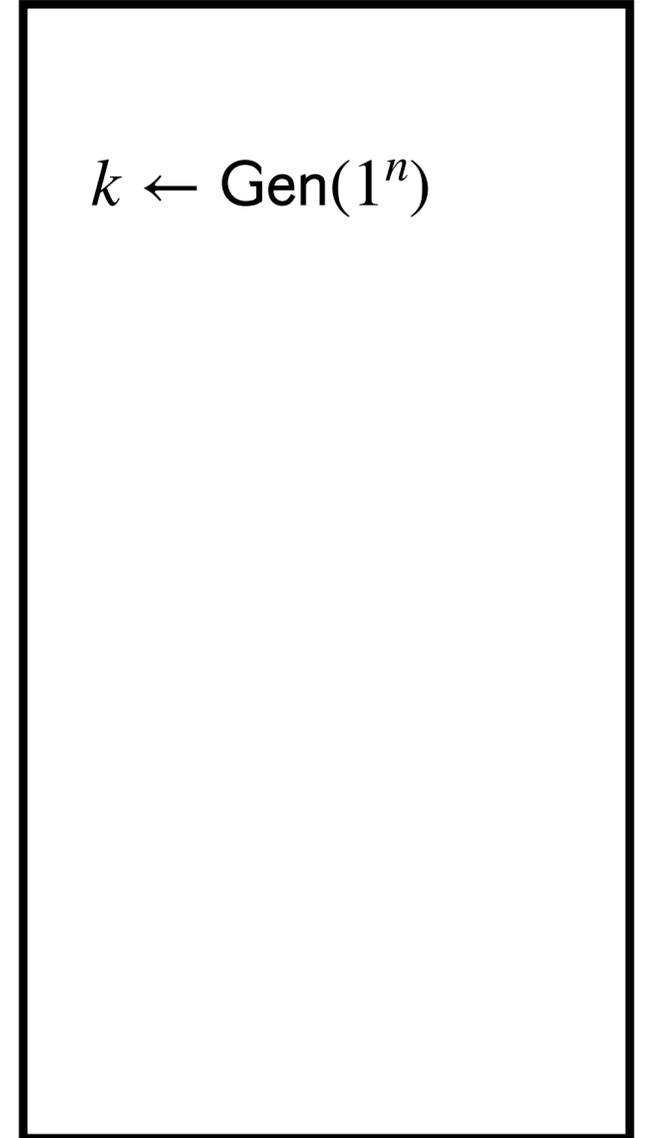
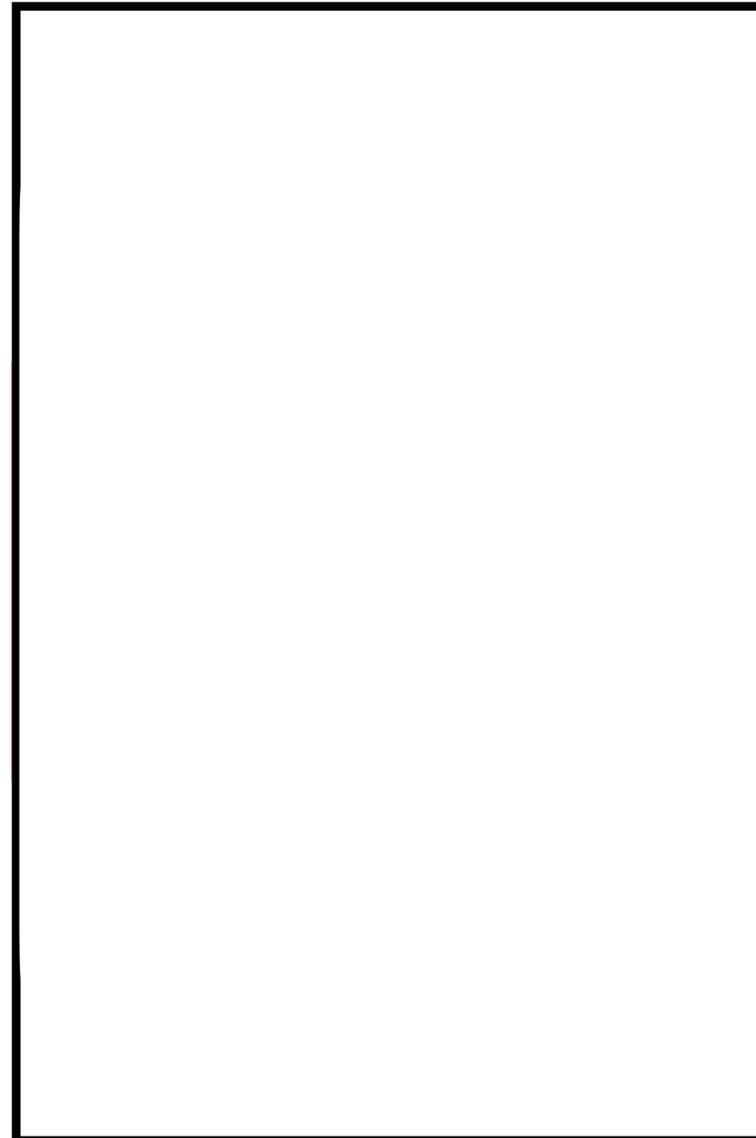
$\hat{A}$



# Proof of Claim 2

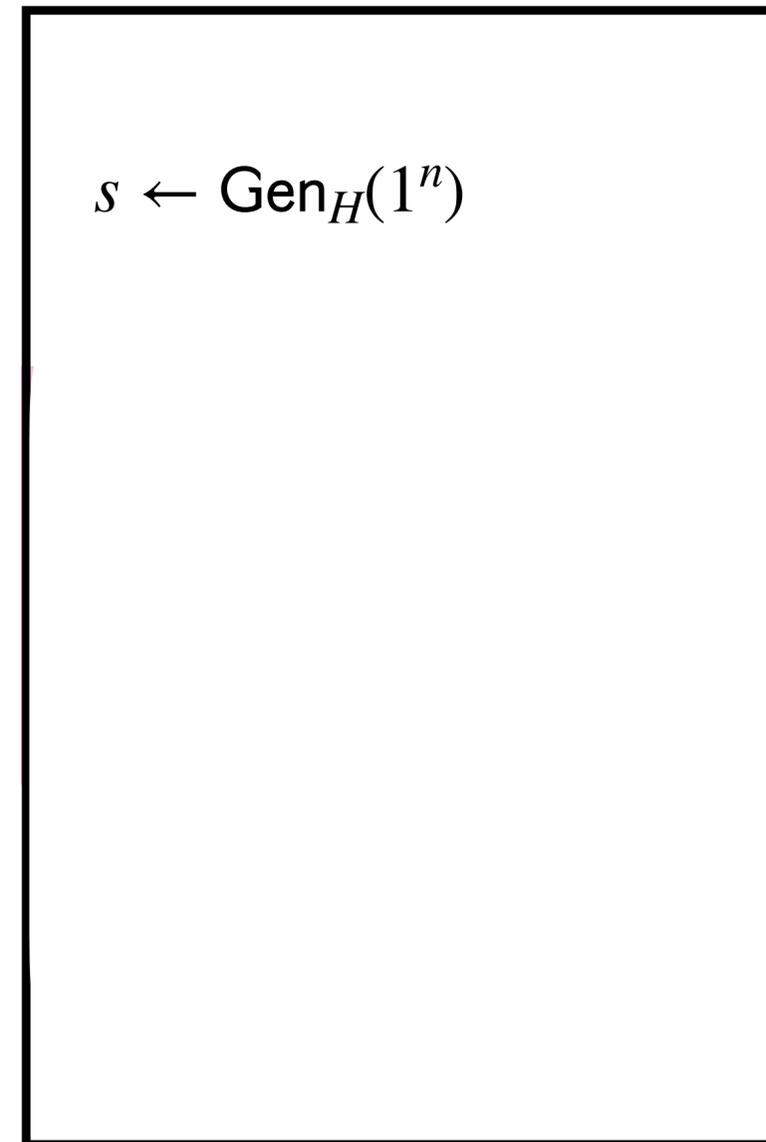
Forger  $A$

Fixed-length  
MAC Challenger

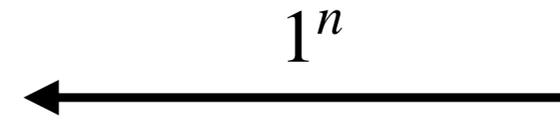
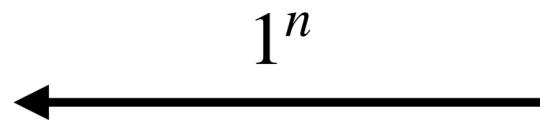
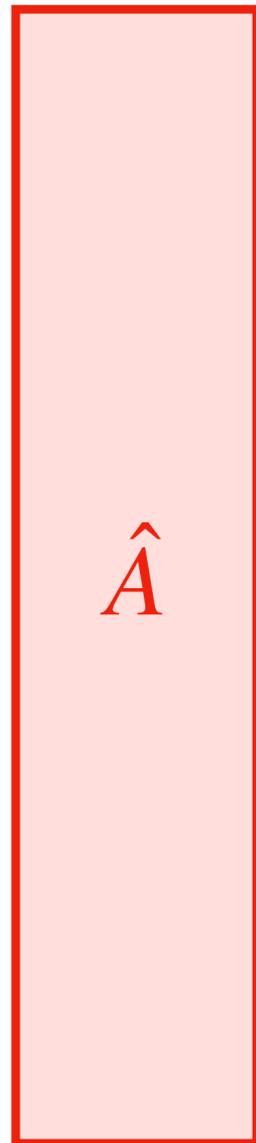
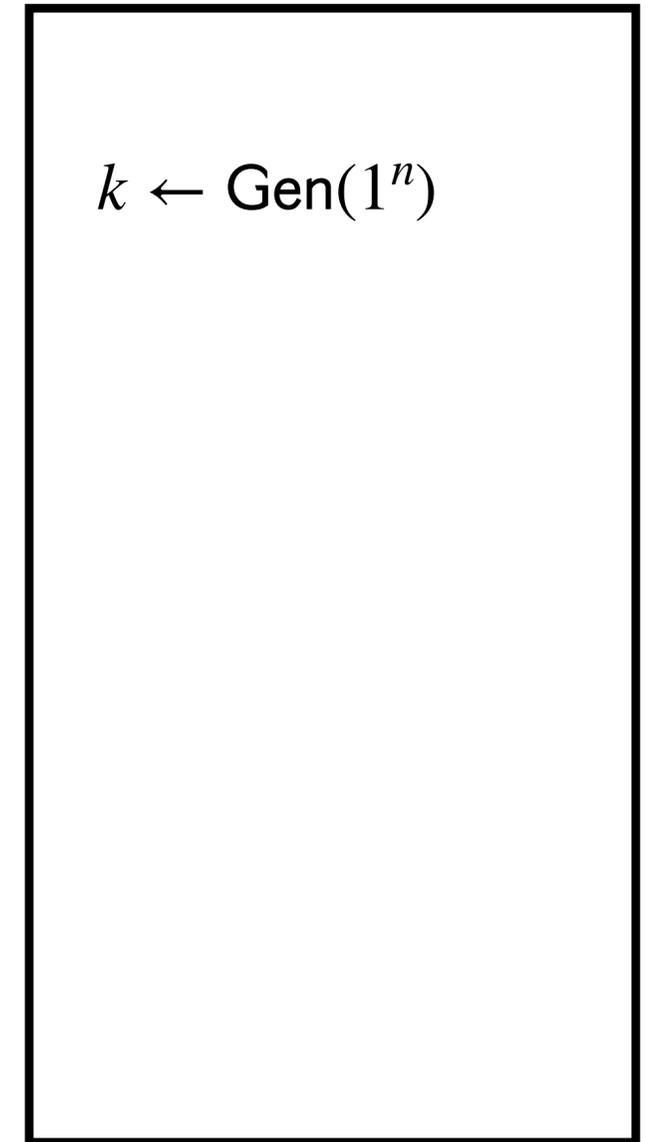


# Proof of Claim 2

Forger  $A$



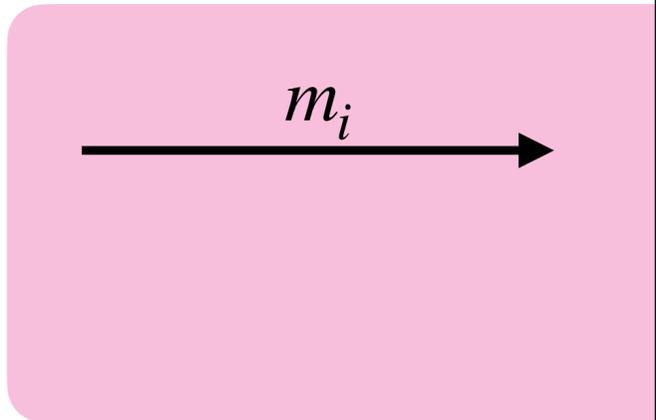
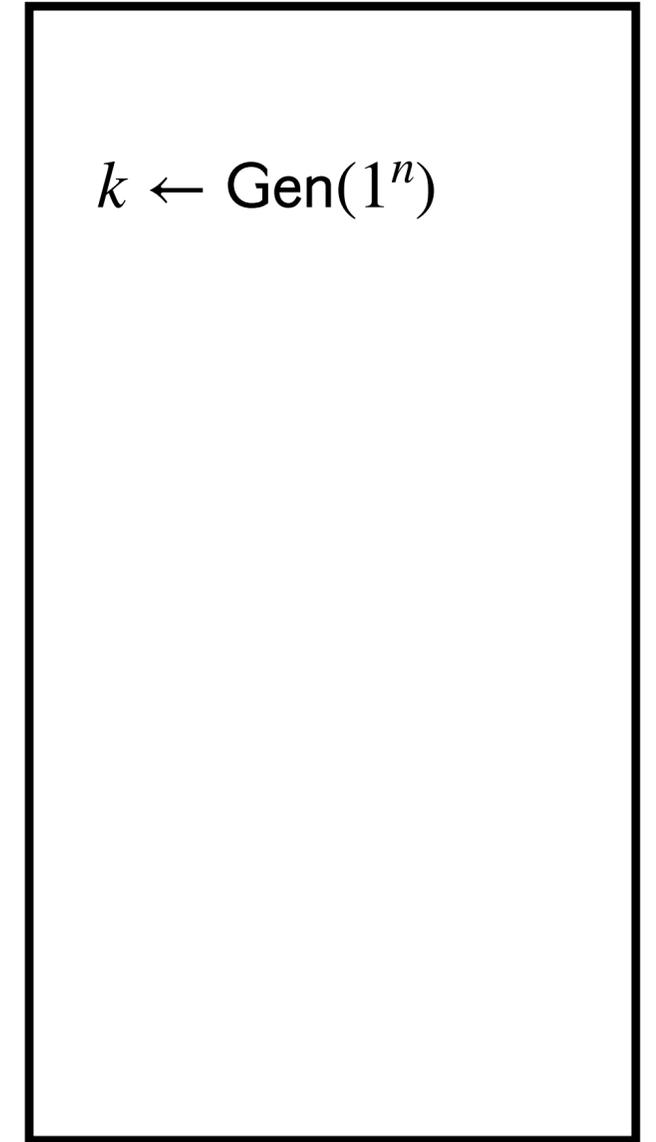
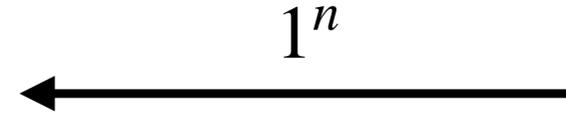
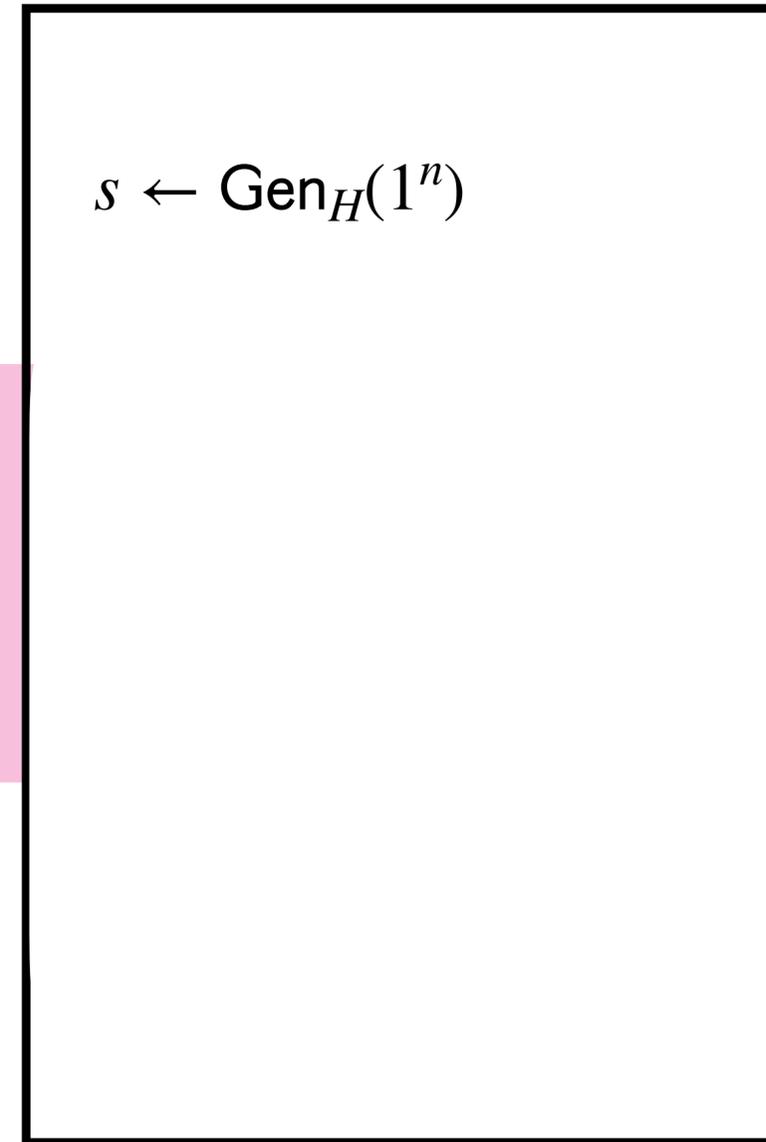
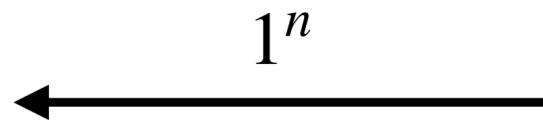
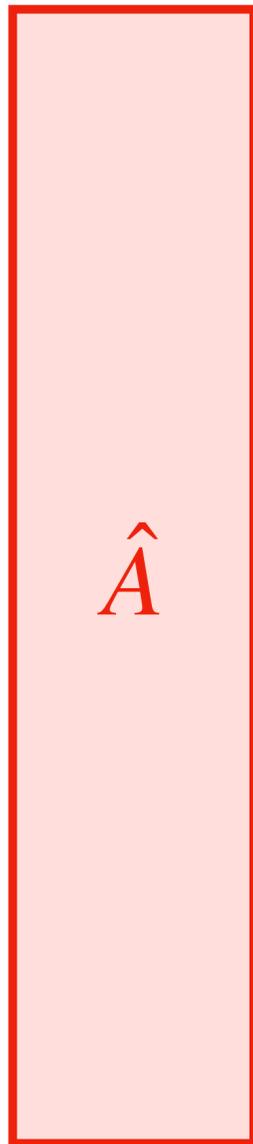
Fixed-length  
MAC Challenger



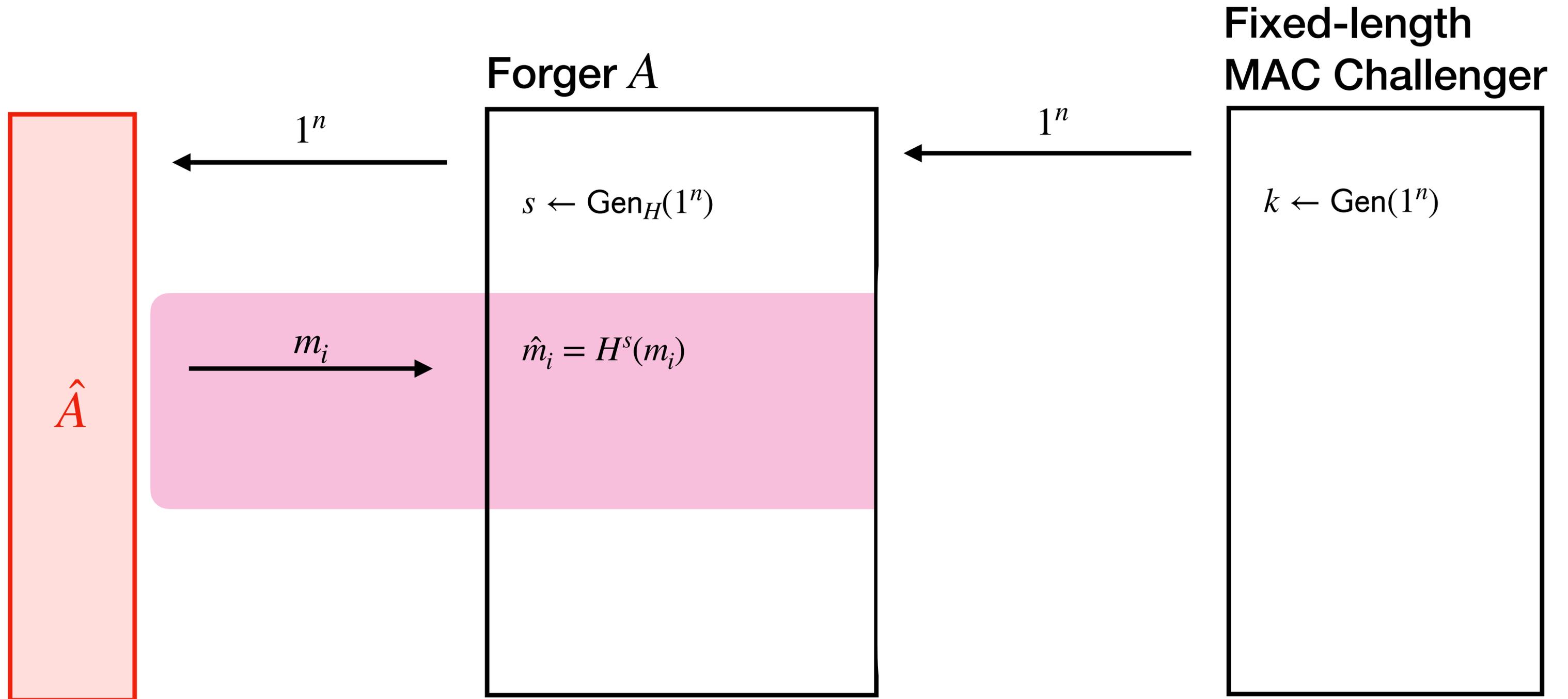
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Forger  $A$

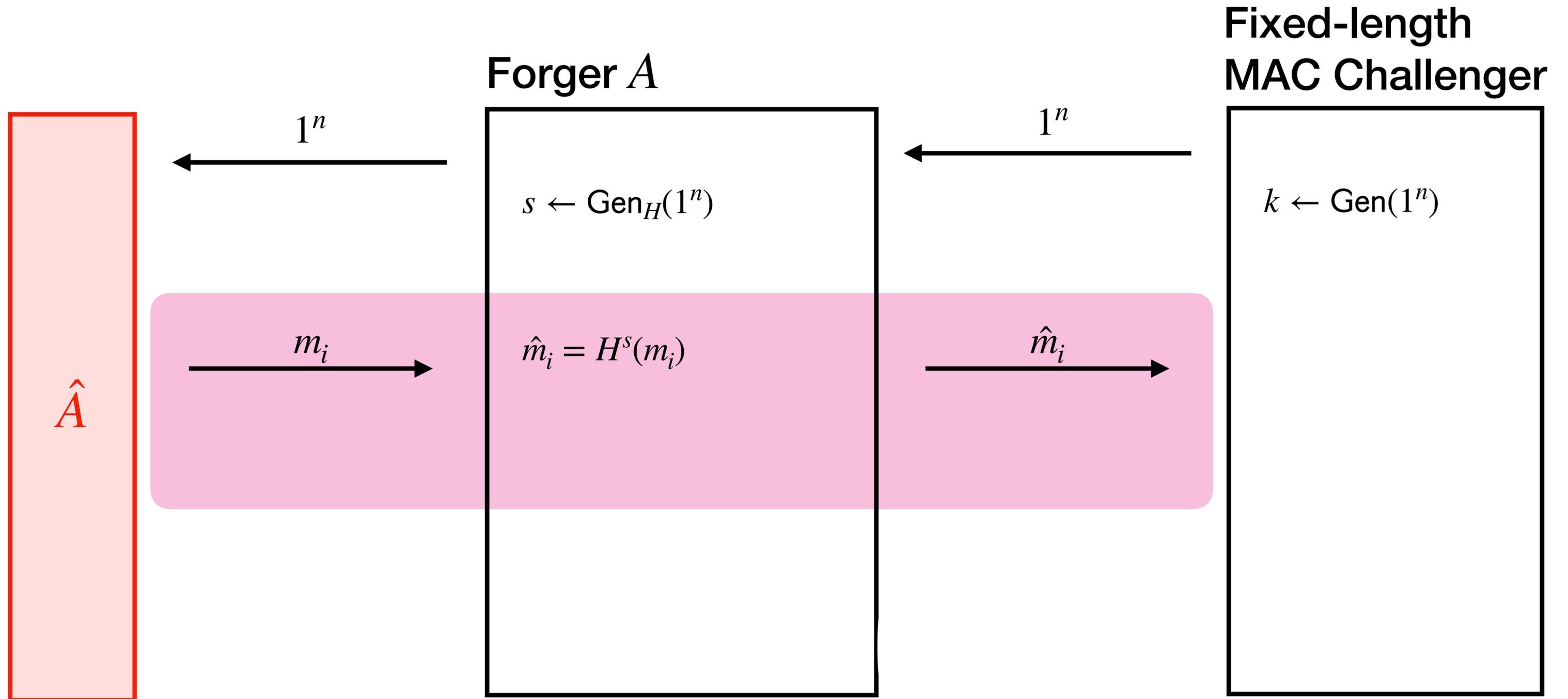
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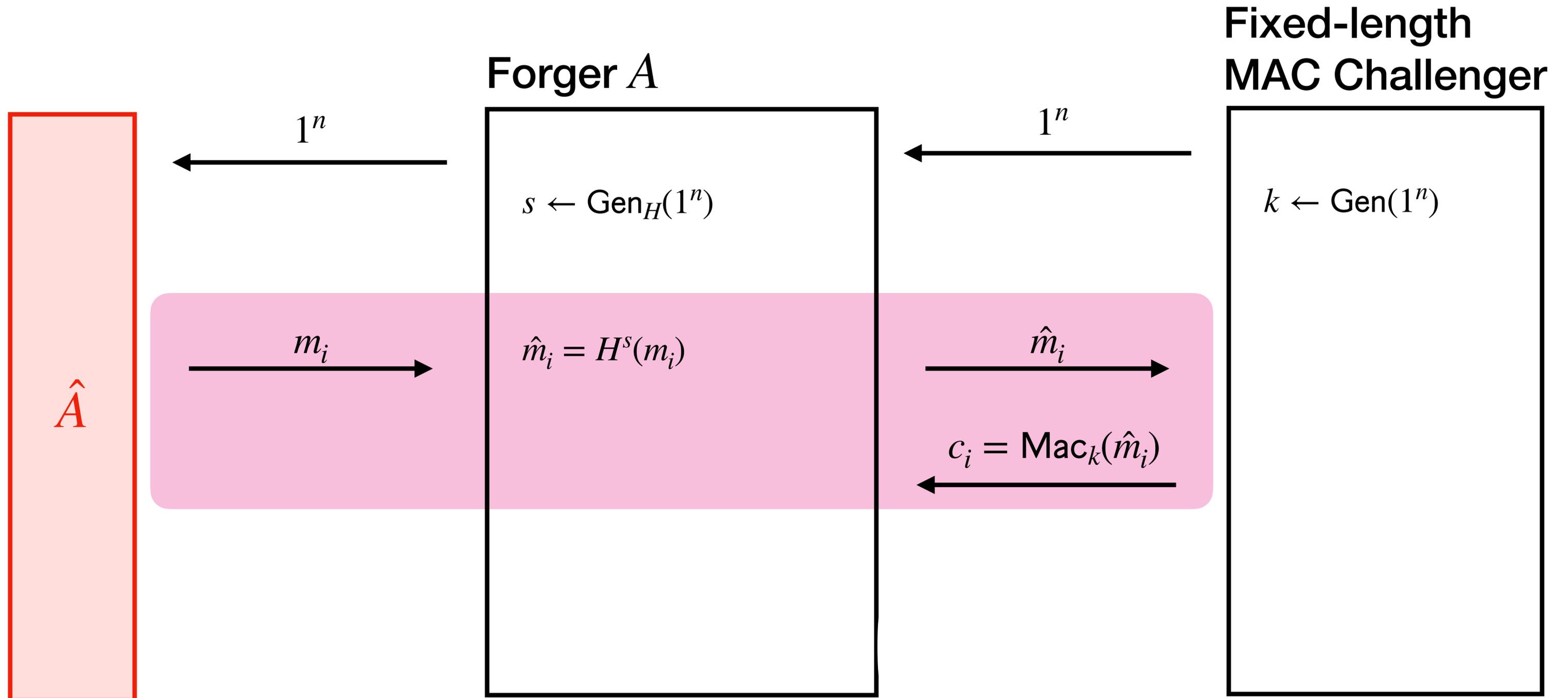
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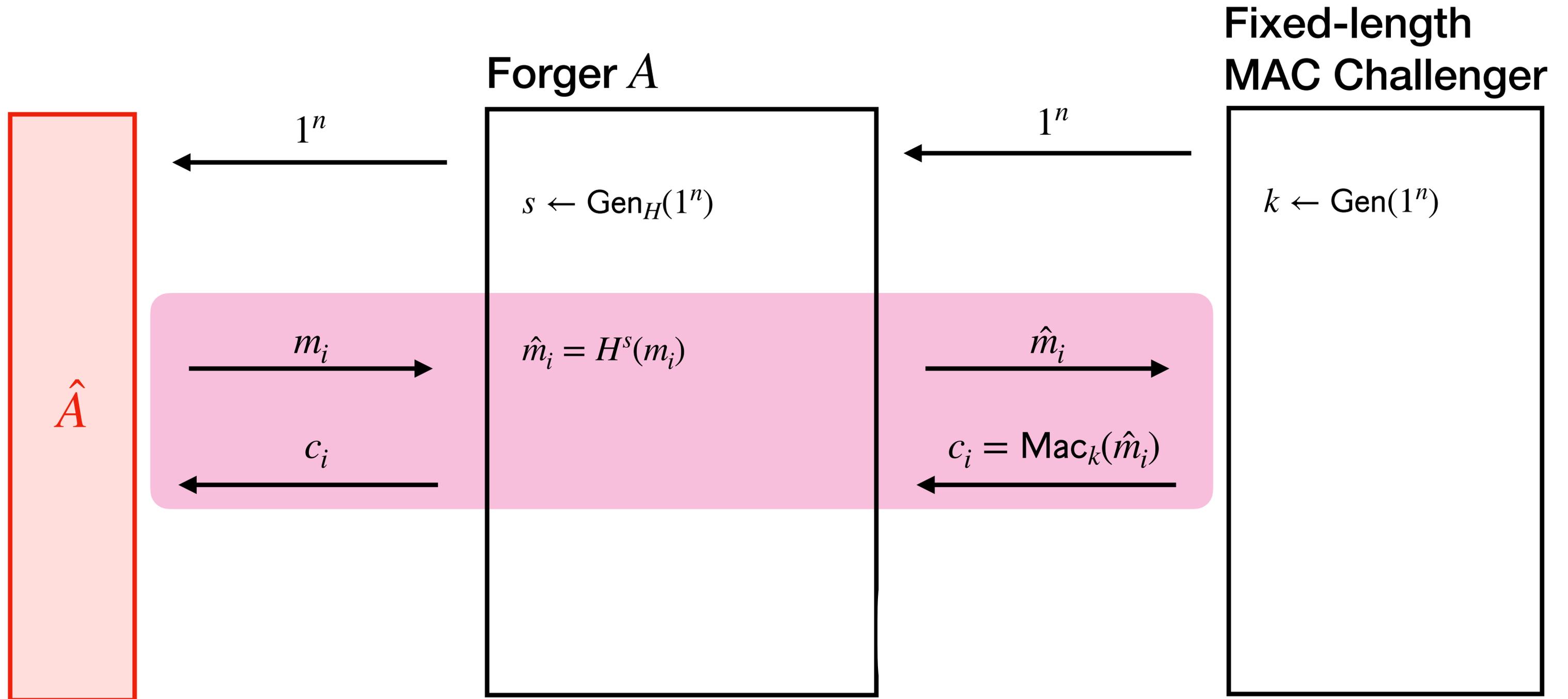
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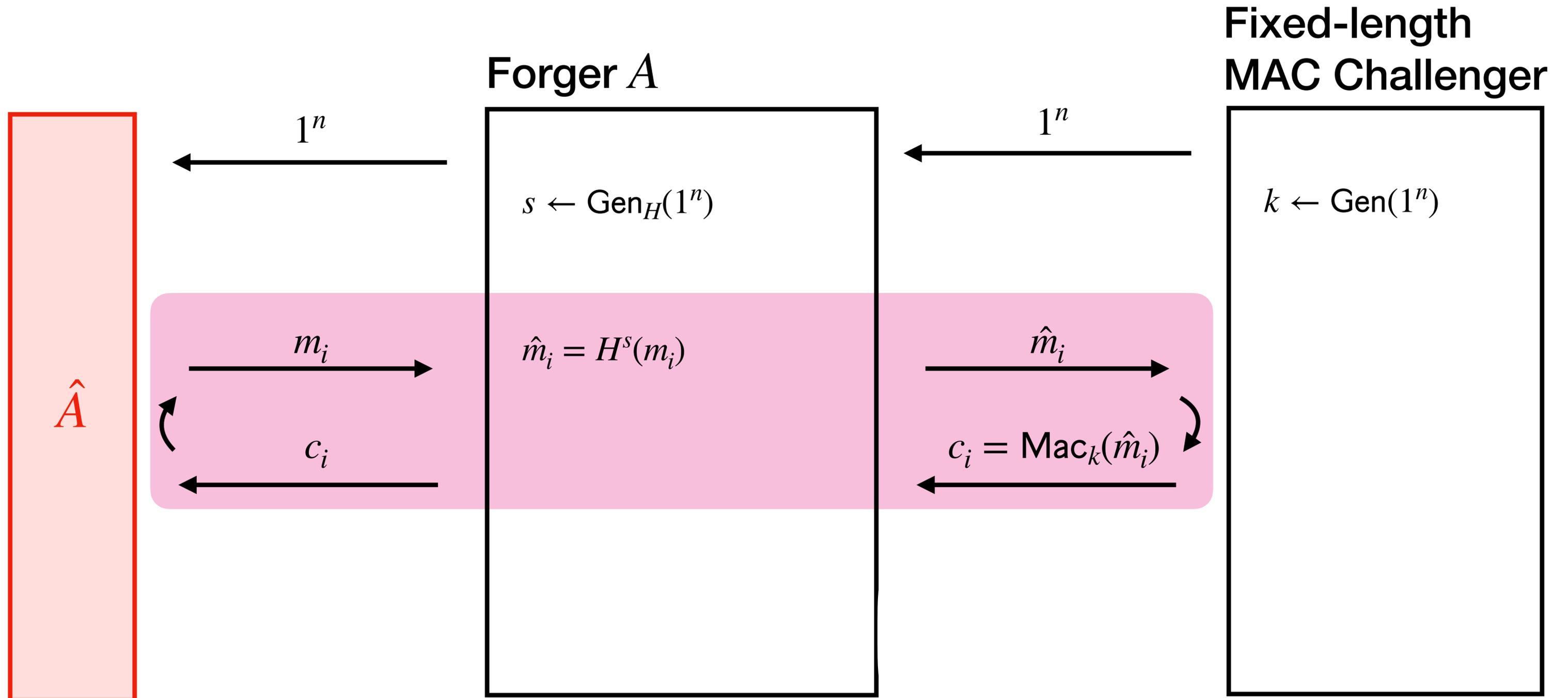
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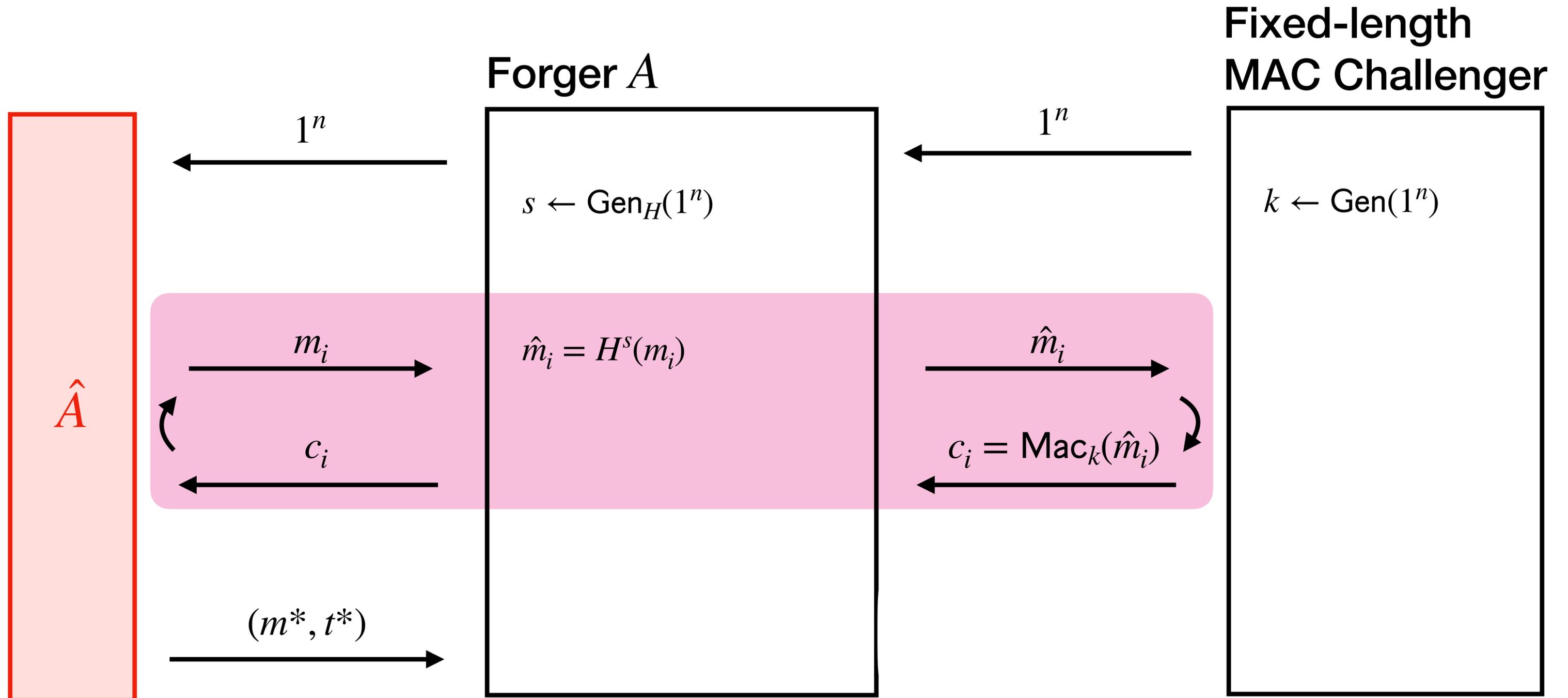
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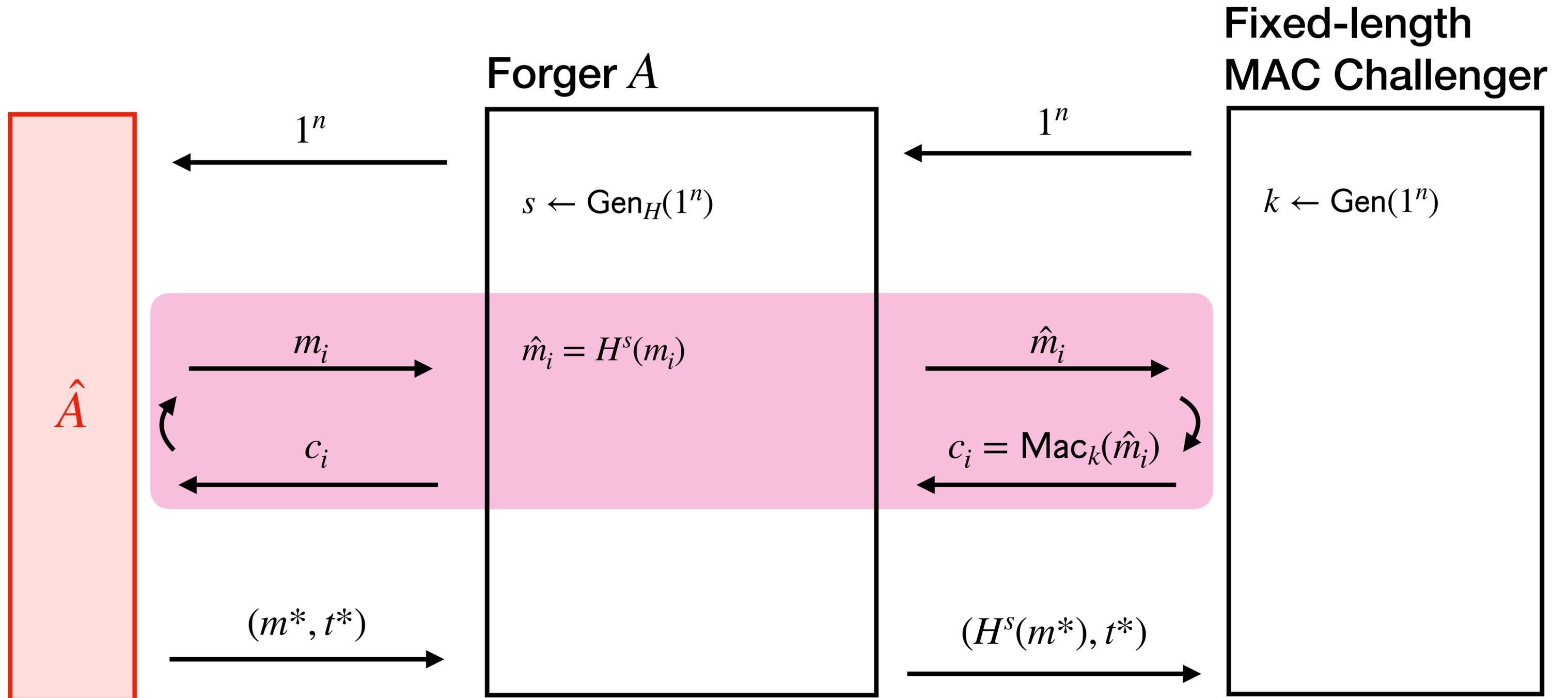
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# Proof of Claim 2



# Proof of Claim 2

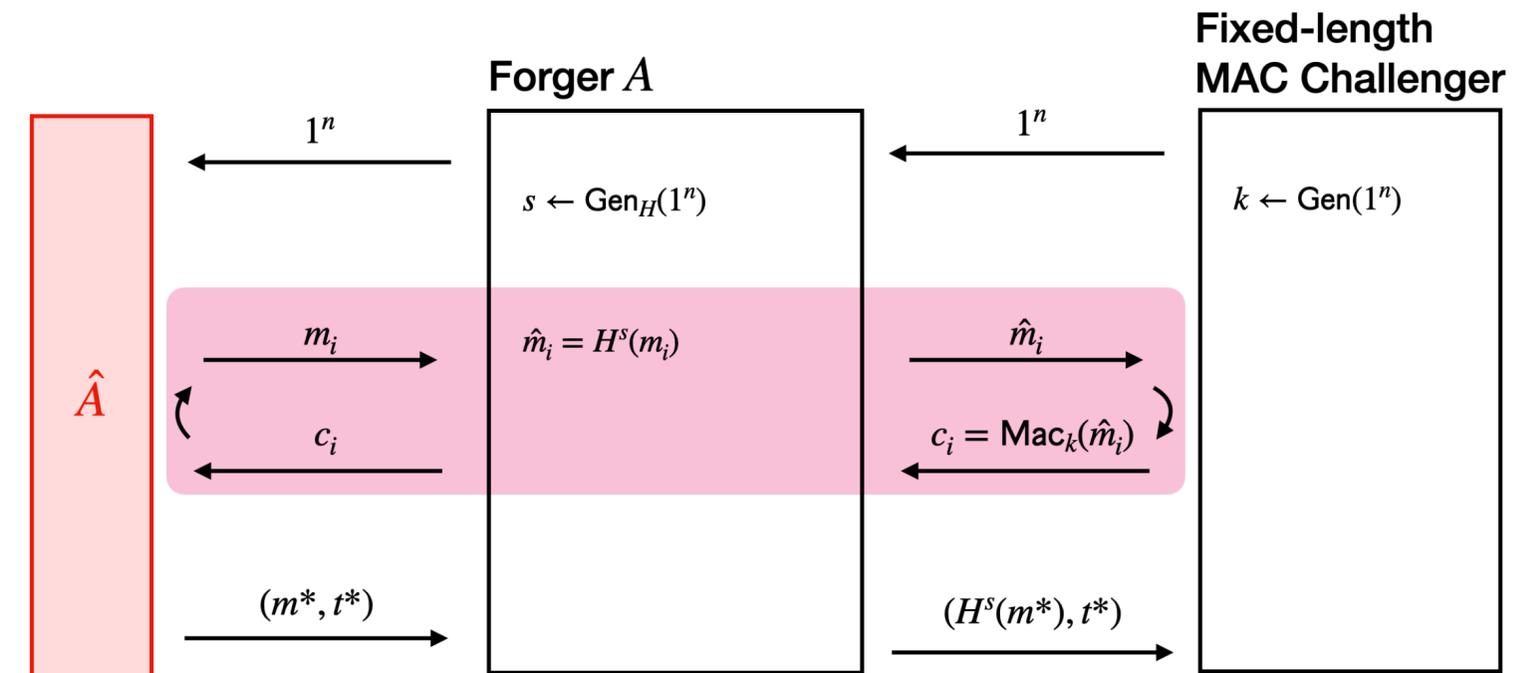


# Proof of Claim 2

Assume towards contradiction that there exists an adversary  $\hat{A}$  and a polynomial  $p(n)$  s.t.

$$\Pr[\text{MacForge}_{\hat{A}, \hat{\Pi}}(n) = 1 \wedge \neg \text{collision}] \geq 1/p(n)$$

$$\Pr[\text{MacForge}_{\hat{A}, \Pi}]$$



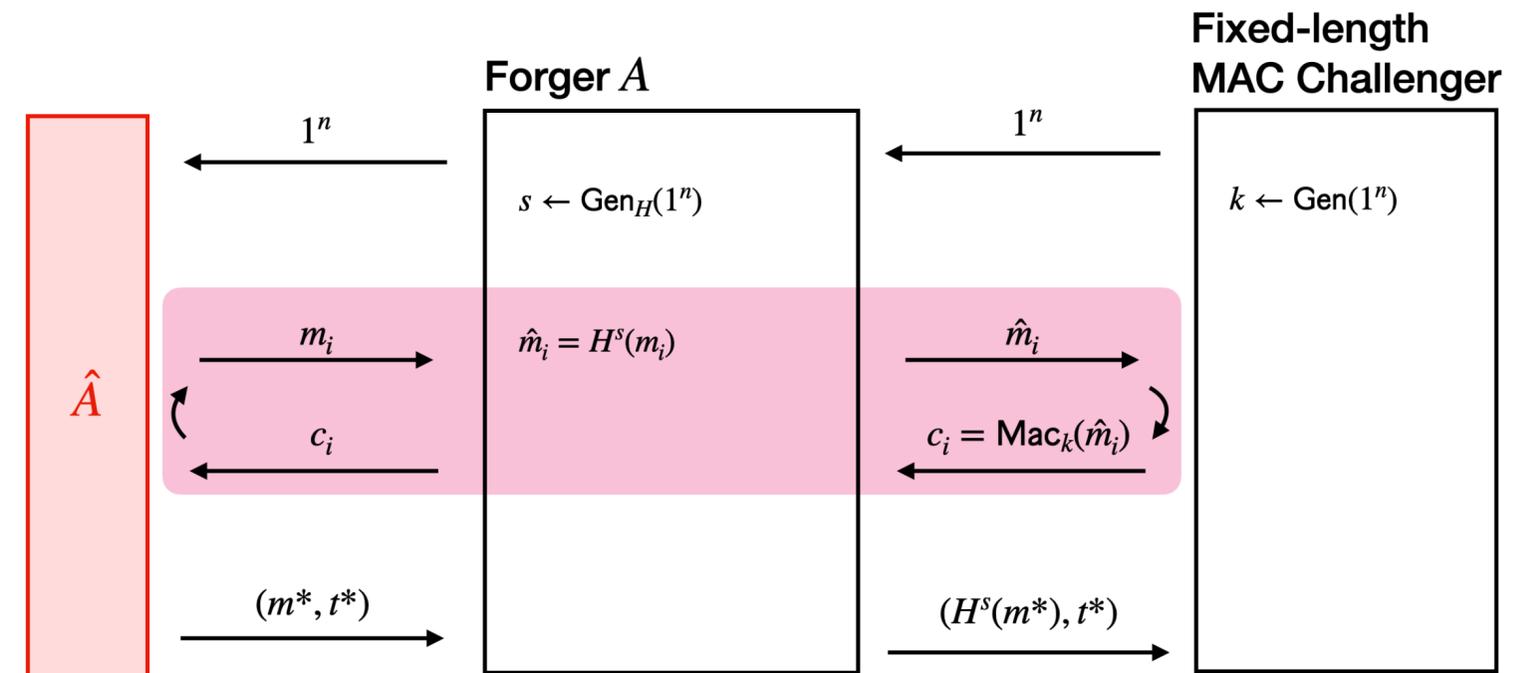
**Contradiction!**

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$$\begin{aligned} \Pr[\text{MacForge}_{\mathcal{A}, \Pi}] \\ = \Pr[\text{MacForge}_{\hat{\mathcal{A}}, \hat{\Pi}} \wedge \neg \text{collision}] \end{aligned}$$



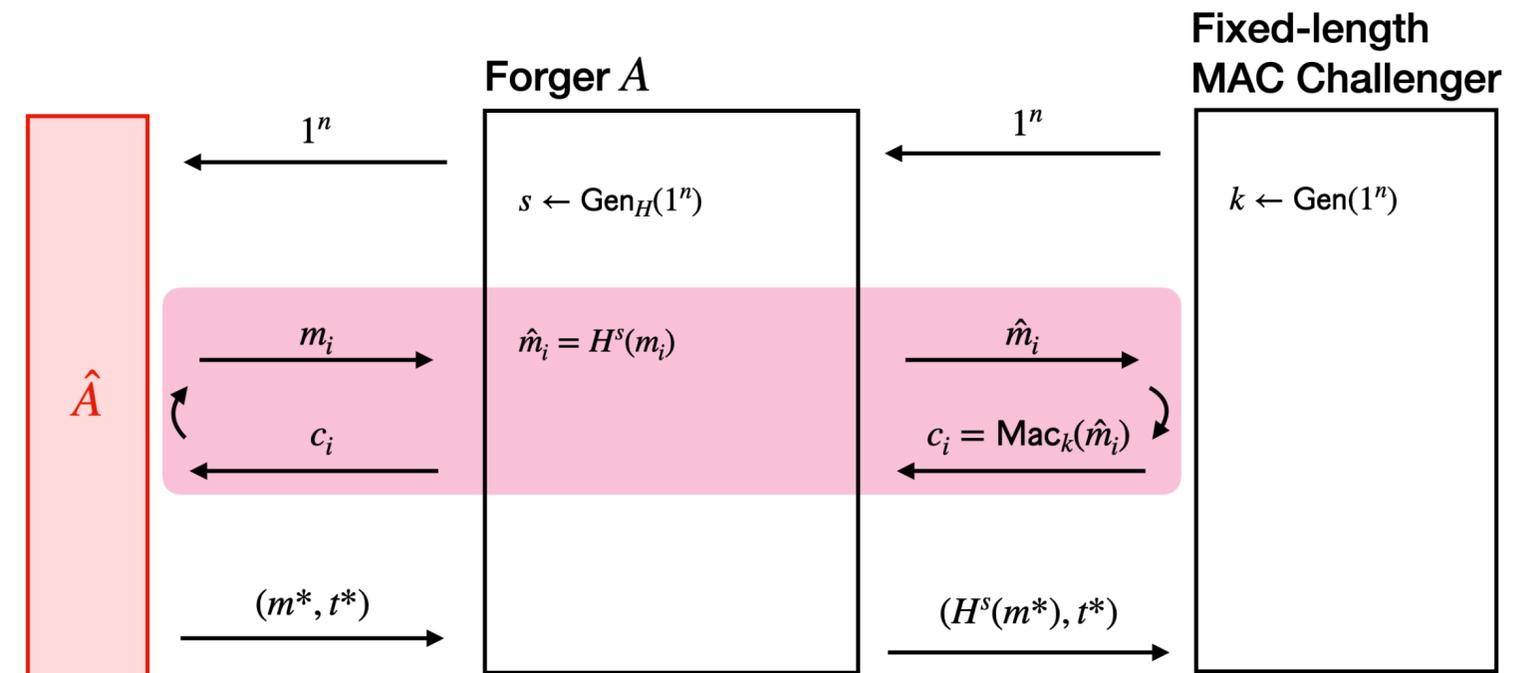
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**Contradiction!**

# Random Oracle Model

# Weaker Notions of Security (informally)

- **Collision-resistant (CR)**

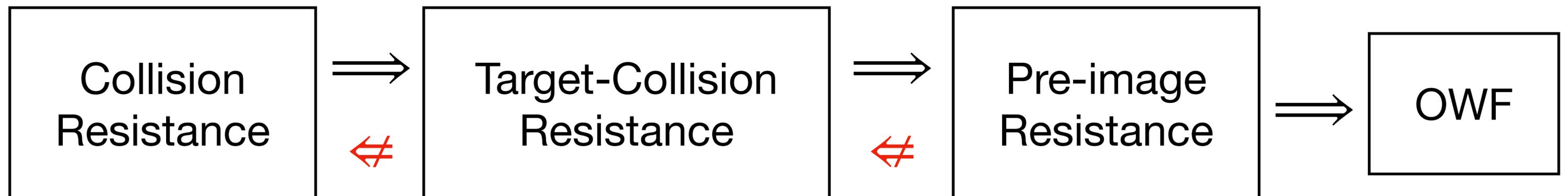
- It is hard to find  $x \neq x'$  such that  $H^s(x) = H^s(x')$

- **Second pre-image resistance / Target-collision resistant (TCR)**

- Given  $x$  it is hard to find  $x'$  such that  $H^s(x) = H^s(x')$

- **Pre-image resistance / One-Wayness (OW)**

- Given  $y$  it is hard to find  $x$  such that  $H^s(x) = y$



# Strong Notion of Security (informally)

- **Random oracle model / ideal hash:**
  - Pretend that  $H^S$  is a truly random function that everyone has access to

# The Random Oracle Model

- Many constructions in practice that use a hash function we only know how to prove if the hash function  $H$  is a random function
  - We don't have a security proof under collision-resistance or pre-image resistance, but we also don't have an attack
- Can we assume  $H$  behaves like a random function?
  - **No!** There is no secret key and the code of  $H$  is known to all!
- Instead we consider a new idealized model where entities have oracle access to a truly random function
  - What is the difference to a PRF?

# The Random Oracle Model

1. Start with a protocol  $\Pi$  that uses a hash function



**The standard model**



**The random oracle model**

# The Random Oracle Model

1. Start with a protocol  $\Pi$  that uses a hash function
  - Every party can locally compute  $H$



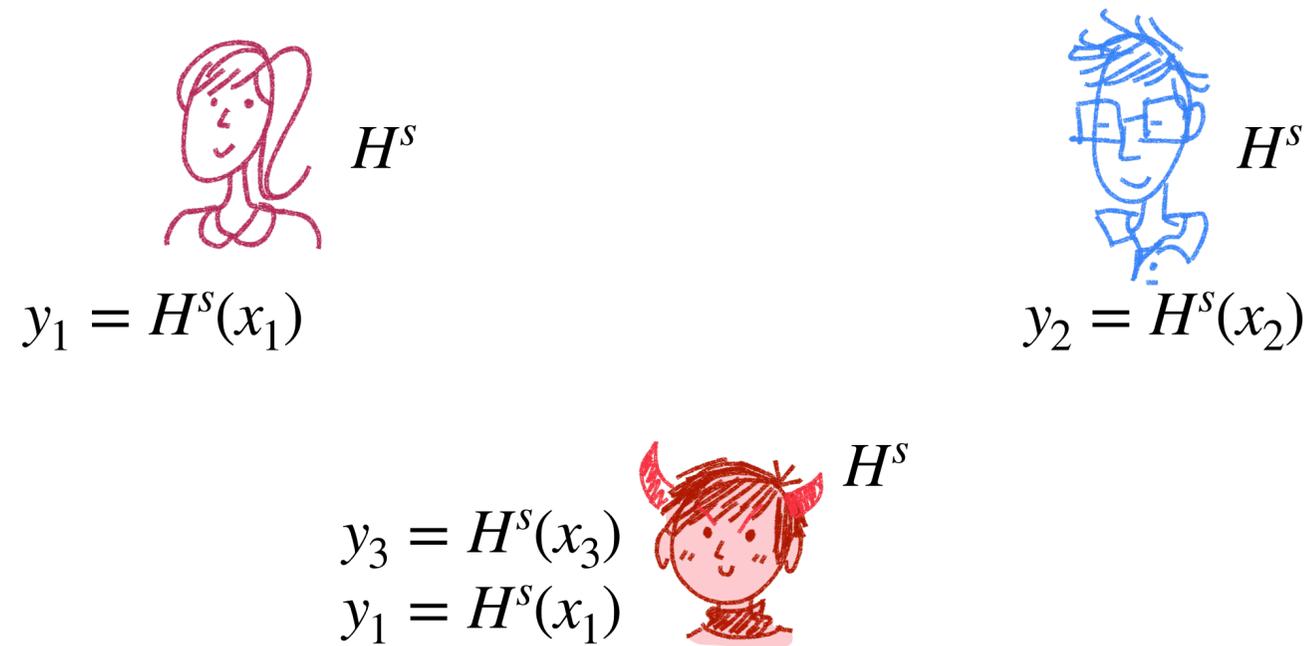
The standard model



The random oracle model

# The Random Oracle Model

1. Start with a protocol  $\Pi$  that uses a hash function
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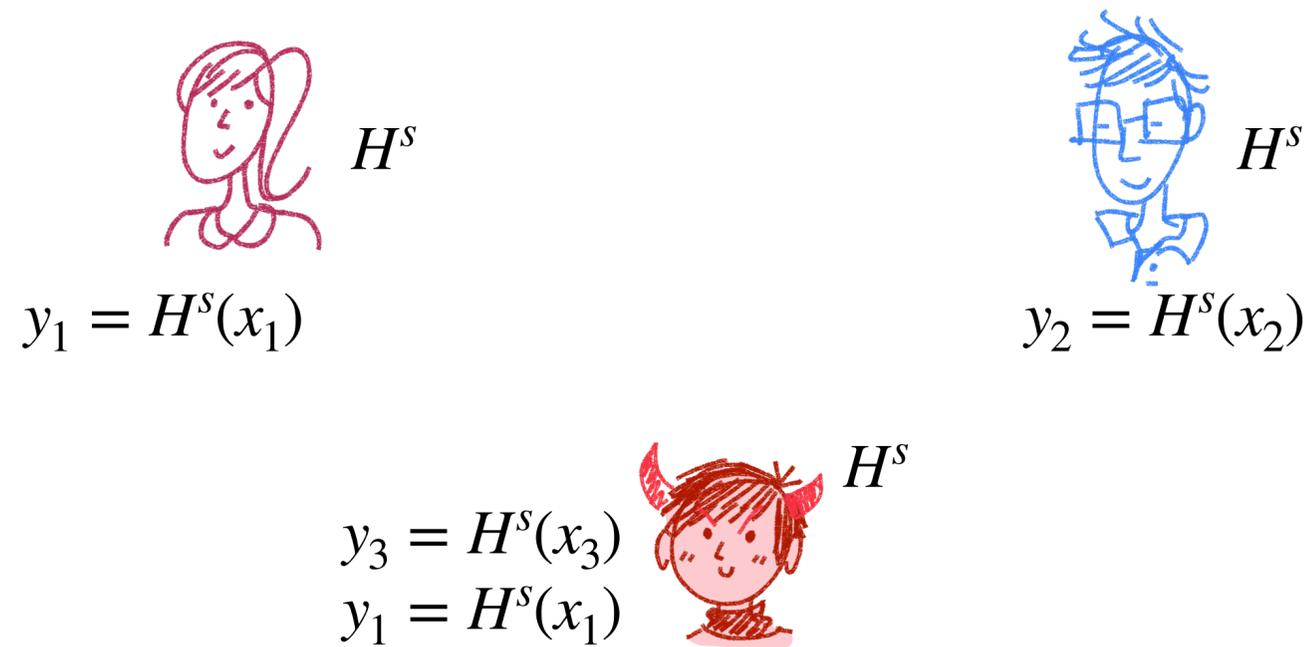
The standard model



The random oracle model

# The Random Oracle Model

1. Start with a protocol  $\Pi$  that uses a hash function
  - Every party can locally compute  $H$
2. Prove security of  $\Pi'$  in the random oracle model



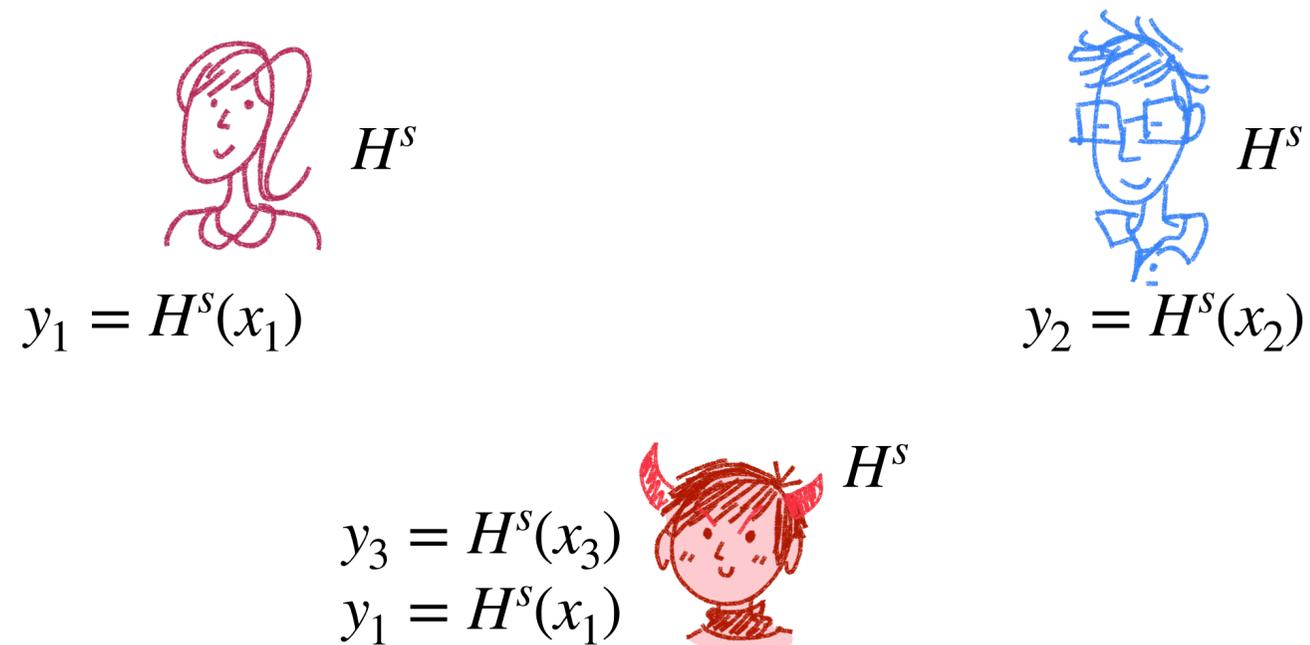
The standard model



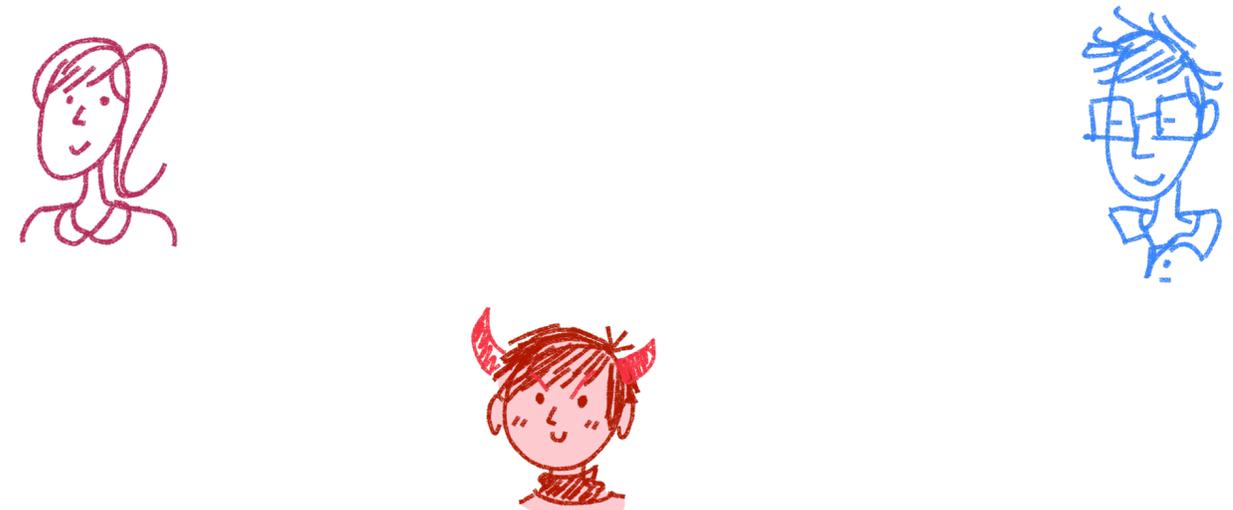
The random oracle model

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1. Start with a protocol  $\Pi$  that uses a hash function
  - Every party can locally compute  $H$
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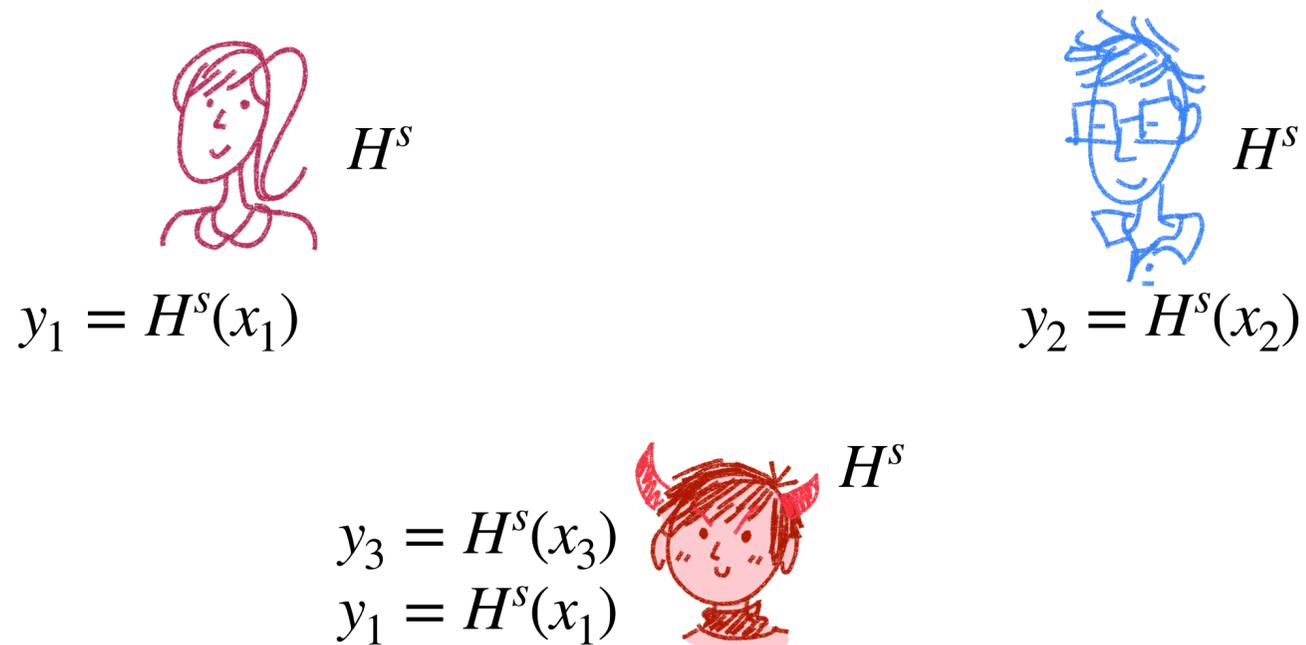
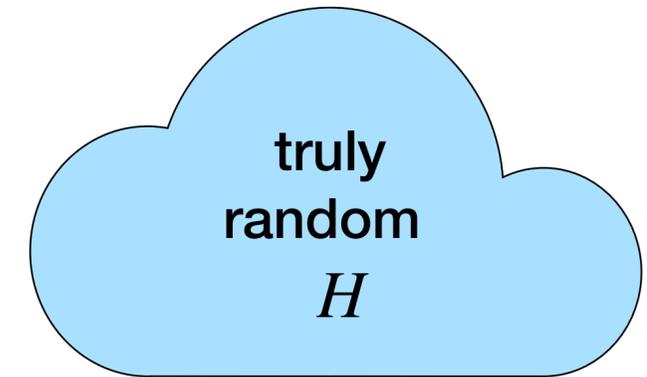
The standard model



The random oracle model

# The Random Oracle Model

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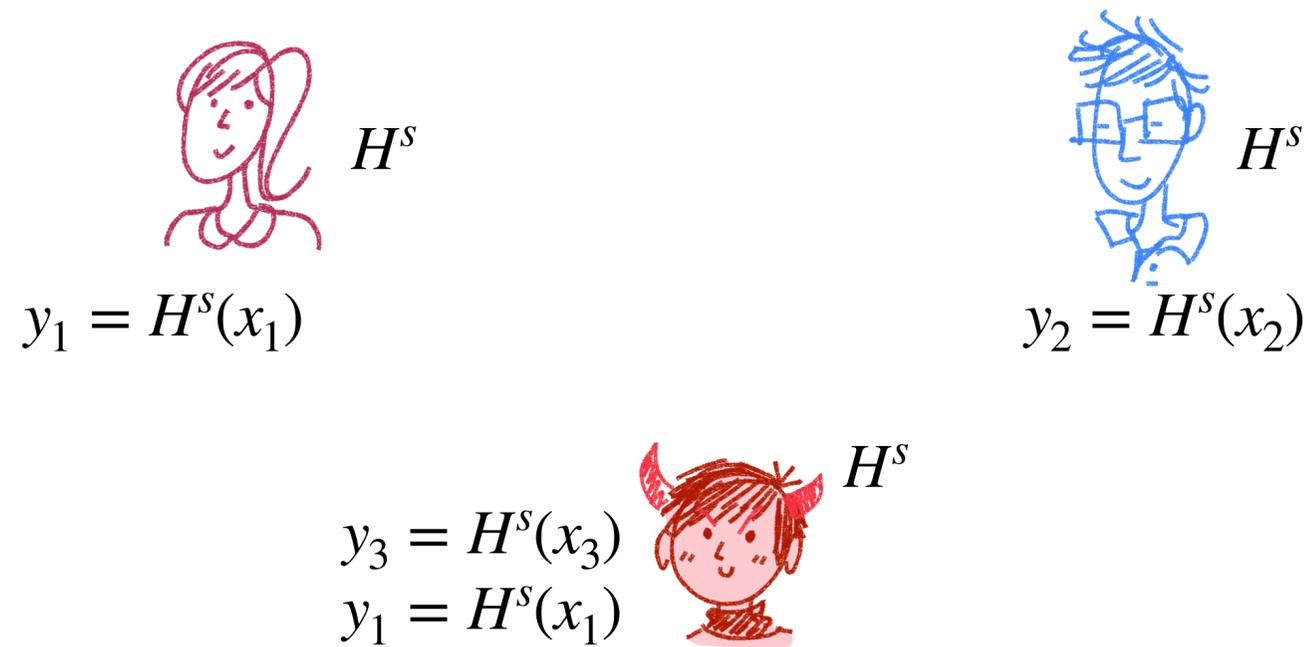
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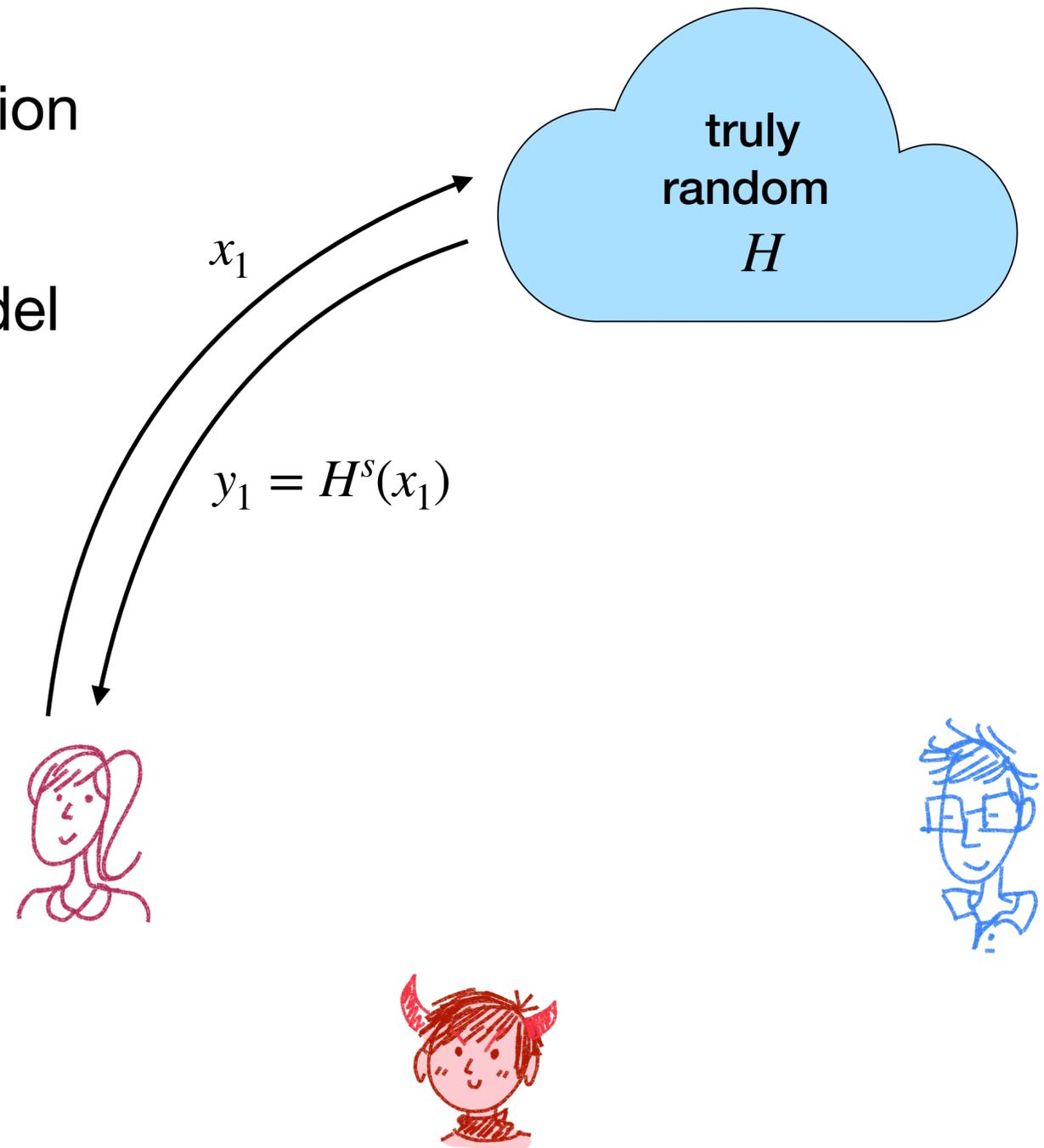
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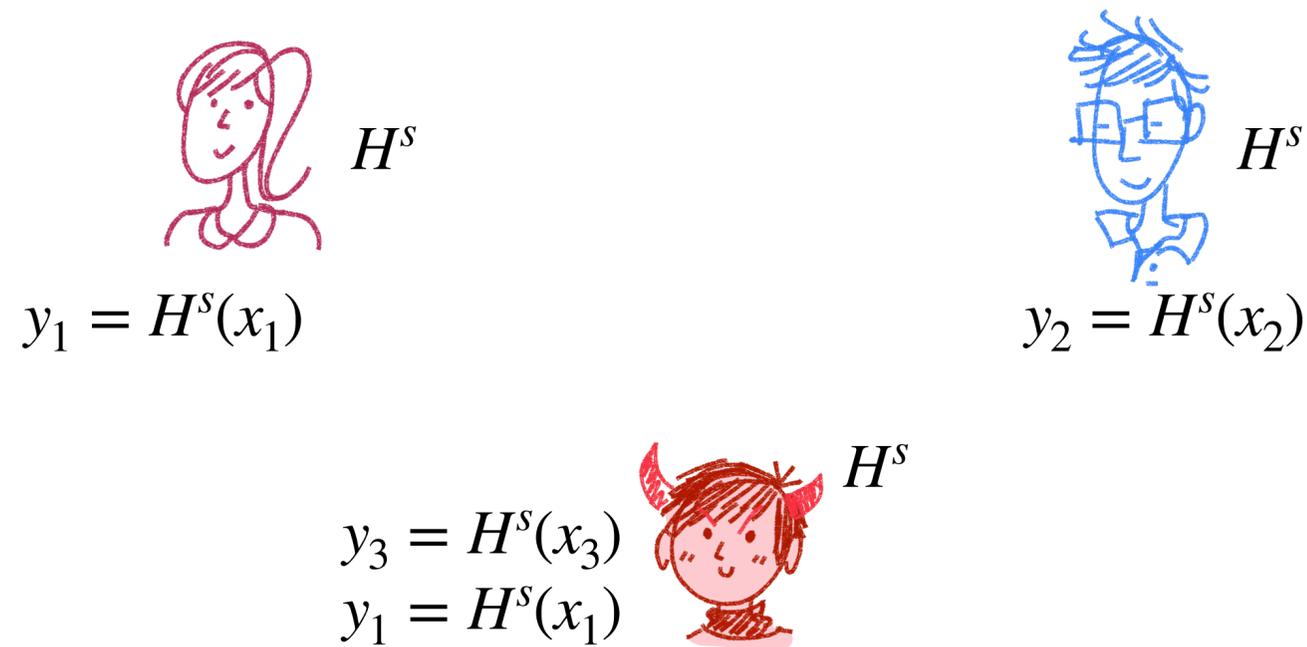
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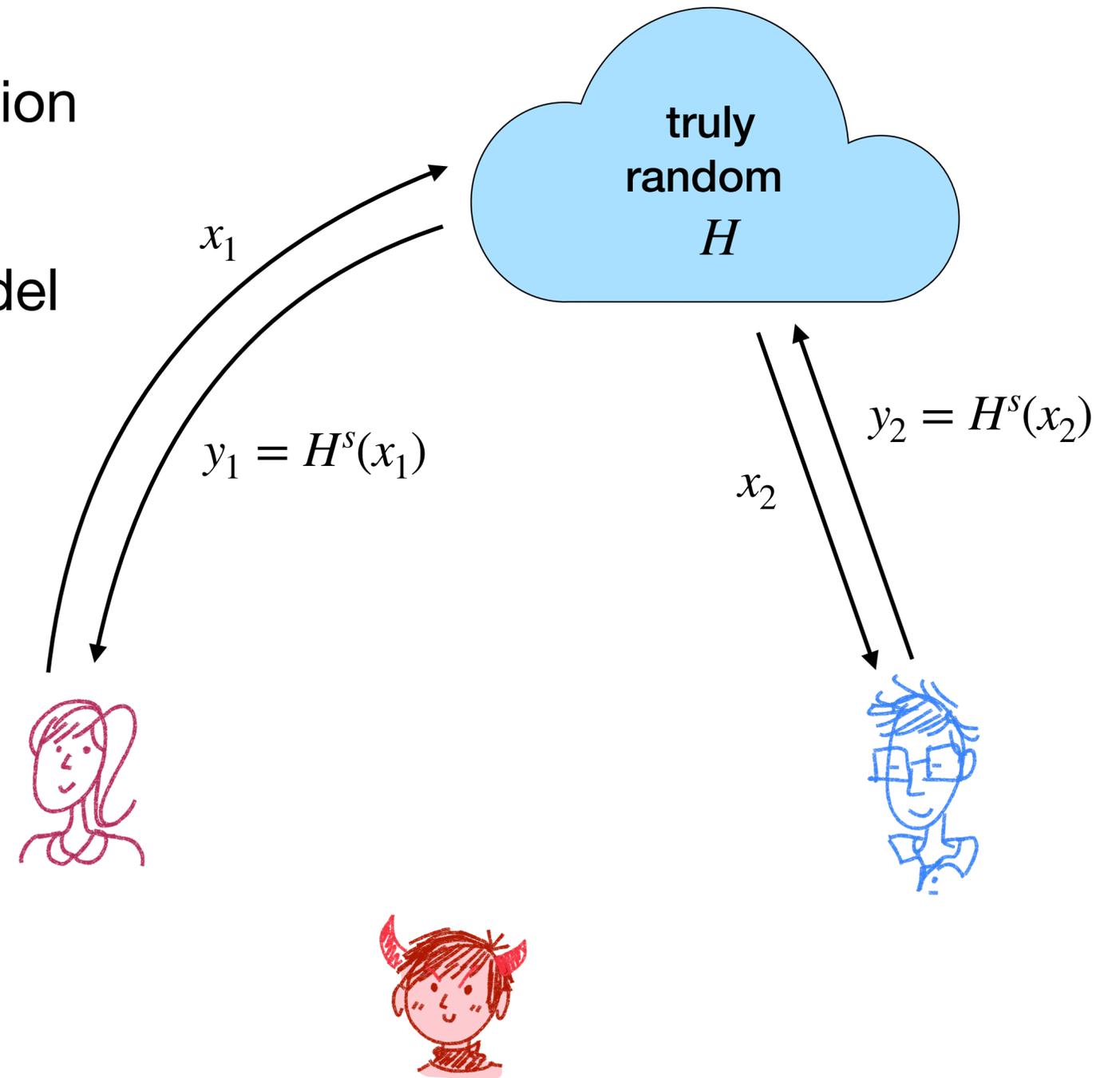
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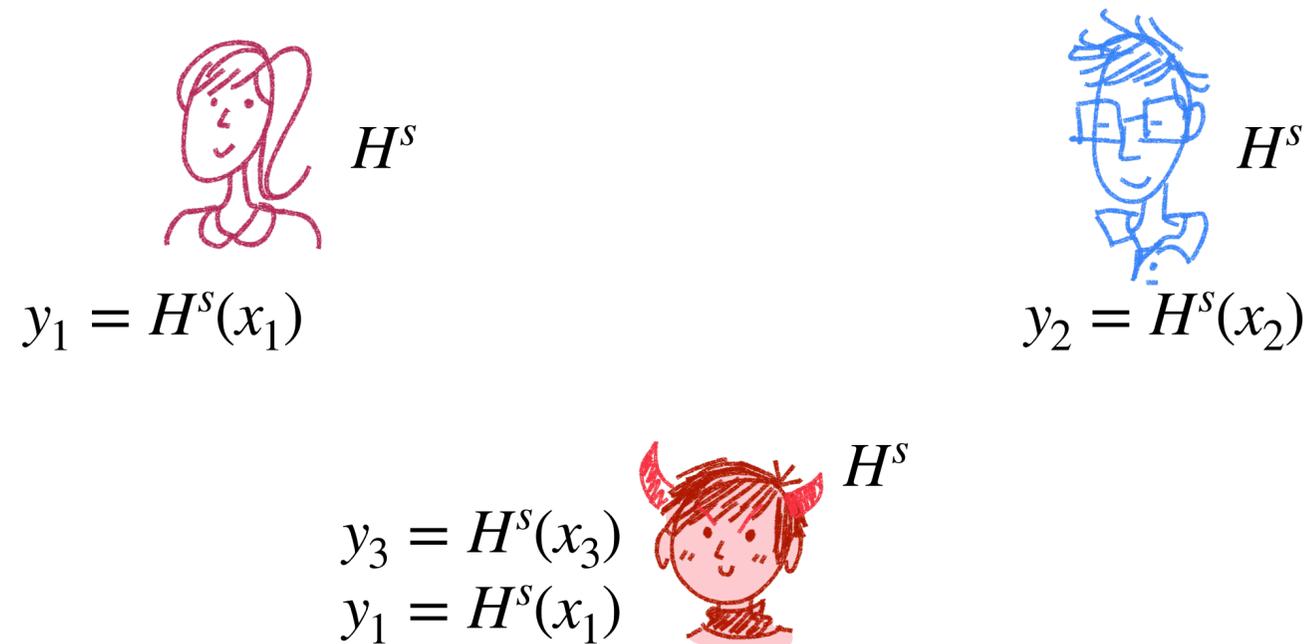
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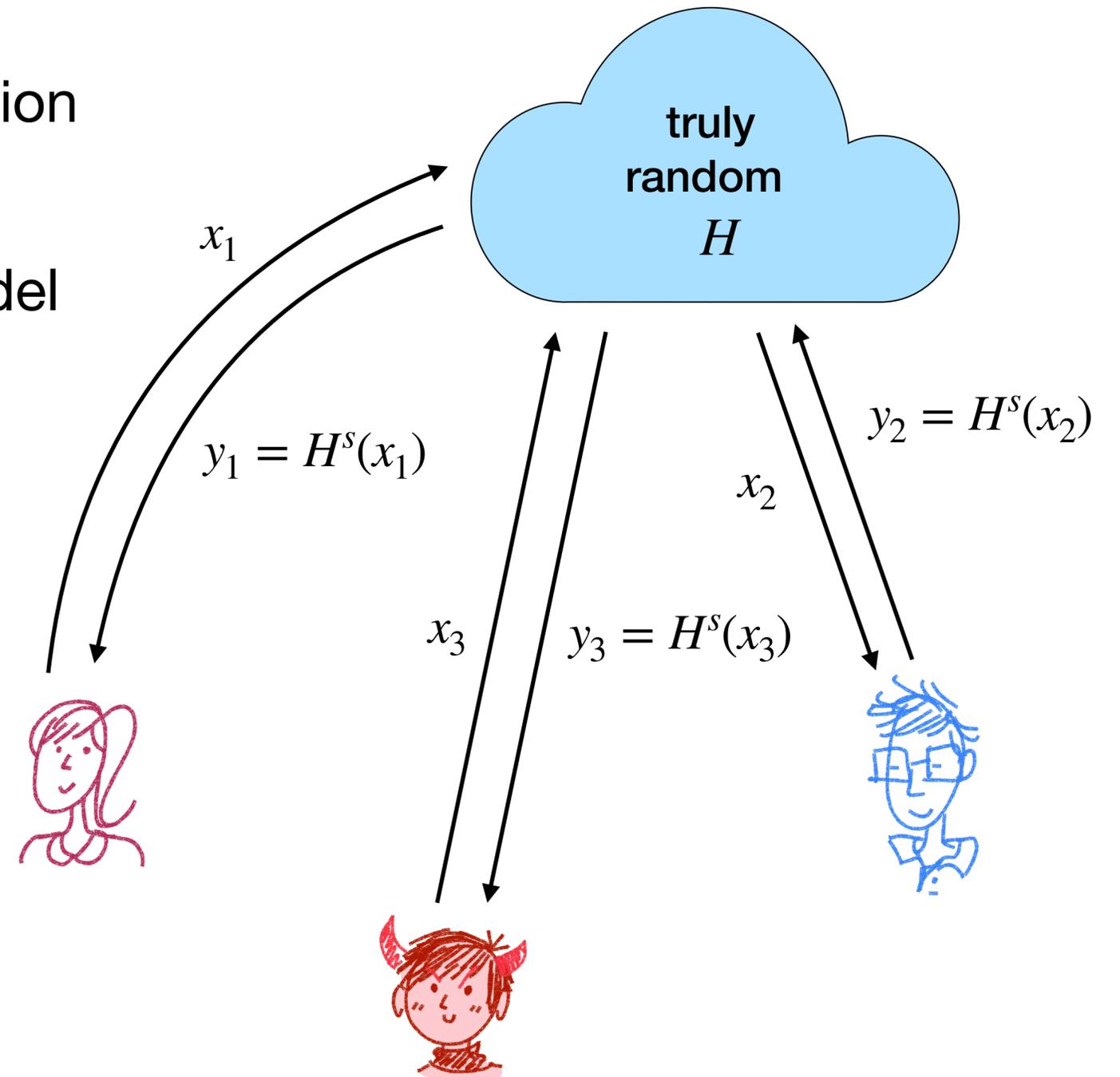
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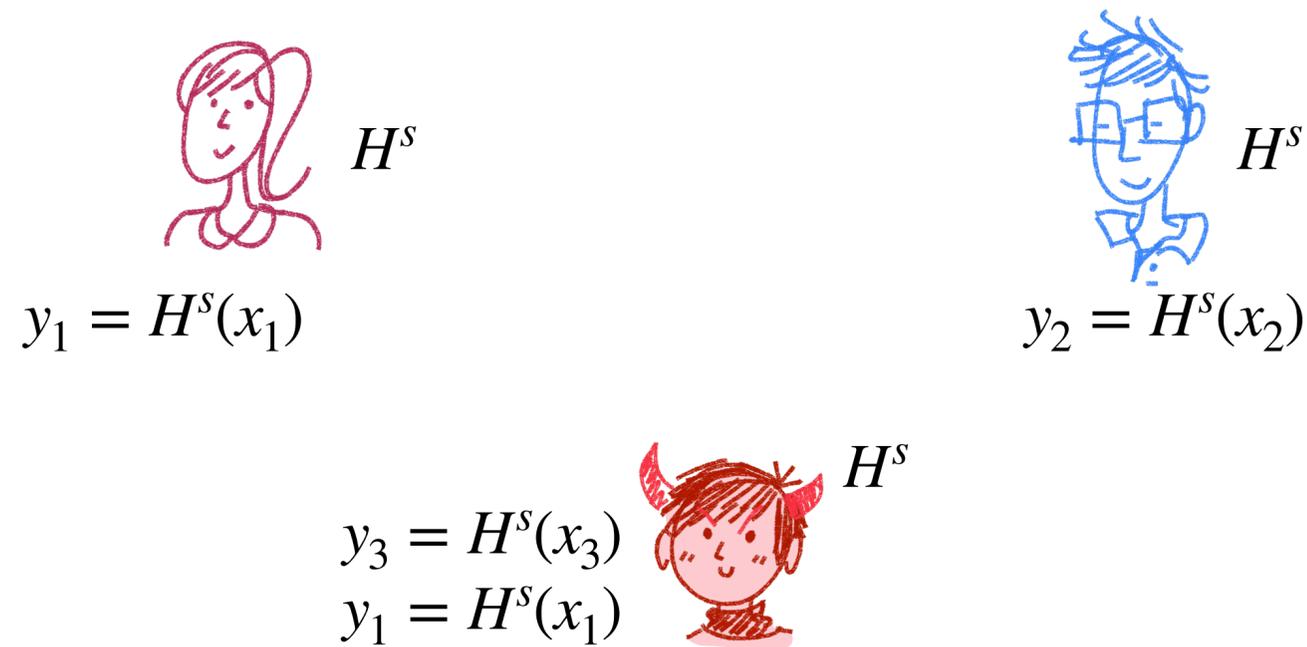
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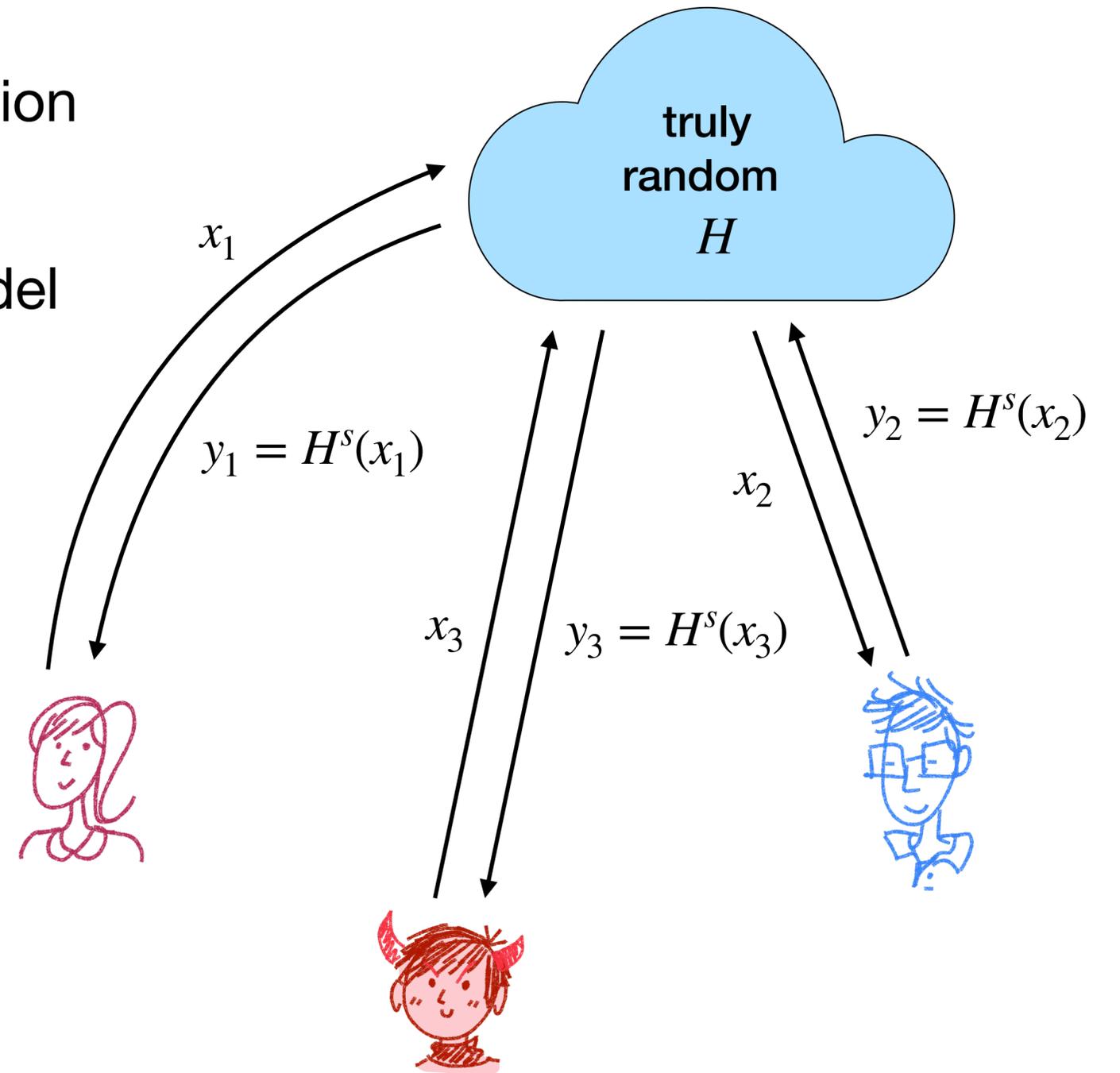
The random oracle model

# The Random Oracle Model

1. Start with a protocol  $\Pi$  that uses a hash function
  - Every party can locally compute  $H$
2. Prove security of  $\Pi'$  in the random oracle model
  - Use an oracle to a random function instead of  $H$
3. Hope for the best...



The standard model



The random oracle model

# The Random Oracle Model

- The random oracle satisfies two properties:
  - If  $x$  has not been queried to  $H$ , then  $H(x)$  is uniformly distributed
  - If  $x$  has been queried before, then the result is consistent
- Some examples in the ROM with  $H : \{0,1\}^{\ell_{in}} \rightarrow \{0,1\}^{\ell_{out}}$ :
  - If  $\ell_{in} < \ell_{out}$ , then  $H$  can be used as a PRG
  - If  $\ell_{in} > \ell_{out}$ , then  $H$  can be used as a collision-resistant hash function
  - If  $\ell_{in} = 2n$  and  $\ell_{out} = n$ , then  $F_k(x) = H(k || x)$  is a PRF

# The Random Oracle Model

- Are we saying random oracles actually exist?
  - No! This is more of a middle ground between full rigorous proofs and no proof at all
    - Schemes in the random oracle model tend to be efficient
- You can think of the random oracle model as a methodology for designing and validating cryptographic schemes
  - If you can prove security using this idealized model, the hope is that instantiating it with a hash function is good enough

# Pros and Cons of ROM

## Cons

- Security in the ROM does not imply security in the standard model!
  - There are **contrived** examples of schemes that are secure in the ROM but are insecure when instantiated with **any** hash function
- What does it even mean for SHA-3 to “act like a random function”??

## Pros

- All “natural” schemes proven secure in the ROM are not broken
- Many primitives that were first known in the ROM were later constructed in the standard model
- An attack on the scheme must use a weakness in the hash it was instantiated with
- A proof in the ROM is better than no proof at all

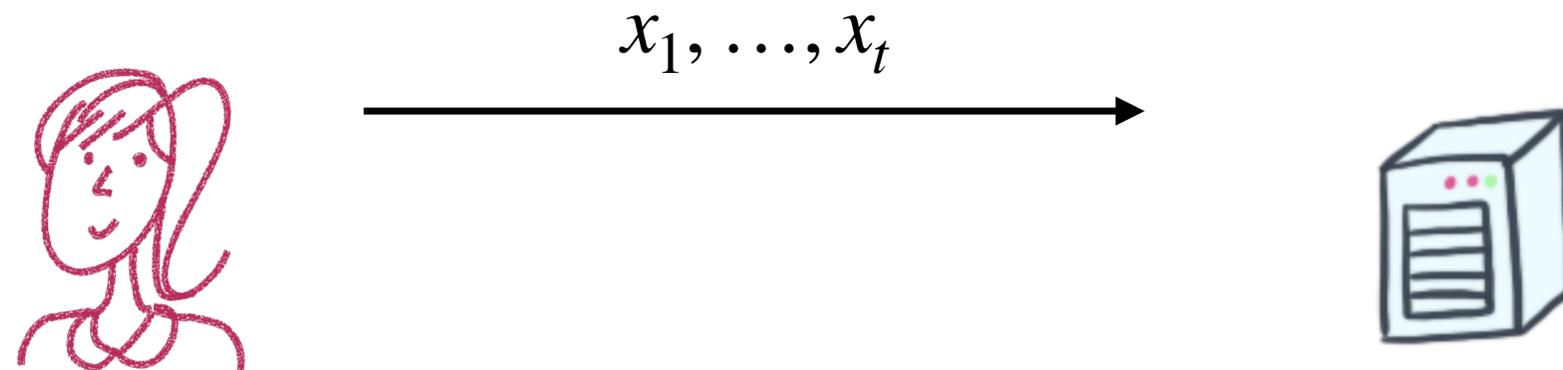
# More on Applications of Hashes

# Fingerprinting / equality checking

- If  $H$  is a collision resistant hash function, we can use  $H(x)$  as a unique identifier for  $x$  and compare the short digest (hash output)
- Deduplication
- Peer-to-peer file sharing

# Merkle Trees

Suppose a user stores many files  $x_1, \dots, x_t$  on a server.



# Merkle Trees

Suppose a user stores many files  $x_1, \dots, x_t$  on a server.



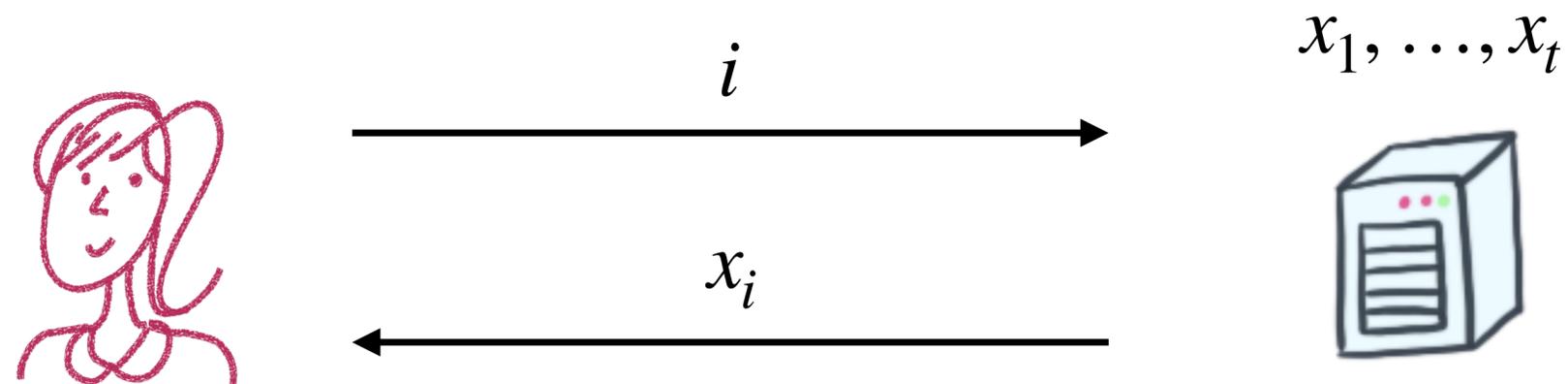
$x_1, \dots, x_t$



# Merkle Trees

Suppose a user stores many files  $x_1, \dots, x_t$  on a server.

When the user later wants to retrieve one of the files, how can they check that the file was not modified?



# Merkle Trees

$H(x_1)$

$H(x_2)$

$H(x_3)$

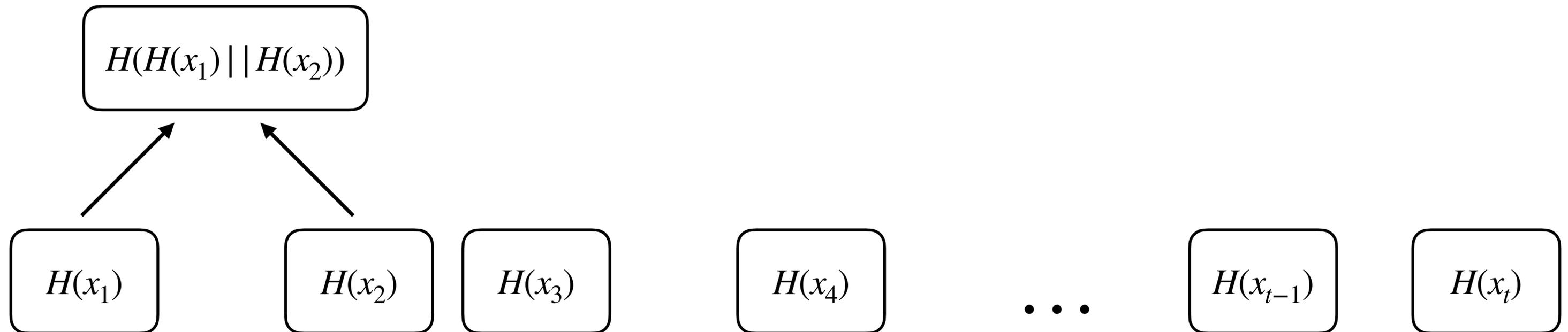
$H(x_4)$

...

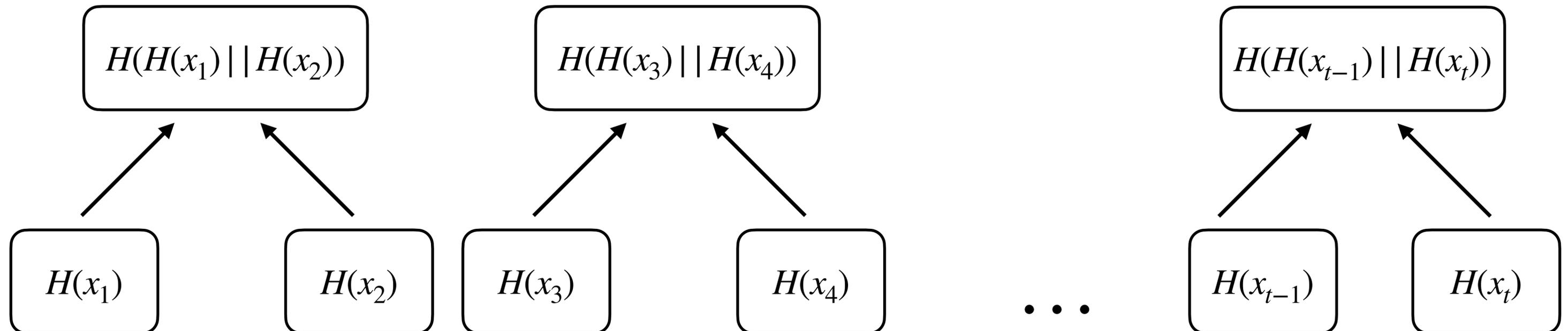
$H(x_{t-1})$

$H(x_t)$

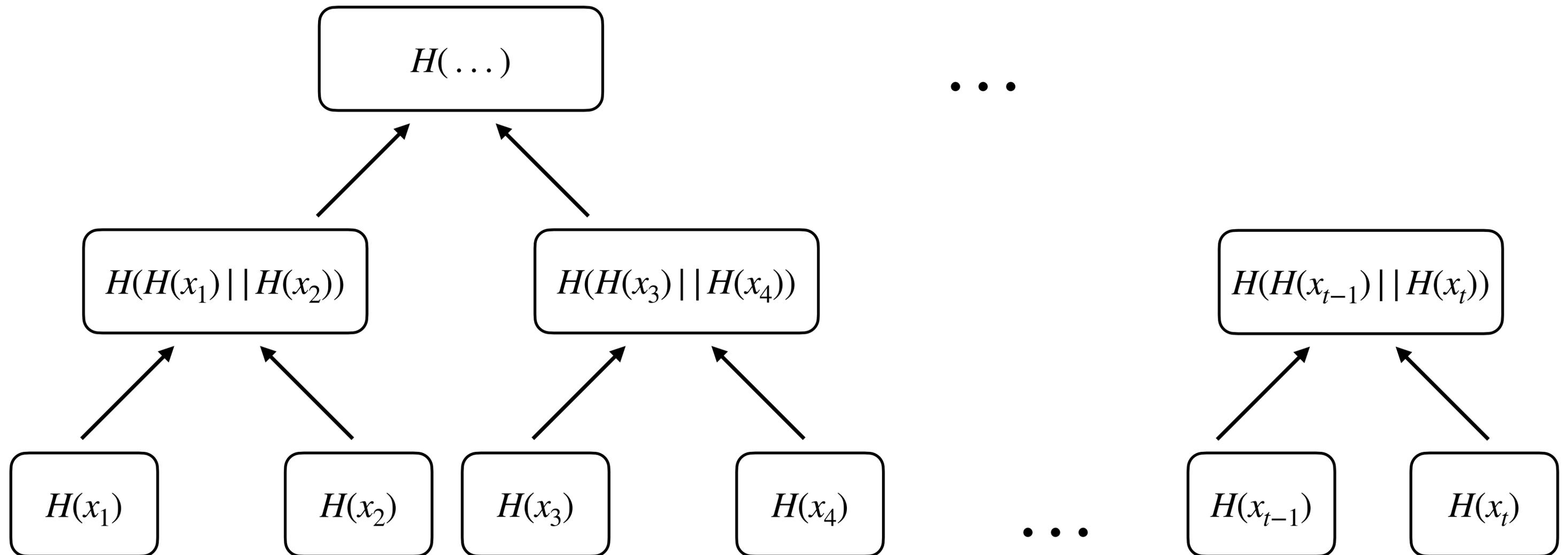
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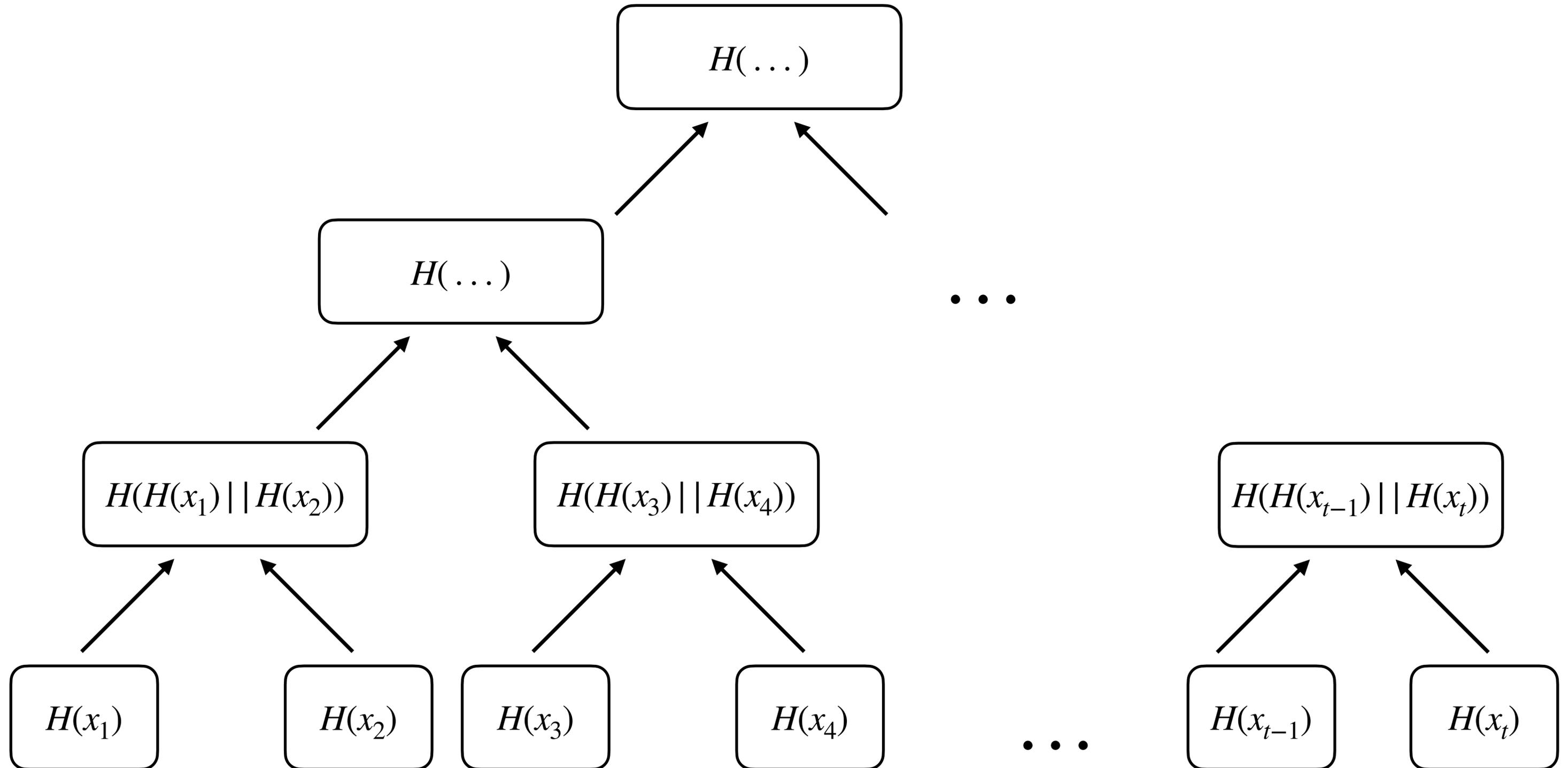
# Merkle Trees



# Merkle Trees



# Merkle Trees



# Next Time

- Today
  - More hash functions
- Monday
  - Number Theory